

# Math 96: Number Theory Techniques

October 15th, 2021

Today we will discuss some techniques from number theory that may prove useful on the Putnam Exam.

## 1 Primes and Factorization

Number theory is concerned with the structure of the natural numbers  $1, 2, 3, \dots$  and particularly with the multiplicative structure. We say that an integer  $n$  *divides* another integer  $m$  (or that  $m$  is a *multiple* of  $n$  or  $n|m$ ), if the ratio  $m/n$  is an integer (or equivalently if there is an integer  $k$  so that  $kn = m$ ). One question is given an integer  $n$ , what other numbers divide it?

One way to answer many of these questions is to break down integers into primes. A *prime* number is an integer  $p > 1$  so that  $p$  has no positive divisors other than 1 and  $p$ . You can think of these as the building blocks or atoms of multiplication. The Fundamental Theorem of Arithmetic states that any integer  $n$  can be written as a product of prime numbers (perhaps times  $-1$  if  $n$  is negative), and that this product is unique up to reordering of the factors (for example  $30$  is both  $2 \cdot 3 \cdot 5$  and  $5 \cdot 2 \cdot 3$ , but you can't write it as some product of different primes). This means you can sort of think of a natural number as a bag of primes that are multiplied together. To multiply two numbers, just combine the bags. One number divides another if it has fewer copies of each prime in it.

**1981 A1:** Let  $E(n)$  denote the largest integer  $k$  so that  $5^k$  is an integral divisor of the product  $1^1 2^2 3^3 \dots n^n$ . Calculate

$$\lim_{n \rightarrow \infty} \frac{E(n)}{n^2}.$$

## 2 Modular Arithmetic

We say that two integers  $a$  and  $b$  are congruent *modulo*  $m$  (written  $a \equiv b \pmod{m}$ ) if and only if  $m|a - b$ . Alternatively,  $a$  is congruent to  $b$  modulo  $m$  if and only if they have the same remainder when divided by  $m$ . In particular, every integer is congruent modulo  $m$  to exactly one of  $0, 1, 2, \dots, m - 1$ .

Furthermore, arithmetic modulo  $m$  is well defined. This means that if  $a \equiv a' \pmod{m}$  and  $b \equiv b' \pmod{m}$  then  $a + b \equiv a' + b' \pmod{m}$  and  $ab \equiv a'b' \pmod{m}$ . This means that you can perform arithmetic on numbers modulo  $m$  while only knowing the individual numbers modulo  $m$ .

Working with numbers modulo  $m$  (for carefully chosen values of  $m$ ) often allows one to vastly simplify equations, making certain kinds of computations much easier.

**2001 A5:** Prove that there are unique positive integers  $a, n$  such that

$$a^{n+1} - (a+1)^n = 2001.$$

It should be noted that division in modular arithmetic is a bit more complicated. For example, there is no number  $n$  so that  $2n \equiv 1 \pmod{4}$ . The general result is that there is a multiplicative inverse of  $a$  modulo  $m$  (i.e. a number  $a'$  so that  $aa' \equiv 1 \pmod{m}$ ) if and only if  $a$  and  $m$  are *relatively prime*, that is if and only if  $a$  and  $m$  share no prime factors in common.

### 3 Hensel's Lemma

One often wants to be able to solve equations  $f(x) \equiv 0$  modulo  $m$  for various values of  $m$ . When  $m$  is a prime, often there is nothing better to do than check all possible inputs for a solution. However, when  $m$  is a product of different primes, one can use something called the Chinese Remainder Theorem to combine solutions to  $f(x) = 0 \pmod{\text{each prime factor of } m}$ , to get a solution modulo  $m$ .

When  $m$  has higher powers of a given prime, something called Hensel's Lemma can often be used. In particular, we have:

**Lemma 1.** *Let  $f$  be a polynomial and  $m$  an odd integer (usually it is taken to be a prime). Then if there is an integer  $x$  so that  $f(x) \equiv 0 \pmod{m}$  and  $f'(x)$  is relatively prime to  $m$ , then there exists an integer  $x' \equiv x \pmod{m}$  so that  $f(x') \equiv 0 \pmod{m^2}$ .*

Note that by iterating this, we can find integers  $x_1, x_2, \dots, x_k, \dots$  so that  $f(x_k) \equiv 0 \pmod{m^k}$ .

Hensel's Lemma can be proved by Taylor expanding  $f$ . We note that

$$f(x') = f(x) + (x' - x)f'(x) + (x' - x)^2/2f''(x) + \dots$$

If we have  $x' - x$  is a multiple of  $m$ , then all of the terms beyond the first two will be multiples of  $m^2$  already. Thus, we just need to have  $f(x) + (x' - x)f'(x) \equiv 0 \pmod{m^2}$ . Since  $f'(x)$  is relatively prime to  $m$ , it has a multiplicative inverse, and we can take  $x' = x - f(x)/f'(x)$ . We note that  $m$  will divide  $x' - x$  here because it divides  $f(x)$ .

**1981 B3 (modified):** Prove that there are infinitely many integers  $n$  with the property that if  $p$  is a prime divisor of  $n^2 + 1$ , then  $p$  is also a divisor of  $k^2 + 1$  for some integer  $k$  with  $k < n$ .

### 4 Multiplicative Functions

A function  $f$  on the natural numbers we call *multiplicative* if  $f(nm) = f(n)f(m)$  for any pair  $(n, m)$  of relatively prime integers. There are many useful functions that are multiplicative. For example:

- $d(n)$  equals the number of positive divisors of  $n$
- $n^k$
- $\phi(n)$  is the number of integers between 1 and  $n$  that are relatively prime to  $n$
- $\sigma(n)$  is the sum of the positive divisors of  $n$

Being multiplicative is a useful property for a function to have. It means that the value of  $f$  can be determined by its values at powers of primes (since any integer  $n$  can be written as a product of prime powers). Given a function  $f(n)$  one often considers the sum over divisors of this function  $F(n) = \sum_{d|n} f(d)$ . One important result is the following:

**Lemma 2.** *Given  $f$  and  $F$  above,  $f$  is multiplicative if and only if  $F$  is.*

To see one direction of this, we use prime factorization. If  $n = p_1^{a_1} p_2^{a_2} \dots p_k^{a_k}$ , the divisors of  $n$  are exactly the numbers of the form  $p_1^{b_1} p_2^{b_2} \dots p_k^{b_k}$  with  $b_i \leq a_i$  for each  $i$ . Thus,  $F(n)$  equals

$$\sum_b F(p_1^{b_1} p_2^{b_2} \dots p_k^{b_k}) = \sum_b F(p_1^{b_1}) F(p_2^{b_2}) \dots F(p_k^{b_k}).$$

However, the product separates out as

$$\left( \sum_{b_1=0}^{a_1} F(p_1^{b_1}) \right) \left( \sum_{b_2=0}^{a_2} F(p_2^{b_2}) \right) \dots \left( \sum_{b_k=0}^{a_k} F(p_k^{b_k}) \right).$$

To prove the other direction one can use the fact that

$$f(n) = \sum_{d|n} F(d)\mu(n/d)$$

where  $\mu(m)$  is the so-called Mobius function, which is equal to  $(-1)^k$  if  $m$  is the product of  $k$  distinct primes and 0 otherwise.

**1961 A4:** Define a function  $f$  over the domain of positive integers as follows:  $f(1) = 1$ , and for  $n > 1$ ,  $f(n) = (-1)^k$  where  $k$  is the total number of prime factors of  $n$ . For example  $f(9) = (-1)^2$ ,  $f(20) = (-1)^3$ . Define  $F(n) = \sum f(d)$  where the sum ranges over all positive integer divisors of  $n$ . Prove that for every positive integer  $n$ ,  $F(n) = 0$  or  $F(n) = 1$ . For which integers  $n$  is  $F(n) = 1$ ?