# CSE107: Intro to Modern Cryptography 

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## Lecture 3

## Block ciphers and Key-recovery security

Recall from last lecture

Notations, definitions

Definition of a block cipher

The DES block cipher

Two examples of formal attack scenarios

## Plan

## Recall from last lecture

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## Recall from last lecture: Perfect security

## Definition: perfect security

Let $\mathcal{S E}=(\mathcal{K}, \mathcal{E}, \mathcal{D})$ be a symmetric encryption scheme. We say that $\mathcal{S E}$ is perfectly secure if for any two messages $M_{1}, M_{2} \in$ Plaintexts and any $C$

$$
\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{1}\right)=C\right]=\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{2}\right)=C\right] .
$$

In both cases, the probability is over the random choice $K \stackrel{\leftrightarrow}{\leftarrow} \mathcal{K}$ and over the coins tossed by $\mathcal{E}$ if any.

Intuitively: Given $C$, and even knowing the message is either $M_{1}$ or $M_{2}$ the adversary cannot determine which.

## Intuition for One-Time-Pad (OTP) security

Recall that One-Time-Pad encrypts $M$ to $\mathcal{E}_{K}(M)=K \oplus M$.
Suppose adversary gets ciphertext $C=101$ and knows the plaintext $M$ is either $M_{1}=010$ or $M_{2}=001$. Can it tell which?

No, because $C=K \oplus M$ so

- $M=010$ iff $K=111$
- $M=001$ iff $K=100$
but $K$ is equally likely to be 111 or 100 and adversary does not know $K$.


## Perfect security of OTP

## Claim: OTP is perfectly secure

Let $\mathcal{S E}=(\mathcal{K}, \mathcal{E}, \mathcal{D})$ be the OTP scheme with key-length $m \geq 1$. Then $\mathcal{S E}$ is perfectly secure.

Want to show that for any $M_{1}, M_{2}, C$

$$
\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{1}\right)=C\right]=\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{2}\right)=C\right]
$$

That is

$$
\operatorname{Pr}\left[K \oplus M_{1}=C\right]=\operatorname{Pr}\left[K \oplus M_{2}=C\right]
$$

when $K \leftarrow^{\S}\{0,1\}^{m}$.

## Example: $m=2$



The entry in row $K$, column $M$ of the table is $\mathcal{E}_{K}(M)=K \oplus M$.

- $\operatorname{Pr}\left[\mathcal{E}_{K}(00)=01\right]=$


## Example: $m=2$



The entry in row $K$, column $M$ of the table is $\mathcal{E}_{K}(M)=K \oplus M$.

- $\operatorname{Pr}\left[\mathcal{E}_{K}(00)=01\right]=\frac{1}{4}$
- $\operatorname{Pr}\left[\mathcal{E}_{K}(10)=01\right]=$


## Example: $m=2$



The entry in row $K$, column $M$ of the table is $\mathcal{E}_{K}(M)=K \oplus M$.

- $\operatorname{Pr}\left[\mathcal{E}_{K}(00)=01\right]=\frac{1}{4}$
- $\operatorname{Pr}\left[\mathcal{E}_{K}(10)=01\right]=\frac{1}{4}$


## Proof of claim

Probability for $M_{1}$

$$
\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{1}\right)=C\right]=\operatorname{Pr}\left[K \oplus M_{1}=C\right]
$$

## Proof of claim

Probability for $M_{1}$

$$
\begin{aligned}
\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{1}\right)=C\right] & =\operatorname{Pr}\left[K \oplus M_{1}=C\right] \\
& =\frac{\left|\left\{K \in\{0,1\}^{m}: K \oplus M_{1}=C\right\}\right|}{\left|\{0,1\}^{m}\right|}
\end{aligned}
$$

## Proof of claim

Probability for $M_{1}$

$$
\begin{aligned}
\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{1}\right)=C\right] & =\operatorname{Pr}\left[K \oplus M_{1}=C\right] \\
& =\frac{\left|\left\{K \in\{0,1\}^{m}: K \oplus M_{1}=C\right\}\right|}{\left|\{0,1\}^{m}\right|} \\
& =\frac{1}{2^{m}} .
\end{aligned}
$$

## Proof of claim

Same for $M_{2}$

$$
\begin{aligned}
\operatorname{Pr}\left[\mathcal{E}_{K}\left(M_{2}\right)=C\right] & =\operatorname{Pr}\left[K \oplus M_{2}=C\right] \\
& =\frac{\left|\left\{K \in\{0,1\}^{m}: K \oplus M_{2}=C\right\}\right|}{\left|\{0,1\}^{m}\right|} \\
& =\frac{1}{2^{m}} .
\end{aligned}
$$

In fact, OTP is the only encryption scheme that achieves Shannon's perfect security.

## Perfect security: Plusses and Minuses



## What next

We want schemes to securely encrypt

- arbitrary amounts of data
- with a single, short (e.g., 128 bit) key

This will be possible once we relax our goal from perfect to computational security.

Plan:

- Study the primitives we will use, namely block ciphers
- Understand and define computational security of block ciphers and encryption schemes
- Use (computationally secure) block ciphers to build (computationally secure) encryption schemes


## Plan

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## Notation

$\{0,1\}^{n}$ is the set of $n$-bit strings and $\{0,1\}^{*}$ is the set of all strings of finite length. By $\varepsilon$ we denote the empty string.

If $S$ is a set then $|S|$ denotes its size. Example: $\left|\{0,1\}^{2}\right|=4$.
If $x$ is a string then $|x|$ denotes its length. Example: $|0100|=4$.
If $m \geq 1$ is an integer then let $\mathbb{Z}_{m}=\{0,1, \ldots, m-1\}$.
By $x{ }_{\leftarrow}{ }^{\ddagger} S$ we denote picking an element at random from set $S$ and assigning it to $x$. Thus

$$
\operatorname{Pr}[x=s]=1 /|S| \text { for every } s \in S
$$

## Functions

Let $n \geq 1$ be an integer. Let $X_{1}, \ldots, X_{n}$ and $Y$ be (non-empty) sets.
By $f: X_{1} \times \cdots \times X_{n} \rightarrow Y$ we denote that $f$ is a function that

- Takes inputs $x_{1}, \ldots, x_{n}$, where $x_{i} \in X_{i}$ for $1 \leq i \leq n$
- and returns an output $y=f\left(x_{1}, \ldots, x_{n}\right) \in Y$.

We call $n$ the number of inputs (or arguments) of $f$. We call $X_{1} \times \cdots \times X_{n}$ the domain of $f$ and $Y$ the range of $f$.

## Long notation:

$$
f:\left\{\begin{aligned}
X_{1} \times \cdots \times X_{n} & \rightarrow Y \\
\left(x_{1}, \ldots, x_{n}\right) & \mapsto
\end{aligned}\right. \text { some expression }
$$

is a way to denote a function with domain $X_{1} \times \cdots \times X_{n}$ and range $Y$, together with the mathematical expression that computes it.

## Example

Example: Define $f: \mathbb{Z}_{3} \times \mathbb{Z}_{3} \rightarrow \mathbb{Z}_{3}$ by $f\left(x_{1}, x_{2}\right)=\left(x_{1}+x_{2}\right) \bmod 3$. We can also write:

$$
f:\left\{\begin{aligned}
\mathbb{Z}_{3} \times \mathbb{Z}_{3} & \rightarrow \mathbb{Z}_{3} \\
\left(x_{1}, x_{2}\right) & \mapsto\left(x_{1}+x_{2}\right) \bmod 3
\end{aligned}\right.
$$

$f$ is a function with $n=2$ inputs, domain $\mathbb{Z}_{3} \times \mathbb{Z}_{3}$ and range $\mathbb{Z}_{3}$.

## Permutations

## Definition: permutation

Suppose $f: X \rightarrow Y$ is a function with one argument. We say that it is a permutation if

- $X=Y$, meaning its domain and range are the same set.
- There is an inverse function $f^{-1}: Y \rightarrow X$ such that $f^{-1}(f(x))=x$ for all $x \in X$.

This means $f$ must be one-to-one and onto: for every $y \in Y$ there is a unique $x \in X$ such that $f(x)=y$.

## Permutations versus functions example

Consider the following two functions $f:\{0,1\}^{2} \rightarrow\{0,1\}^{2}$, where $X=Y=\{0,1\}^{2}$ :

| $x$ | 00 | 01 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: |
| $f(x)$ | 01 | 11 | 00 | 10 |
| A permutation |  |  |  |  |


| $x$ | 00 | 01 | 10 | 11 |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $f(x)$ | 01 | 11 | 11 | 10 |  |
| Not a permutation |  |  |  |  |  |

## Permutations versus functions example

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| $x$ | 00 | 01 | 10 | 11 |  |
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| A permutation |  |  |  |  |  |


| $x$ | 00 | 01 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: |
| $f(x)$ | 01 | 11 | 11 | 10 |

Not a permutation

| $x$ | 00 | 01 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: |
| $f^{-1}(x)$ | 10 | 00 | 11 | 01 |

Its inverse

## Permutations versus functions example

Consider the following two functions $f:\{0,1\}^{2} \rightarrow\{0,1\}^{2}$, where $X=Y=\{0,1\}^{2}$ :

| $x$ | 00 | 01 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: |
| $f(x)$ | 01 | 11 | 00 | 10 |
| A permutation |  |  |  |  |


| $x$ | 00 | 01 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: |
| $f(x)$ | 01 | 11 | 11 | 10 |

Not a permutation


No inverse, of course!

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## Function families

## Definition: family of functions

A family of functions (also called a function family) is a two-input function

$$
F: \text { Keys } \times \mathrm{D} \rightarrow \mathrm{R}
$$

Notation: For $K \in$ Keys we let

$$
F_{K}:\left\{\begin{array}{rll}
\mathrm{D} & \rightarrow & \mathrm{R} \\
x & \mapsto & F(K, x)
\end{array}\right.
$$

In other words, $F_{K}(x)=F(K, x)$ for any $x \in \mathrm{D}$.

- The set Keys is called the key space. If Keys $=\{0,1\}^{k}$ we call $k$ the key length.
- The set D is called the input space. If $D=\{0,1\}^{\ell}$ we call $\ell$ the input length.
- The set R is called the output space, or range.



## Example of a function family

Example: Define $F: \mathbb{Z}_{3} \times \mathbb{Z}_{3} \rightarrow \mathbb{Z}_{3}$ by $F(K, x)=(K \cdot x) \bmod 3$.

- This is a family of functions with domain $\mathbb{Z}_{3} \times \mathbb{Z}_{3}$ and range $\mathbb{Z}_{3}$.
- If $K=1$ then $F_{1}: \mathbb{Z}_{3} \rightarrow \mathbb{Z}_{3}$ is given by $F_{K}(x)=x \bmod 3$.


## Block ciphers: Definition

## Definition: block cipher

Let $E$ : Keys $\times \mathrm{D} \rightarrow \mathrm{R}$ be a family of functions.
We say that $E$ is a block cipher if

- $R=D$, meaning the input and output spaces are the same set.
- $E_{K}: \mathrm{D} \rightarrow \mathrm{D}$ is a permutation for every key $K \in$ Keys, meaning has an inverse $E_{K}^{-1}: \mathrm{D} \rightarrow \mathrm{D}$ such that $E_{K}^{-1}\left(E_{K}(x)\right)=x$ for all $x \in \mathrm{D}$.
We let $E^{-1}$ : Keys $\times \mathrm{D} \rightarrow \mathrm{D}$, defined by $E^{-1}(K, y)=E_{K}^{-1}(y)$, be the inverse block cipher to $E$.

In practice we want that $E, E^{-1}$ are efficiently computable.
If Keys $=\{0,1\}^{k}$ then $k$ is the key length as before.
If $\mathrm{R}=\mathrm{D}=\{0,1\}^{\ell}$ we call $\ell$ the block length.

## Block ciphers: Example

Block cipher $E:\{0,1\}^{2} \times\{0,1\}^{2} \rightarrow\{0,1\}^{2}$ (left), where the table entry corresponding to the key in row $K$ and input in column $x$ is $E_{K}(x)$. Its inverse $E^{-1}:\{0,1\}^{2} \times\{0,1\}^{2} \rightarrow\{0,1\}^{2}$ (right).

Keys: |  | 00 | 01 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: |
| 00 | 11 | 00 | 10 | 01 |
| 01 | 11 | 10 | 01 | 00 |
| 10 | 10 | 11 | 00 | 01 |
| 11 | 11 | 00 | 10 | 01 |

|  | 00 | 01 | 10 | 11 |
| :--- | :--- | :--- | :--- | :--- |
| 00 | 01 | 11 | 10 | 00 |
| 01 | 11 | 10 | 01 | 00 |
| 10 | 10 | 11 | 00 | 01 |
| 11 | 01 | 11 | 10 | 00 |

- Row 01 of $E$ equals Row 01 of $E^{-1}$, meaning $E_{01}=E_{01}^{-1}$
- Rows have no repeated entries, for both $E$ and $E^{-1}$
- Column 00 of $E$ has repeated entries, that's ok
- Rows 00 and 11 of $E$ are the same, that's ok


## Block Ciphers: Example

Let $\ell=k$ and define $E:\{0,1\}^{k} \times\{0,1\}^{\ell} \rightarrow\{0,1\}^{\ell}$ by

$$
E_{K}(x)=E(K, x)=K \oplus x
$$

Then $E_{K}$ has inverse $E_{K}^{-1}$ where

$$
E_{K}^{-1}(y)=K \oplus y
$$

Why? Because

$$
E_{K}^{-1}\left(E_{K}(x)\right)=E_{K}^{-1}(K \oplus x)=K \oplus K \oplus x=x
$$

The inverse of block cipher $E$ is the block cipher $E^{-1}$ defined by

$$
E^{-1}(K, y)=E_{K}^{-1}(y)=K \oplus y
$$

## Exercise

Let $E:$ Keys $\times \mathrm{D} \rightarrow \mathrm{D}$ be a block cipher. Is $E$ a permutation?

- YES
- NO
- QUESTION DOESN'T MAKE SENSE
- WHO CARES?

This is an exercise in correct mathematical language.

## Exercise

Let $E:$ Keys $\times \mathrm{D} \rightarrow \mathrm{D}$ be a block cipher. Is $E$ a permutation?

How to answer this:

- Look back at the definition of a block cipher.
- Look back at the definition of a permutation.
- Pattern match these.
- Now come to a conclusion.


## Exercise

Above we had given the following example of a family of functions:

$$
F:\left\{\begin{array}{rll}
\mathbb{Z}_{3} \times \mathbb{Z}_{3} & \rightarrow \mathbb{Z}_{3} \\
(K, x) & \mapsto & (K \cdot x) \bmod 3
\end{array}\right.
$$

Question: Is $F$ a block cipher? Why or why not?

## Exercise

Above we had given the following example of a family of functions:

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\end{array}\right.
$$

Question: Is $F$ a block cipher? Why or why not?
Answer: No, because $F_{0}(1)=F_{0}(2)$ so $F_{0}$ is not a permutation.

## Exercise

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$$

Question: Is $F$ a block cipher? Why or why not?
Answer: No, because $F_{0}(1)=F_{0}(2)$ so $F_{0}$ is not a permutation.

Question: Is $F_{1}$ a permutation?

## Exercise

Above we had given the following example of a family of functions:

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F:\left\{\begin{aligned}
\mathbb{Z}_{3} \times \mathbb{Z}_{3} & \rightarrow \mathbb{Z}_{3} \\
(K, x) & \mapsto
\end{aligned}(K \cdot x) \bmod 3\right.
$$

Question: Is F a block cipher? Why or why not?
Answer: No, because $F_{0}(1)=F_{0}(2)$ so $F_{0}$ is not a permutation.

Question: Is $F_{1}$ a permutation?
Answer: Yes. But that alone does not make $F$ a block cipher.

## A small modification

We now look at the very similar family of functions:

$$
F:\left\{\begin{aligned}
\{1,2\} \times \mathbb{Z}_{3} & \rightarrow \mathbb{Z}_{3} \\
(K, x) & \mapsto(K \cdot x) \bmod 3 .
\end{aligned}\right.
$$

The set of Keys is just Keys $=\{1,2\}$.

- The function $F_{1}$ is a


## A small modification

We now look at the very similar family of functions:

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\{1,2\} \times \mathbb{Z}_{3} & \rightarrow \mathbb{Z}_{3} \\
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The set of Keys is just Keys $=\{1,2\}$.

- The function $F_{1}$ is a permutation.
- The function $F_{2}$ is a


## A small modification

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\{1,2\} \times \mathbb{Z}_{3} & \rightarrow \mathbb{Z}_{3} \\
(K, x) & \mapsto(K \cdot x) \bmod 3 .
\end{aligned}\right.
$$

The set of Keys is just Keys $=\{1,2\}$.

- The function $F_{1}$ is a permutation.
- The function $F_{2}$ is a permutation.

Therefore $F$ defines a block cipher.
(a very simplistic one!)

## Plan

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## Block cipher usage

Let $E:\{0,1\}^{k} \times\{0,1\}^{\ell} \rightarrow\{0,1\}^{\ell}$ be a block cipher.
The block cipher $E$ is considered public (Kerckhoffs). In typical usage:

- $K \leftarrow^{\S}\{0,1\}^{k}$ is known to parties $S$ (sender) and $R$ (receiver), but the key $K$ is not given to adversary $A$.
- $S$ uses $E_{K}$ for encryption, $R$ uses $E_{K}^{-1}$ for decryption


Leads to security requirements like: Hard to get $K$ from $y_{1}, y_{2}, \ldots$;

- Hard to get $x_{i}$ from $y_{i} ; \ldots$


## DES History

1972 - NBS (now NIST) asked for a block cipher for standardization 1974 - IBM designs Lucifer

Lucifer eventually evolved into DES.
Widely adopted as a standard including by ANSI and American Bankers association

Used in ATM machines
Replaced (by AES) in 2001.

## DES parameters

Key Length $k=56$

Block length $\ell=64$

So,

$$
\begin{aligned}
& \text { DES: }\{0,1\}^{56} \times\{0,1\}^{64} \rightarrow\{0,1\}^{64} \\
& \text { DES }^{-1}:\{0,1\}^{56} \times\{0,1\}^{64} \rightarrow\{0,1\}^{64}
\end{aligned}
$$

DES is a block cipher: for any $k \in \operatorname{Keys}=\{0,1\}^{56}$, the function $\mathrm{DES}_{k}$ is a permutation.

## DES construction

Several important concepts are present in the construction of DES:

- DES is a Feistel network, made of several successive rounds.
- Each round performs a simple operation.
- Something that is derived from the key is used at each round, via a Key schedule algorithm.
- Most of the structure resembles a linear function, but nonlinearity is inserted at very important places.
- Non-linearity is done by small table lookups called S-boxes.

Nowadays, DES is obsolete, but its design concepts are still relevant today.

## One round in a Feistel network (in DES)



- $L_{0}, R_{0}$ are bitstrings of equal length: 32 bits.
- $F$ is some nonlinear function. $F$ does not have to be a permutation.
- We have constructed a function

$$
\mathcal{R}_{F}:\left\{\begin{array}{rll}
\{0,1\}^{64} & \rightarrow\{0,1\}^{64} \\
\left(L_{0}, R_{0}\right) & \mapsto & \left(R_{0}, L_{0} \oplus F\left(R_{0}\right)\right)
\end{array}\right.
$$

## We can invert one round quite easily



Because of this simple fact, one round $\mathcal{R}_{F}$ is a permutation, whatever the function $F$. We use it to create a block cipher.

## Chaining multiple rounds



- One round is a pretty simple permutation, but chaining them one after another makes the resulting permutation a lot more complicated.
- In DES, as many as 16 rounds are chained to form a block cipher.


## DES Construction

function $\operatorname{DES}_{K}(M) \quad / /|K|=56$ and $|M|=64$
$\left(K_{1}, \ldots, K_{16}\right) \leftarrow \operatorname{KeySchedule}(K) \quad / /\left|K_{i}\right|=48$ for $1 \leq i \leq 16$
$M \leftarrow I P(M) \quad / /$ initial permutation
Parse $M$ as $L_{0} \| R_{0} \quad / /\left|L_{0}\right|=\left|R_{0}\right|=32$
for $i=1$ to 16 do

$$
\begin{aligned}
& \quad L_{i} \leftarrow R_{i-1} ; \quad R_{i} \leftarrow F\left(K_{i}, R_{i-1}\right) \oplus L_{i-1} \\
& C \leftarrow I P^{-1}\left(L_{16} \| R_{16}\right)
\end{aligned}
$$

return $C$
function $\operatorname{DES}_{K}^{-1}(C) \quad / /|K|=56$ and $|M|=64$
$\left(K_{1}, \ldots, K_{16}\right) \leftarrow \operatorname{KeySchedule}(K) \quad / /\left|K_{i}\right|=48$ for $1 \leq i \leq 16$
$C \leftarrow I P(C)$
Parse $C$ as $L_{16} \| R_{16}$
for $i=16$ downto 1 do

$$
R_{i-1} \leftarrow L_{i} ; \quad L_{i-1} \leftarrow F\left(K_{i}, L_{i}\right) \oplus R_{i}
$$

$M \leftarrow I P^{-1}\left(L_{0} \| R_{0}\right)$
return $M$

## DES Construction

$$
\begin{aligned}
& \text { function } \operatorname{DES}_{K}(M) \quad / /|K|=56 \text { and }|M|=64 \\
& \quad\left(K_{1}, \ldots, K_{16}\right) \leftarrow \text { KeySchedule }(K) \quad / /\left|K_{i}\right|=48 \text { for } 1 \leq i \leq 16 \\
& M \leftarrow I P(M) \\
& \text { Parse } M \text { as } L_{0}\left\|R_{0} \quad\right\|\left|L_{0}\right|=\left|R_{0}\right|=32 \\
& \text { for } i=1 \text { to } 16 \text { do } \\
& \quad L_{i} \leftarrow R_{i-1} ; \quad R_{i} \leftarrow f\left(K_{i}, R_{i-1}\right) \oplus L_{i-1} \\
& C \leftarrow I P^{-1}\left(L_{16} \| R_{16}\right) \\
& \text { return } C
\end{aligned}
$$

Initial permutation: given explicitly by a table (see Wikipedia).


IP

$I P^{-1}$

## DES Construction

function $F(J, R) \quad / /|J|=48$ and $|R|=32$

$$
R \leftarrow E(R) ; \quad R \leftarrow R \oplus J
$$

Parse $R$ as $R_{1}\left\|R_{2}\right\| R_{3}\left\|R_{4}\right\| R_{5}\left\|R_{6}\right\| R_{7} \| R_{8} \quad / /\left|R_{i}\right|=6$ for $1 \leq i \leq$ for $i=1, \ldots, 8$ do
$R_{i} \leftarrow \mathbf{S}_{i}\left(R_{i}\right) \quad / /$ Each S-box returns 4 bits
$R \leftarrow R_{1}\left\|R_{2}\right\| R_{3}\left\|R_{4}\right\| R_{5}\left\|R_{6}\right\| R_{7} \| R_{8} \quad / /|R|=32$ bits
$R \leftarrow P(R)$; return $R$
Expansion $E$ and permutation $P$ are given explicitly by tables (see Wikipedia).


E


P

## S-boxes

All S-boxes are nonlinear function with 6-bit inputs and 4-bit outputs. They are given explicitly by tables (again, see Wikipedia).

- The minimal size of these tables is totally understandable given the implementation constraints of the time. 8 tables with 64 values of 4 bits each means a quarter of a kilobyte, and that was something, in the 1970s!
- How the values in the tables were chosen remained a mystery for many years.


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## Key Recovery Attack Scenario

Let $E:$ Keys $\times \mathrm{D} \rightarrow \mathrm{R}$ be a block cipher known to the adversary $A$.

- Sender Alice and receiver Bob share a target key $K \in$ Keys.
- Alice encrypts $M_{i}$ to get $C_{i}=E_{K}\left(M_{i}\right)$ for $1 \leq i \leq q$, and transmits $C_{1}, \ldots, C_{q}$ to Bob
- The adversary gets $C_{1}, \ldots, C_{q}$ and also knows $M_{1}, \ldots, M_{q}$
- Now the adversary wants to figure out $K$ so that it can decrypt any future ciphertext $C$ to recover $M=E_{K}^{-1}(C)$.

Question: Why do we assume $A$ knows $M_{1}, \ldots, M_{q}$ ?
Answer: Reasons include a posteriori revelation of data, a priori knowledge of context, and just being conservative!

## Key Recovery Security Metrics

We consider two measures (metrics) for how well the adversary does at this key recovery task:

- Target key recovery (TKR)
- Consistent key recovery (KR)

In each case the definition involves a game and an advantage.
The definitions will allow $E$ to be any family of functions, not just a block cipher.

The definitions allow $A$ to pick, not just know, $M_{1}, \ldots, M_{q}$. This is called a chosen-plaintext attack.

## Target Key Recovery: The game

## Game TKR $E$

procedure Initialize
$K \stackrel{\$}{\leftarrow}$ Keys
procedure $\mathbf{F n}(M)$
Return $E(K, M)$
procedure Finalize $\left(K^{\prime}\right)$
Return $\left(K=K^{\prime}\right)$

- First Initialize executes, selecting target key $K \stackrel{\ddagger}{\leftarrow}$ Keys, but not giving it to $A$.
- Now $A$ can call (query) Fn on any input $M \in \mathrm{D}$ of its choice to get back $C=E_{K}(M)$. It can make as many queries as it wants.

$$
\text { queries } M_{1}, \ldots, M_{q} \rightarrow \text { answers } C_{1}, \ldots, C_{q} \text {. }
$$

- Eventually $A$ will halt with an output $K^{\prime}$ which is automatically viewed as the input to Finalize
- The game returns whatever Finalize returns


## Common notations

## Notations: games

- TKR is a game. It includes some randomness.
- It is parameterized by something. Here, it is a block cipher. We speak of the game $\operatorname{TKR}_{E \longleftarrow}$ _ the parameter
- Some player (program) A will play the game. The game can return True or False. Whether $A$ succeeds or not is $\mathrm{TKR}_{E}^{A}{ }^{\leftarrow}$ the adversary


## Notation: advantages

We define some advantages, that are related to some games:

- Adv is our generic notation for an advantage.

Adv ${ }^{\mathrm{tkr}}$, for example is related to the game TKR.

- $\mathbf{A d v}_{E}^{\mathrm{tkr}}$ is related to the game $\mathrm{TKR}_{E}$, parameterized by $E$.
- $\operatorname{Adv}_{E}^{\mathrm{tkr}}(A)$ is related to how well $A$ performs when playing $\operatorname{TKR}_{E}$.


## Definition of $\mathbf{A d v}{ }^{\mathrm{tkr}}$

## Game $\mathrm{TKR}_{E}$

## procedure Initialize <br> $K \stackrel{\S}{\leftarrow}$ Keys

procedure $\operatorname{Fn}(M)$
Return $E(K, M)$
procedure Finalize $\left(K^{\prime}\right)$
Return $\left(K=K^{\prime}\right)$

## Definition of $\mathbf{A d v}{ }^{\mathrm{tkr}}$

$\mathbf{A d v}^{\mathrm{tkr}}$ is defined from the game TKR:

$$
\operatorname{Adv}_{E}^{\mathrm{tkr}}(A)=\operatorname{Pr}\left[\mathrm{TKR}_{E}^{A} \Rightarrow \text { true }\right] .
$$

The tkr advantage of $A$ is the probability that the game TKR returns true

## Consistent keys

## Definition: consistent keys

Let $E$ : Keys $\times \mathrm{D} \rightarrow \mathrm{R}$ be a family of functions. We say that key $K^{\prime} \in$ Keys is consistent with $\left(M_{1}, C_{1}\right), \ldots,\left(M_{q}, C_{q}\right)$ if $E\left(K^{\prime}, M_{i}\right)=C_{i}$ for all $1 \leq i \leq q$.

Example: For $E:\{0,1\}^{2} \times\{0,1\}^{2} \rightarrow\{0,1\}^{2}$ defined by

|  | 00 | 01 | 10 | 11 |
| :--- | :--- | :--- | :--- | :--- |
| 00 | 11 | 00 | 10 | 01 |
| 01 | 11 | 10 | 01 | 00 |
| 10 | 10 | 11 | 00 | 01 |
| 11 | 11 | 00 | 10 | 01 |

The entry in row $K$, column $M$ is $E(K, M)$.

- Key 00 is consistent with $(11,01)$
- Key 10 is consistent with $(11,01)$
- Key 00 is consistent with $(01,00),(11,01)$
- Key 11 is consistent with $(01,00),(11,01)$


## Consistent Key Recovery: Game and Advantage

Let $E$ : Keys $\times \mathrm{D} \rightarrow \mathrm{R}$ be a family of functions, and $A$ an adversary.

## Game KR

## procedure Initialize

$K \stackrel{\S}{\leftarrow}$ Keys
procedure $\mathbf{F n}(M)$
Return $E(K, M)$
procedure Finalize $\left(K^{\prime}\right)$
For $j=1, \ldots, i$ do
If $E\left(K^{\prime}, M_{j}\right) \neq C_{j}$ then Return false
If $M_{j} \in\left\{M_{1}, \ldots, M_{j-1}\right\}$ then Return false Return true

The game returns true if (1) The key $K^{\prime}$ returned by the adversary is consistent with $\left(M_{1}, C_{1}\right), \ldots,\left(M_{q}, C_{q}\right)$, and (2) $M_{1}, \ldots, M_{q}$ are distinct.
$A$ is a $q$-query adversary if it makes $q$ distinct queries to its $\mathbf{F n}$ oracle.

## Definition of $\mathbf{A d v}{ }^{k r}$

$$
\operatorname{Adv}_{E}^{\mathrm{kr}}(A)=\operatorname{Pr}\left[\mathrm{KR}_{E}^{A} \Rightarrow \text { true }\right] .
$$

## kr advantage always exceeds tkr advantage

Fact: Suppose that, in game $\mathrm{KR}_{E}$, adversary $A$ makes queries $M_{1}, \ldots$, $M_{q}$ to $\mathbf{F n}$, thereby defining $C_{1}, \ldots, C_{q}$. Then the target key $K$ is consistent with $\left(M_{1}, C_{1}\right), \ldots,\left(M_{q}, C_{q}\right)$.

Proposition: Let $E$ be a family of functions. Let $A$ be any adversary all of whose Fn queries are distinct. Then

$$
\boldsymbol{A d}_{E} \mathbf{v}_{E}^{\mathrm{kr}}(A) \geq \mathbf{A d v}_{E}^{\mathrm{tkr}}(A)
$$

Why? If the $K^{\prime}$ that $A$ returns equals the target key $K$, then, by the Fact, the input-output examples $\left(M_{1}, C_{1}\right), \ldots,\left(M_{q}, C_{q}\right)$ will of course be consistent with $K^{\prime}$.

## Impact of the number of queries

Another comparison: same game, but adversaries that differ in the number of queries they make.

Doing more queries in the tkr (target key recovery) game makes it:
$\square$ Easier.
$\square$ Harder.
$\square$ It depends.

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(but the difference can be very close to zero!)

Doing more queries in the kr (consistent key recovery) game makes it:
$\square$ Easier.
$\square$ Harder.

- It depends.
(harder for trivial adversaries. Becomes easier later when Adv ${ }^{\text {tkr }}$ starts to increase)

