

Day 8—More Divide and Conquer and Master Method for Solving Recurrences

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NOT HSS

5.4 Closest Pair of Points

Closest Pair of Points

Closest pair. Given n points in the plane, find a pair with smallest Euclidean distance between them.

Fundamental geometric primitive.

- Graphics, computer vision, geographic information systems, molecular modeling, air traffic control.
- Special case of nearest neighbor, Euclidean MST, Voronoi.

↙ fast closest pair inspired fast algorithms for these problems

Brute force. Check all pairs of points p and q with $\Theta(n^2)$ comparisons.

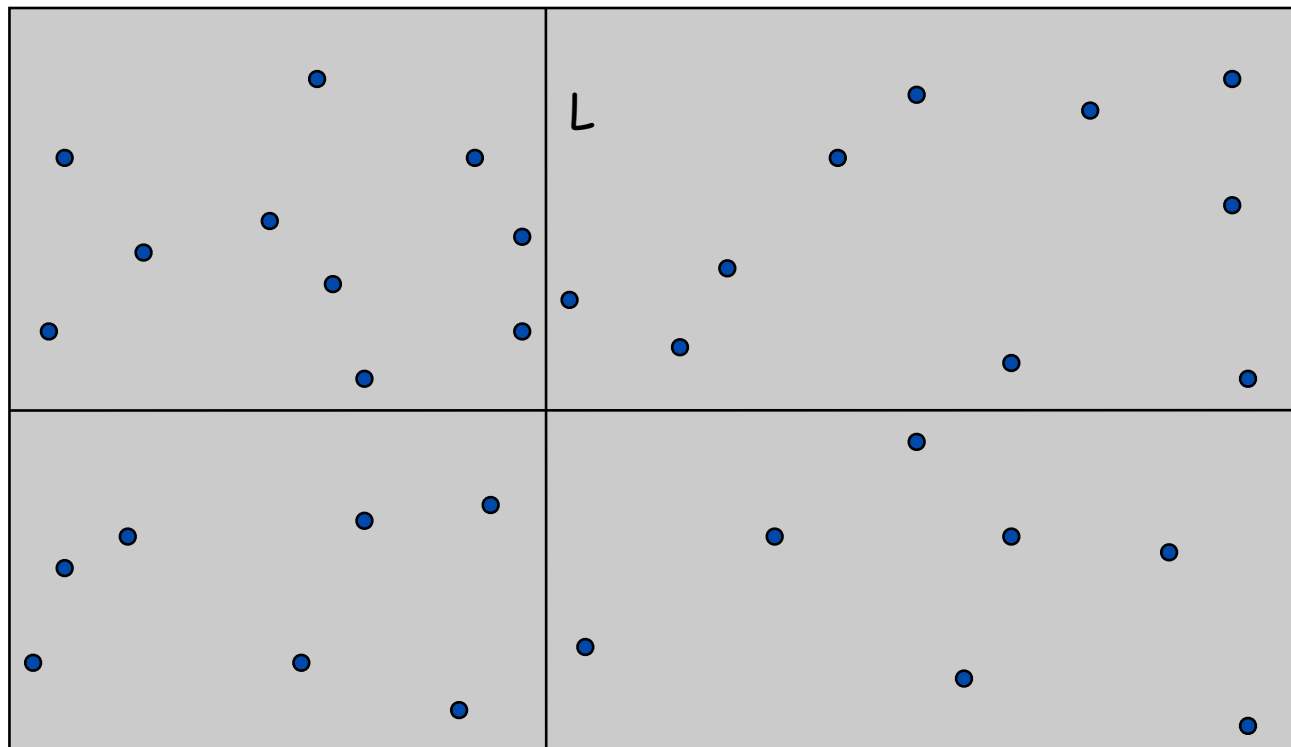
1-D version. $O(n \log n)$ easy if points are on a line.

Assumption. No two points have same x coordinate.

↑
to make presentation cleaner

Closest Pair of Points: First Attempt

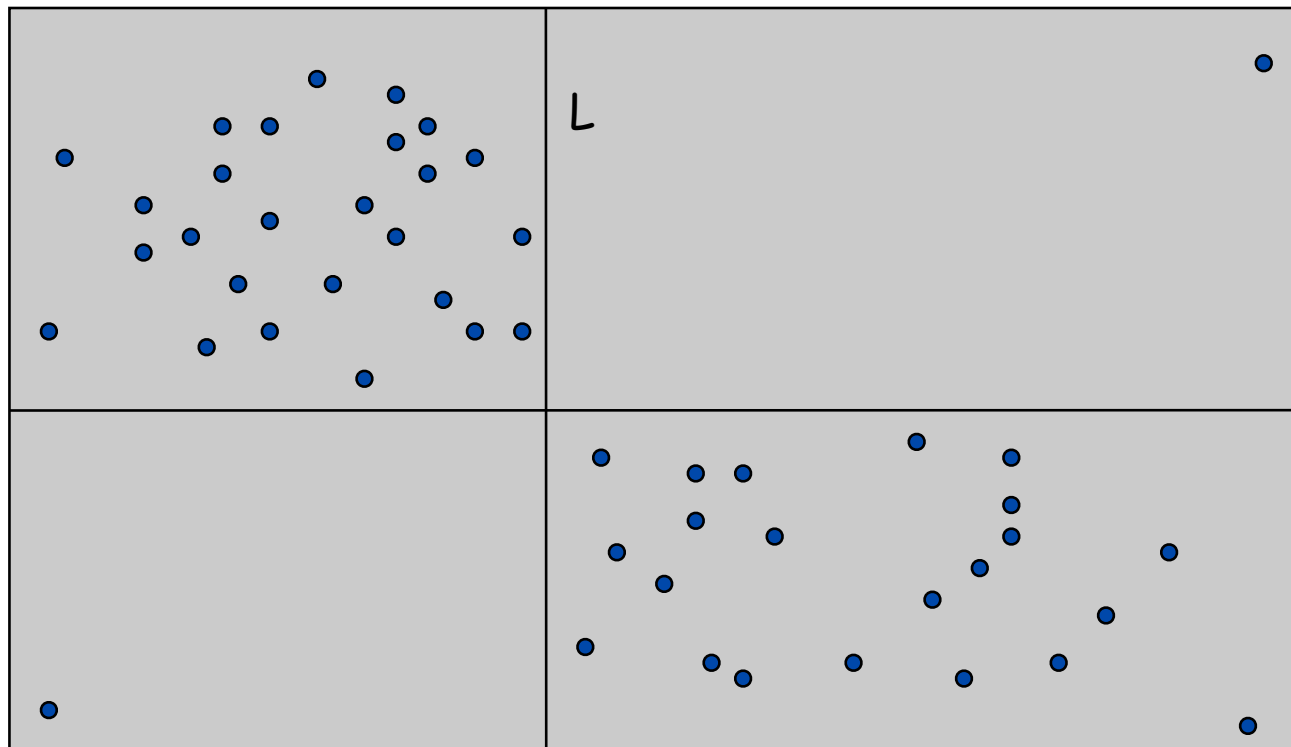
Divide. Sub-divide region into 4 quadrants.



Closest Pair of Points: First Attempt

Divide. Sub-divide region into 4 quadrants.

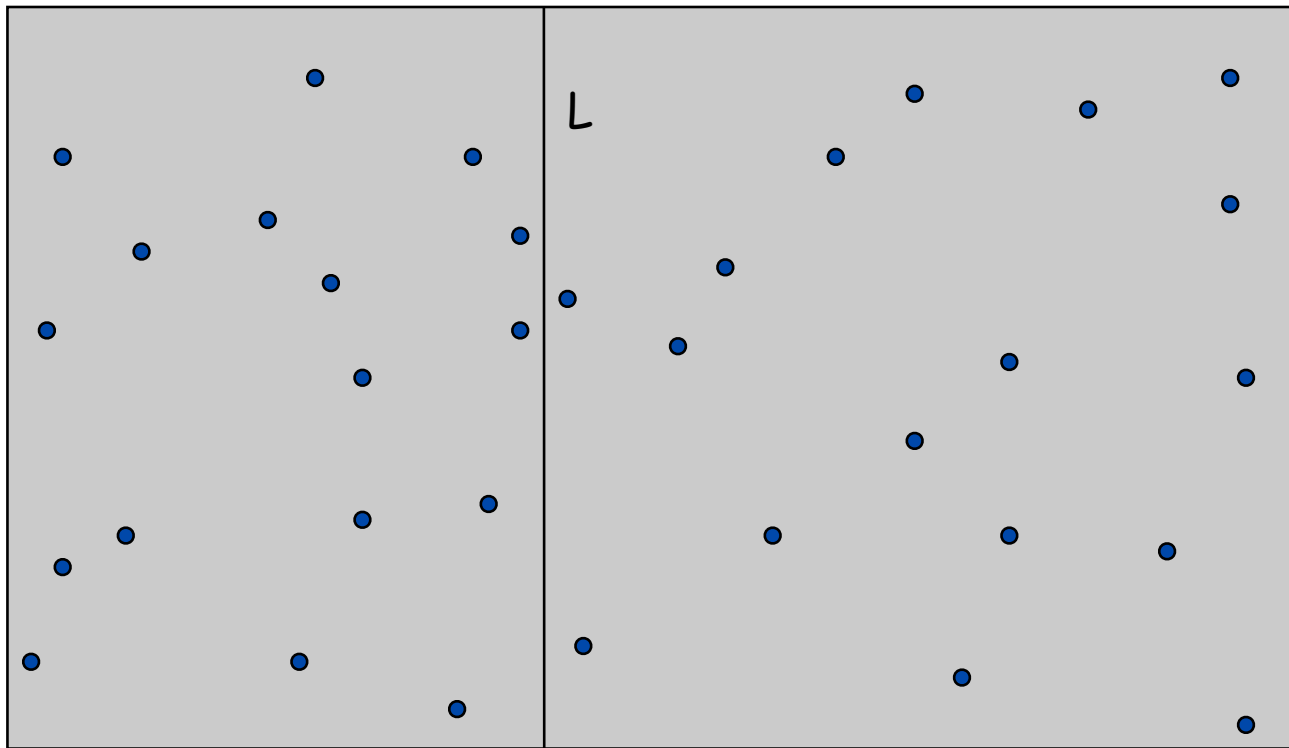
Obstacle. Impossible to ensure $n/4$ points in each piece.



Closest Pair of Points

Algorithm.

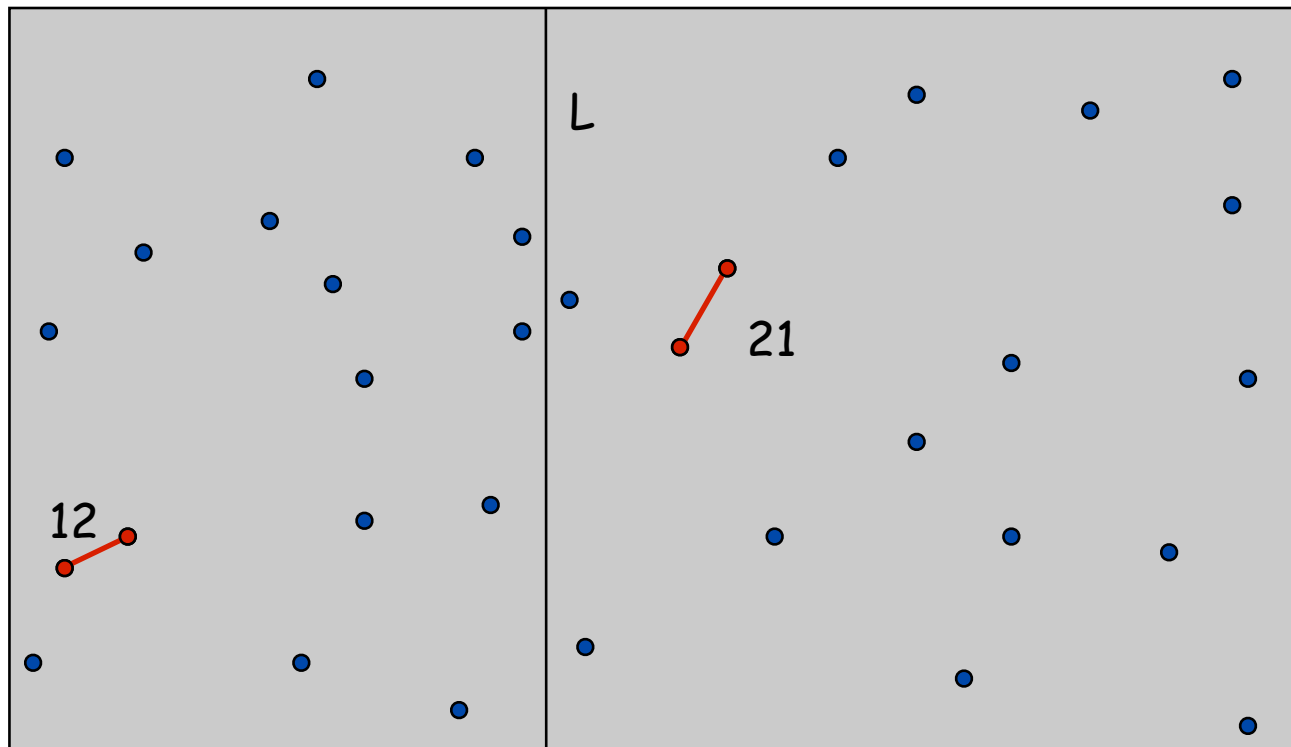
- **Divide:** draw vertical line L so that roughly $\frac{1}{2}n$ points on each side.



Closest Pair of Points

Algorithm.

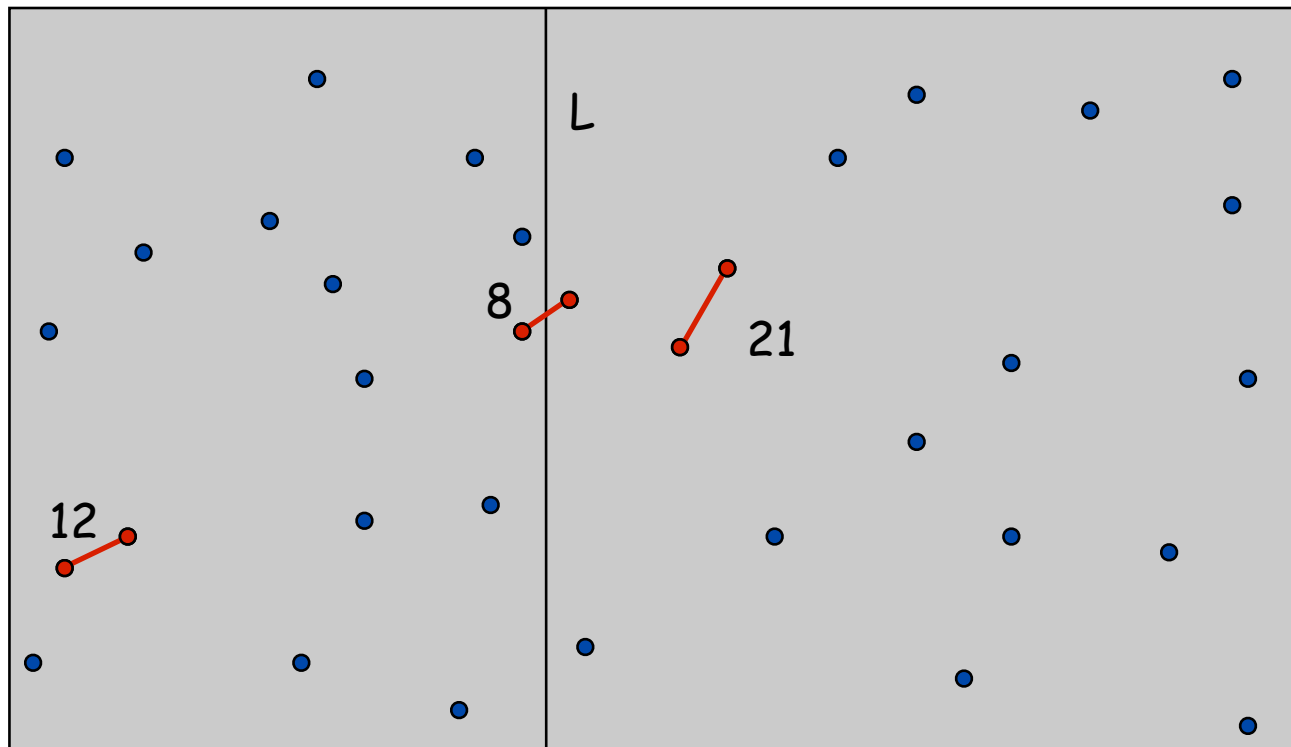
- Divide: draw vertical line L so that roughly $\frac{1}{2}n$ points on each side.
- **Conquer**: find closest pair in each side recursively.



Closest Pair of Points

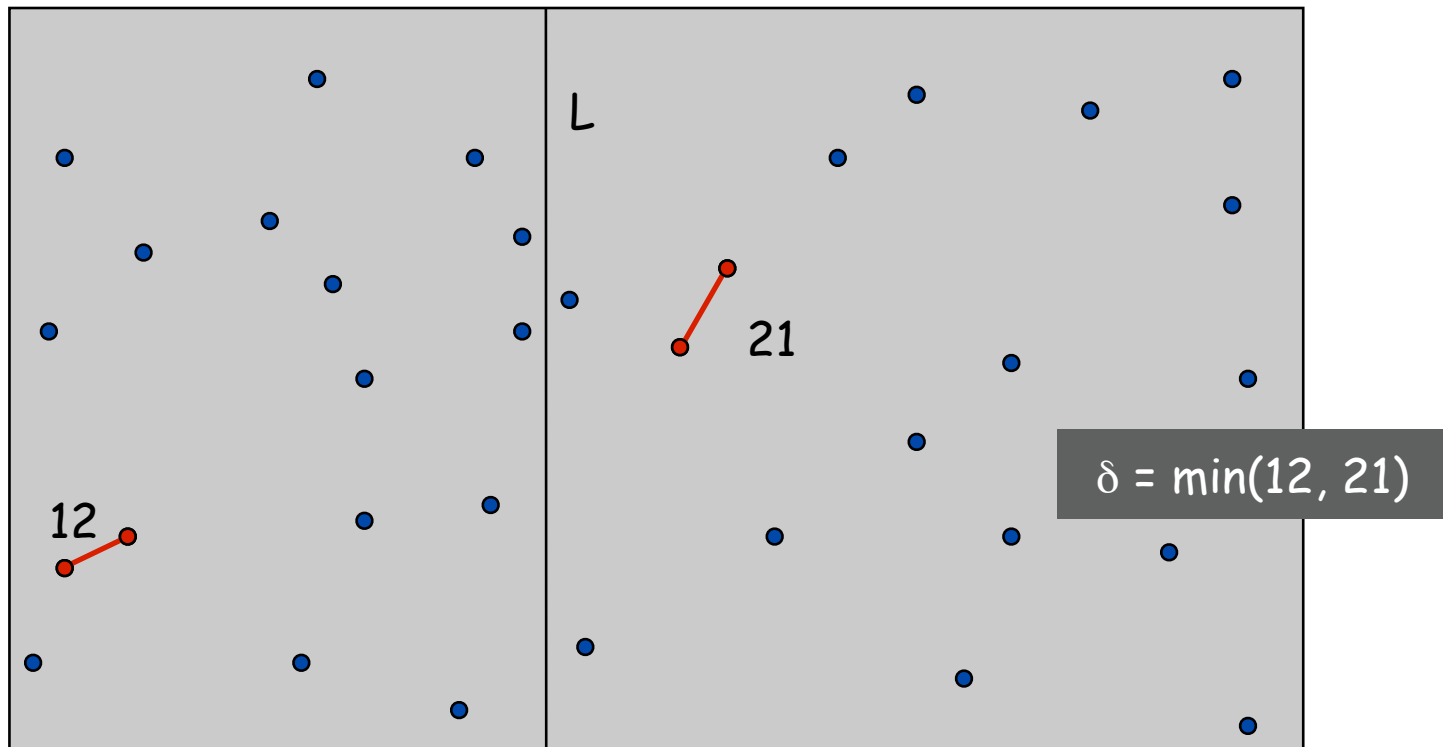
Algorithm.

- Divide: draw vertical line L so that roughly $\frac{1}{2}n$ points on each side.
- Conquer: find closest pair in each side recursively.
- **Combine**: find closest pair with one point in each side. — seems like $\Theta(n^2)$
- Return best of 3 solutions.



Closest Pair of Points

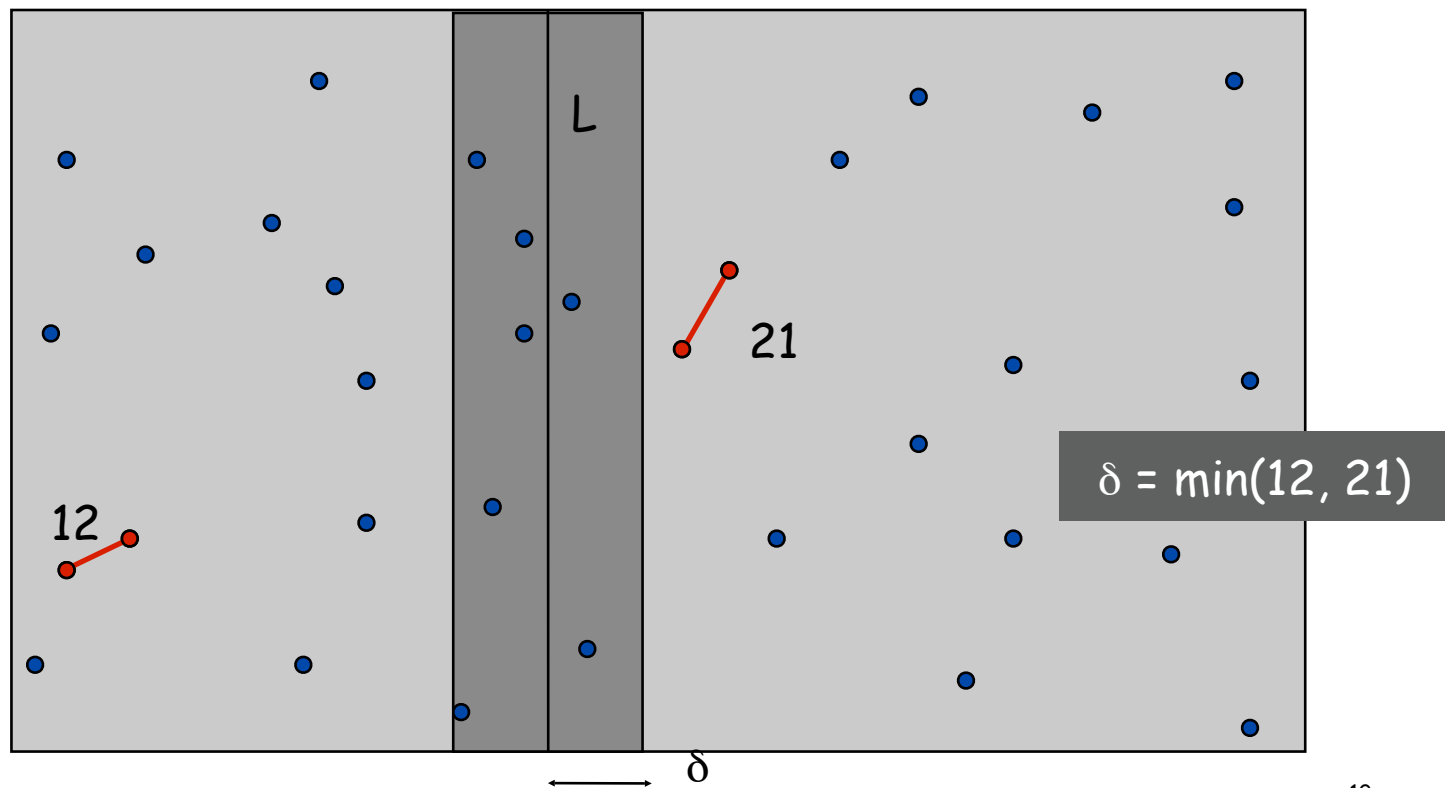
Find closest pair with one point in each side, assuming that distance $< \delta$.



Closest Pair of Points

Find closest pair with one point in each side, **assuming that distance $< \delta$** .

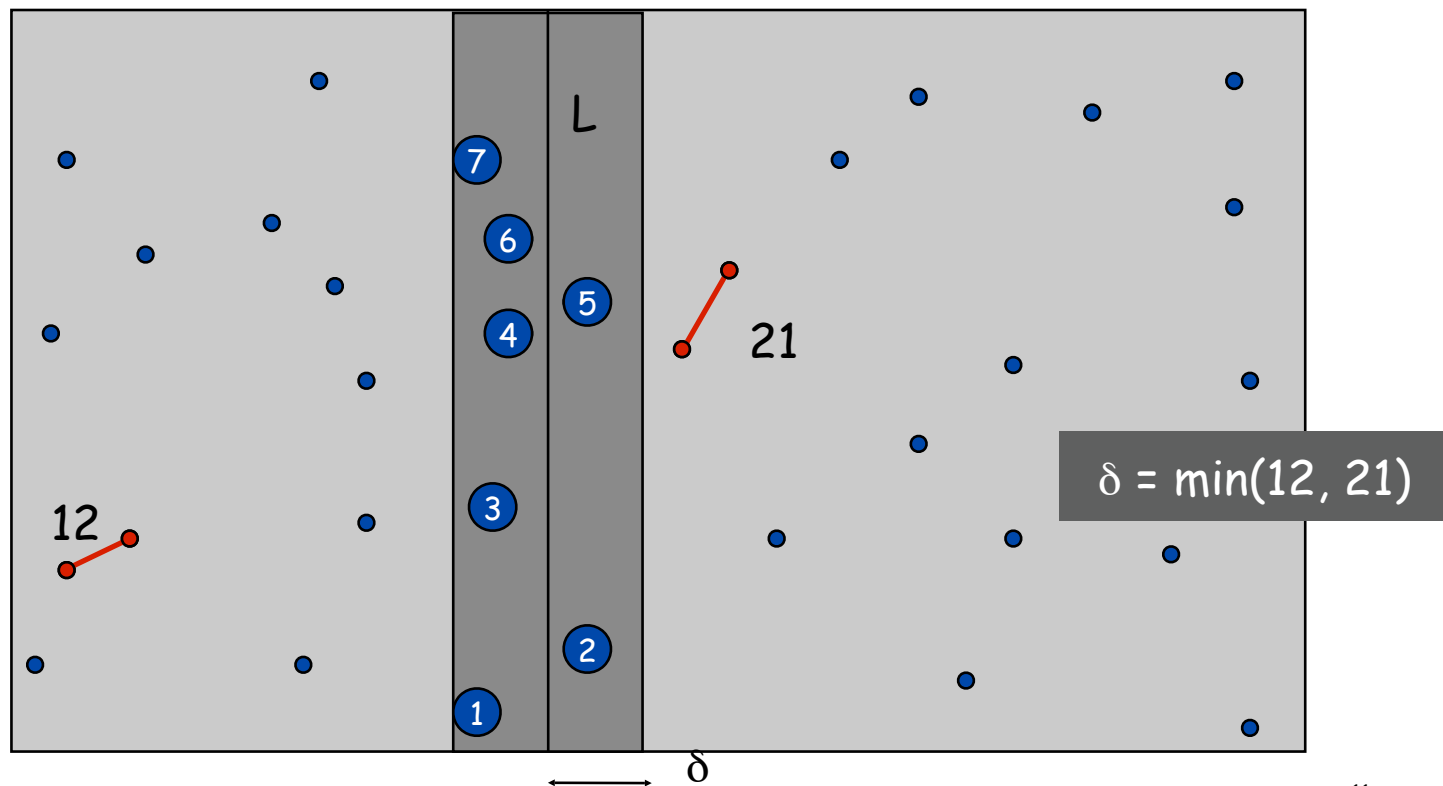
- Observation: only need to consider points within δ of line L .



Closest Pair of Points

Find closest pair with one point in each side, **assuming that distance $< \delta$** .

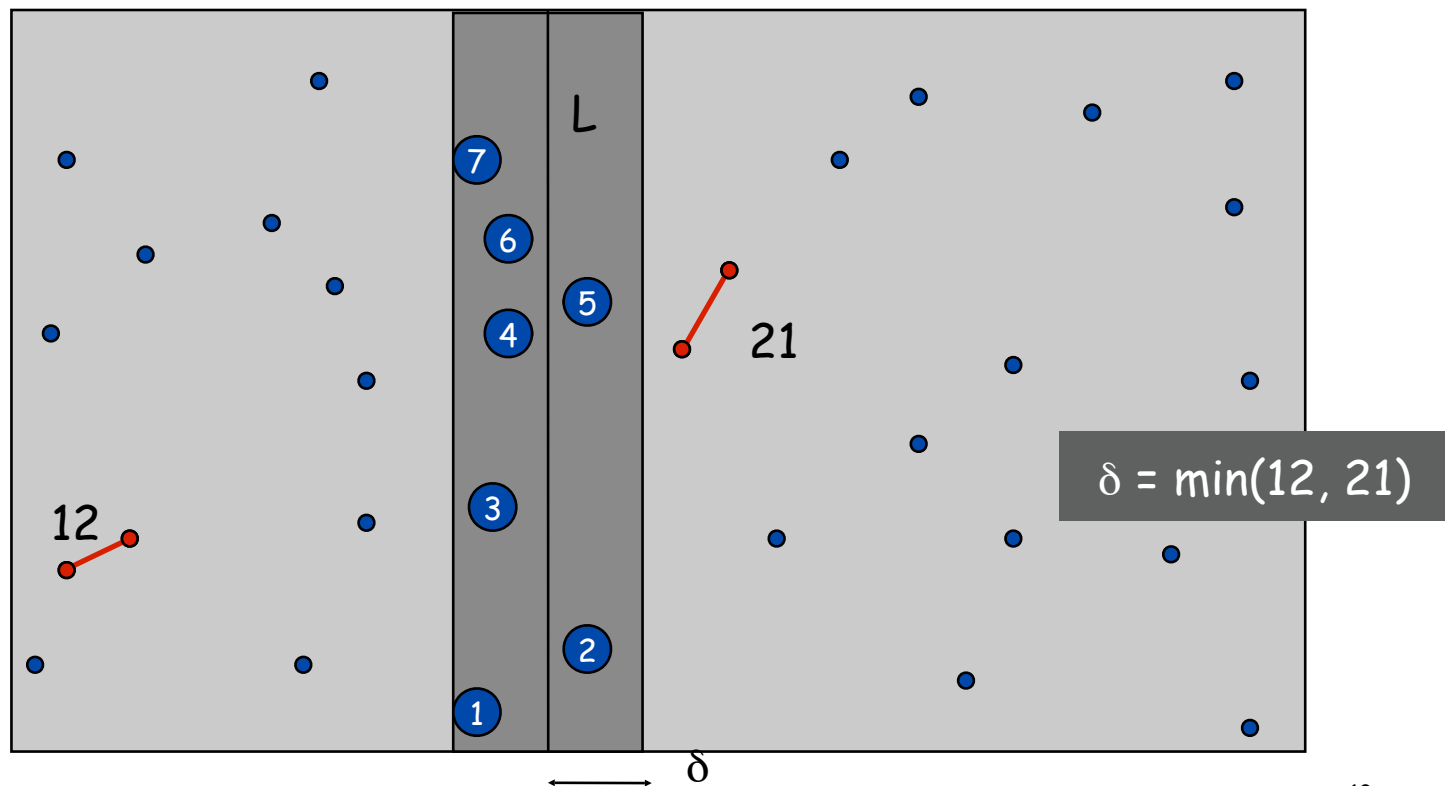
- Observation: only need to consider points within δ of line L .
- Sort points in 2δ -strip by their y coordinate.



Closest Pair of Points

Find closest pair with one point in each side, **assuming that distance $< \delta$** .

- Observation: only need to consider points within δ of line L .
- Sort points in 2δ -strip by their y coordinate.
- Only check distances of those within 11 positions in sorted list!



Closest Pair of Points

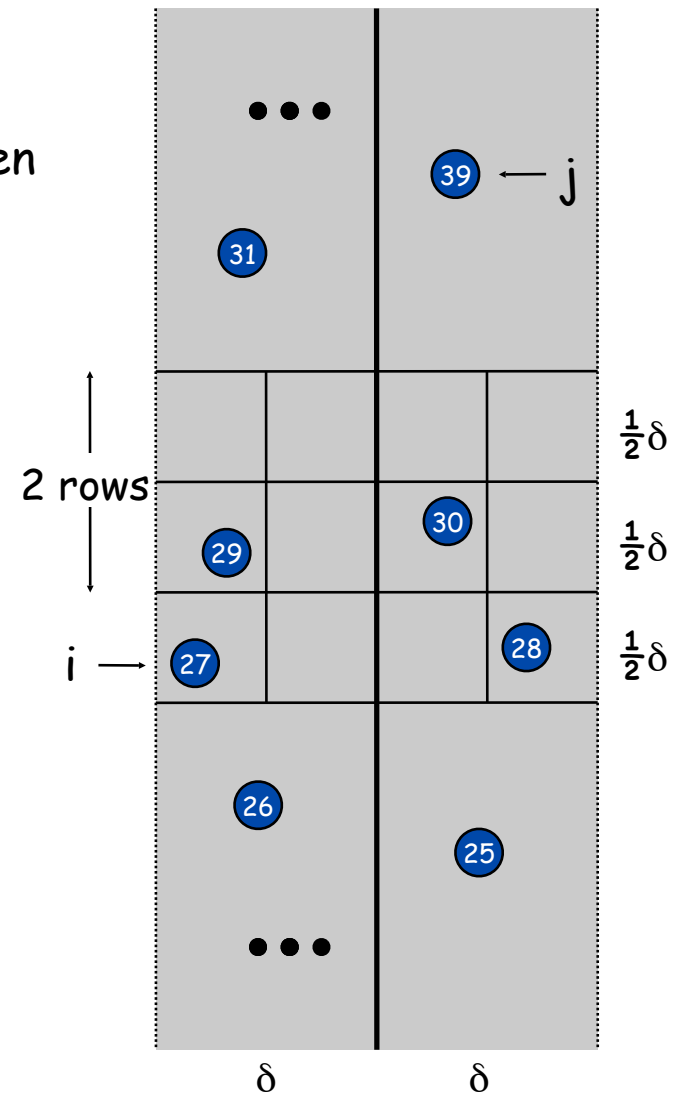
Def. Let s_i be the point in the 2δ -strip, with the i^{th} smallest y -coordinate.

Claim. If $|i - j| \geq 12$, then the distance between s_i and s_j is at least δ .

Pf.

- No two points lie in same $\frac{1}{2}\delta$ -by- $\frac{1}{2}\delta$ box.
- Two points at least 2 rows apart have distance $\geq 2(\frac{1}{2}\delta)$. ▪

Fact. Still true if we replace 12 with 7.



Closest Pair Algorithm

```
Closest-Pair( $p_1, \dots, p_n$ ) {
```

```
  Compute separation line  $L$  such that half the points  
  are on one side and half on the other side.
```

$O(n \log n)$

```
   $\delta_1 = \text{Closest-Pair}(\text{left half})$ 
```

$2T(n / 2)$

```
   $\delta_2 = \text{Closest-Pair}(\text{right half})$ 
```

```
   $\delta = \min(\delta_1, \delta_2)$ 
```

```
  Delete all points further than  $\delta$  from separation line  $L$ 
```

$O(n)$

```
  Sort remaining points by  $y$ -coordinate.
```

$O(n \log n)$

```
  Scan points in  $y$ -order and compare distance between  
  each point and next 11 neighbors. If any of these  
  distances is less than  $\delta$ , update  $\delta$ .
```

$O(n)$

```
  return  $\delta$ .
```

```
}
```

Closest Pair of Points: Analysis

Running time.

$$T(n) \leq 2T(n/2) + O(n \log n) \Rightarrow T(n) = O(n \log^2 n)$$

Q. Can we achieve $O(n \log n)$?

A. Yes. Don't sort points in strip from scratch each time.

- Each recursive returns two lists: all points sorted by y coordinate, and all points sorted by x coordinate.
- Sort by **merging** two pre-sorted lists.

$$T(n) \leq 2T(n/2) + O(n) \Rightarrow T(n) = O(n \log n)$$

$O(n \log n)$ Closest Pair Algorithm

```
Closest-Pair( $p_1, \dots, p_n$ ) {
```

```
  Compute separation line  $L$  such that half the points  
  are on one side and half on the other side.
```

$O(n)$

```
  ( $x_{sorted_1}, y_{sorted_1}, \delta_1$ ) = Closest-Pair(left half)
```

$2T(n/2)$

```
  ( $x_{sorted_2}, y_{sorted_2}, \delta_2$ ) = Closest-Pair(right half)
```

```
   $\delta = \min(\delta_1, \delta_2)$ 
```

```
  Merge  $x_{sorted_1}$  and  $x_{sorted_2}$  into  $x_{sorted}$ 
```

$O(n)$

```
  Merge  $y_{sorted_1}$  and  $y_{sorted_2}$  into  $y_{sorted}$ 
```

```
  Scan points in  $y$ -order and compare distance between  
  each point and next 11 neighbors. If any of these  
  distances is less than  $\delta$ , update  $\delta$ .
```

$O(n)$

```
  return ( $x_{sorted}, y_{sorted}, \delta$ ).
```

```
}
```


Master method for solving recurrence relations

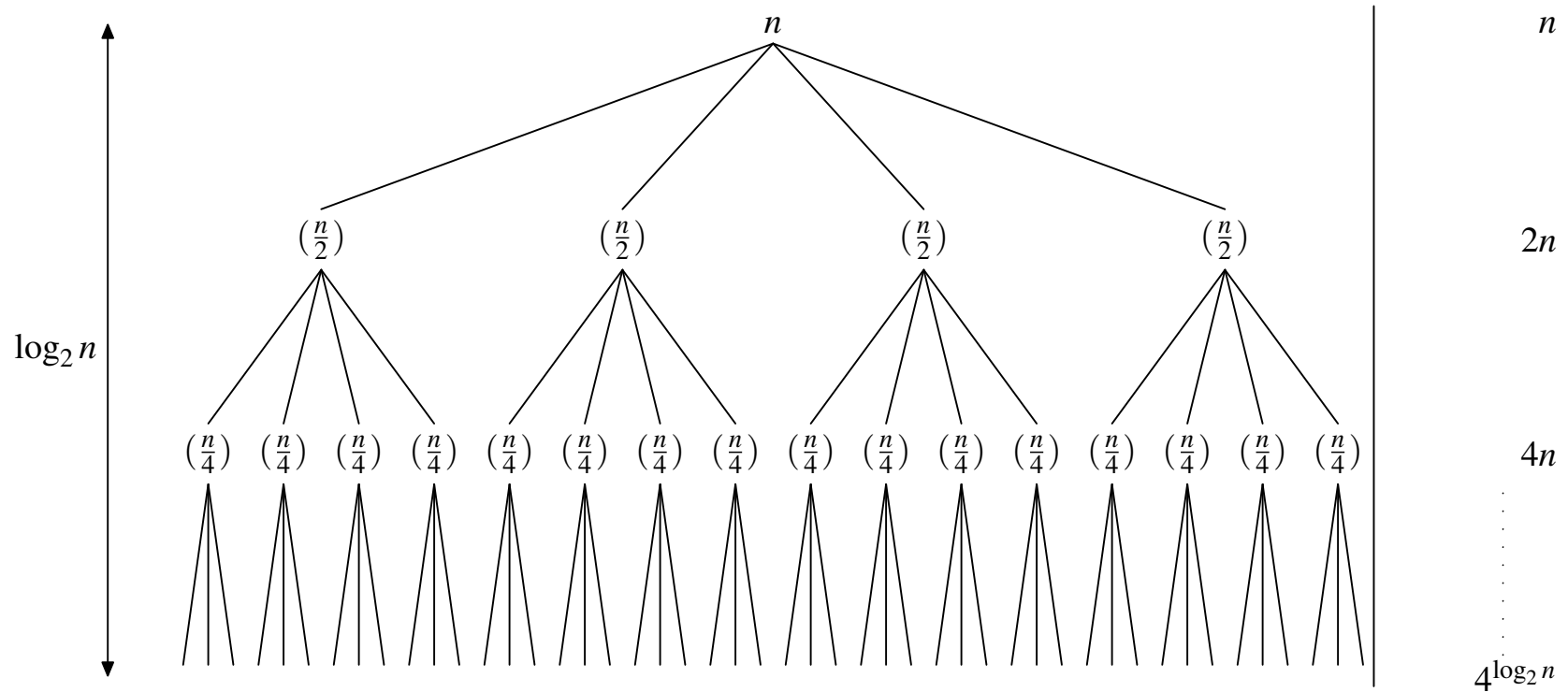
Master method idea

A recurrence tree will, in general, have either

- Time dominated by cost of the leaves
- Time dominated by cost of the root
- Time evenly distributed across the levels of the tree

Time dominated by leaves

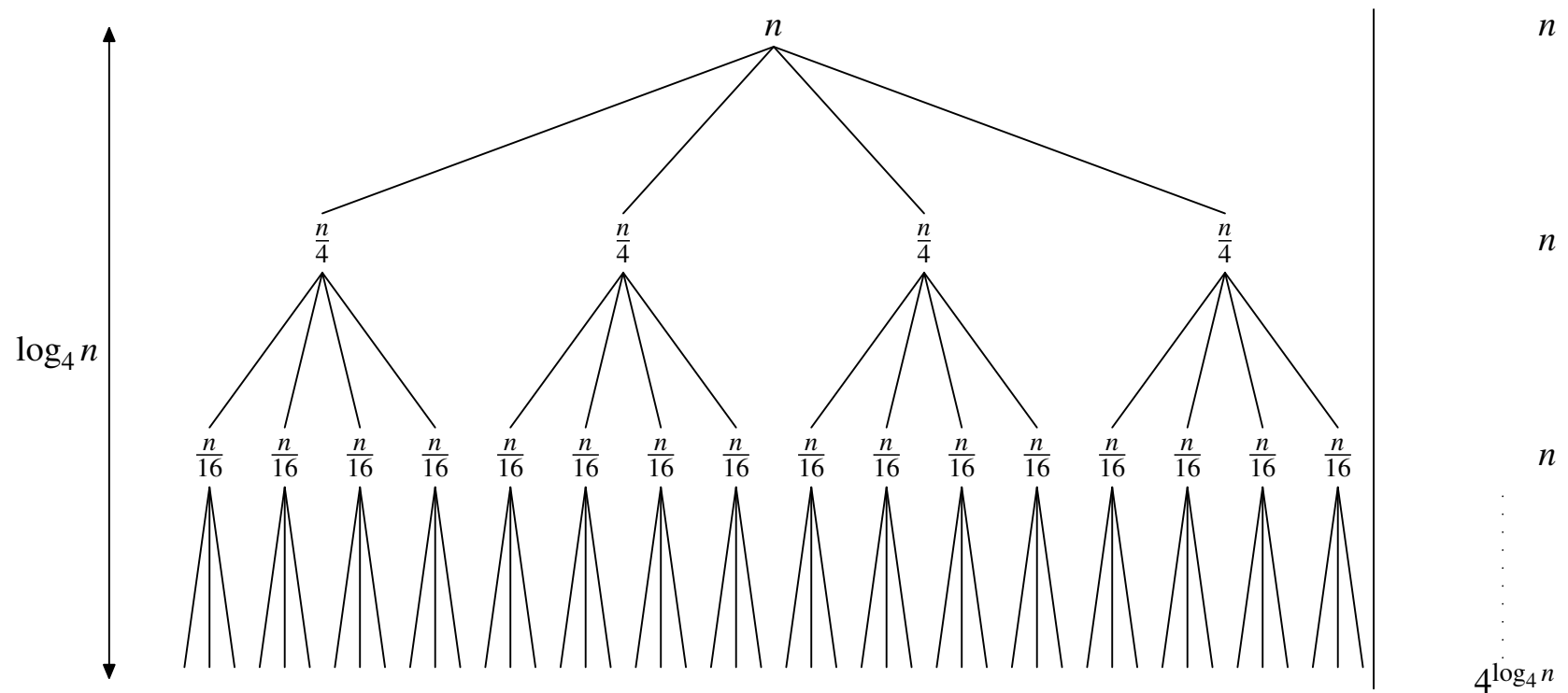
$$T(n) = 4T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + n$$



$$T(n) = \Theta(n^2)$$

Time evenly distributed across levels

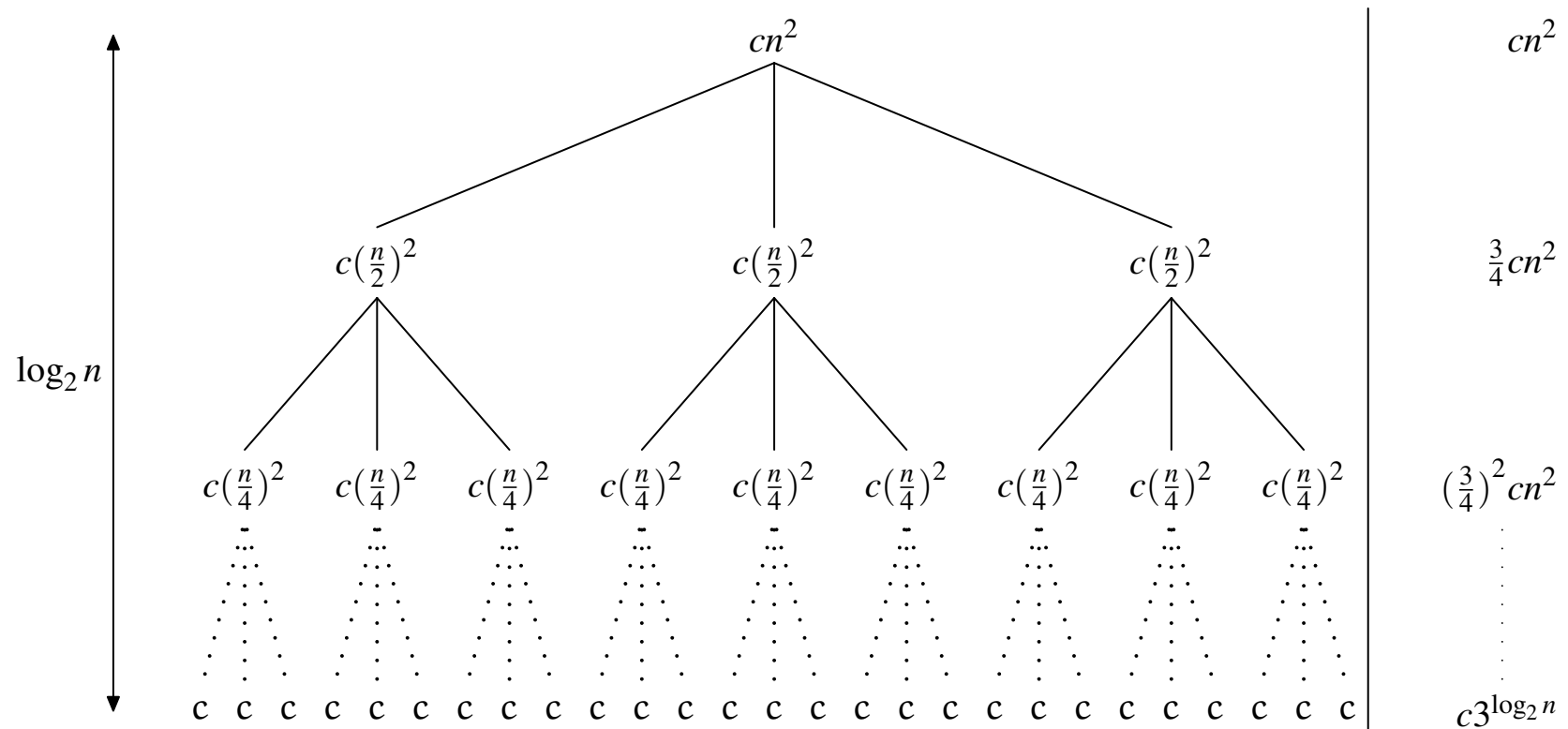
$$T(n) = 4T\left(\lfloor \frac{n}{4} \rfloor\right) + n$$



$$T(n) = \Theta(n \log n)$$

Time dominated by root

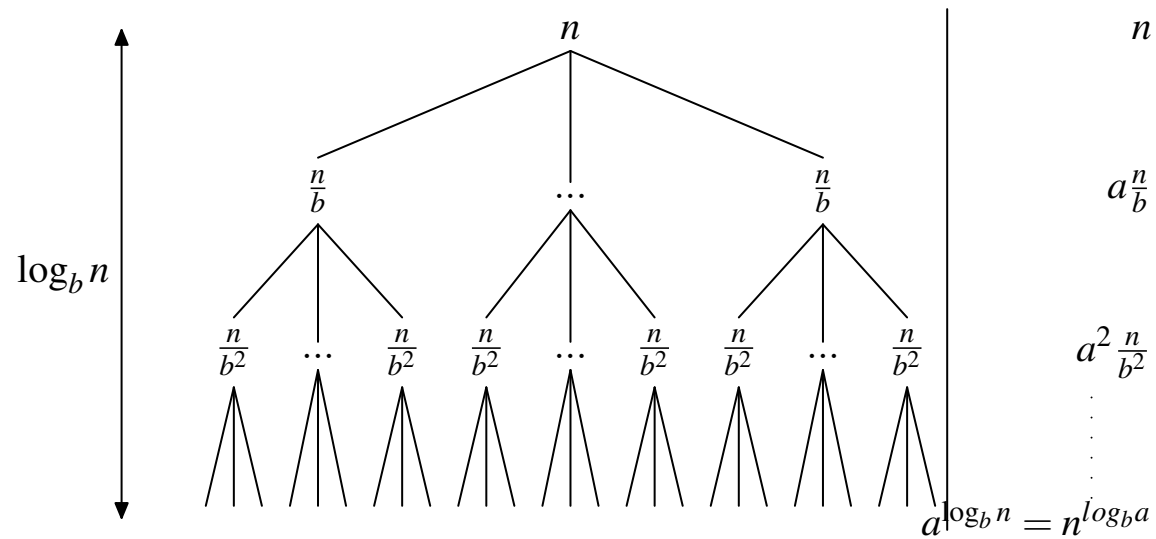
$$T(n) = 3T(\lfloor \frac{n}{2} \rfloor) + O(n^2)$$



$$T(n) = \Theta(n^2)$$

Limited master method

Recurrence: $T(n) = aT(n/b) + n$



$$\begin{aligned}
 T(n) &= n + \frac{a}{b}n + \left(\frac{a}{b}\right)^2 n + \dots + a^{\log_b n} \\
 &= \sum_{i=0}^{\log_b n} \left(\frac{a}{b}\right)^i n = n \sum_{i=0}^{\log_b n} \left(\frac{a}{b}\right)^i
 \end{aligned}$$

Limited master method

Evaluate: $T(n) = n \sum_{i=0}^{\log_b n} \left(\frac{a}{b}\right)^i$

1. $\frac{a}{b} > 1$: Time dominated by leaves. $T(n) =$

$$n \sum_{i=0}^{\log_b n} \left(\frac{a}{b}\right)^i = n \frac{\left(\frac{a}{b}\right)^{\log_b(n+1)} - 1}{\frac{a}{b} - 1} = O\left(n \left(\frac{a}{b}\right)^{\log_b n}\right) =$$

$$O\left(n \frac{a^{\log_b n}}{b^{\log_b n}}\right) = O\left(a^{\log_b n}\right) = O\left(n^{\log_b a}\right)$$

2. $\frac{a}{b} = 1$: Time evenly distributed across all levels.

$$T(n) = n \log n = O(n \log n).$$

3. $\frac{a}{b} < 1$: Time dominated by root.

$$n \sum_{i=0}^{\log_b n} \left(\frac{a}{b}\right)^i < n \frac{1}{1 - \frac{a}{b}} = O(n).$$

Limited master method

Examples

- $T(n) = 3T(\lfloor \frac{n}{2} \rfloor) + n: \frac{a}{b} = \frac{3}{2} > 1.$

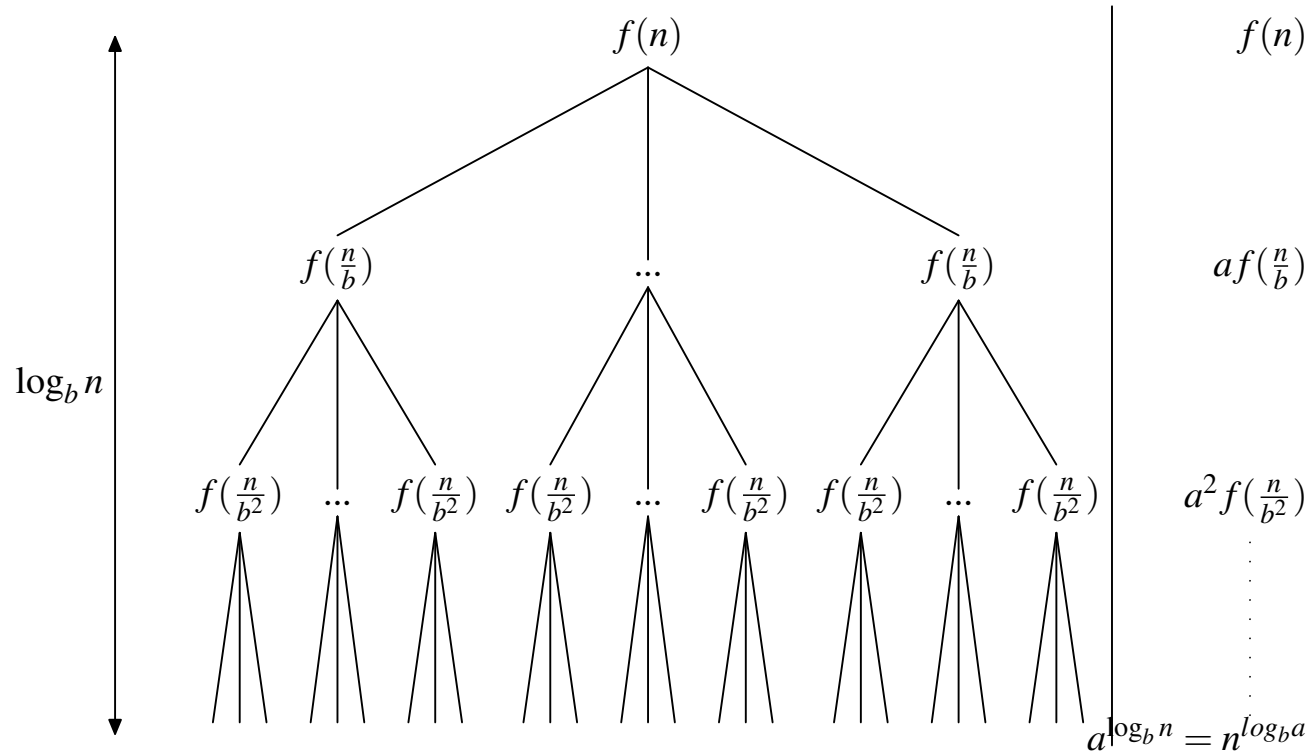
$$T(n) = O(n^{\log_2 3}) = O(n^{1.6})$$

- $T(n) = 4T(\lfloor \frac{n}{4} \rfloor) + n: \frac{a}{b} = 1. T(n) = O(n \log n)$

- $T(n) = 4T(\lfloor \frac{n}{5} \rfloor) + n. \frac{a}{b} < 1. T(n) = O(n)$

Master Method

Recurrence: $T(n) = aT(n/b) + f(n)$

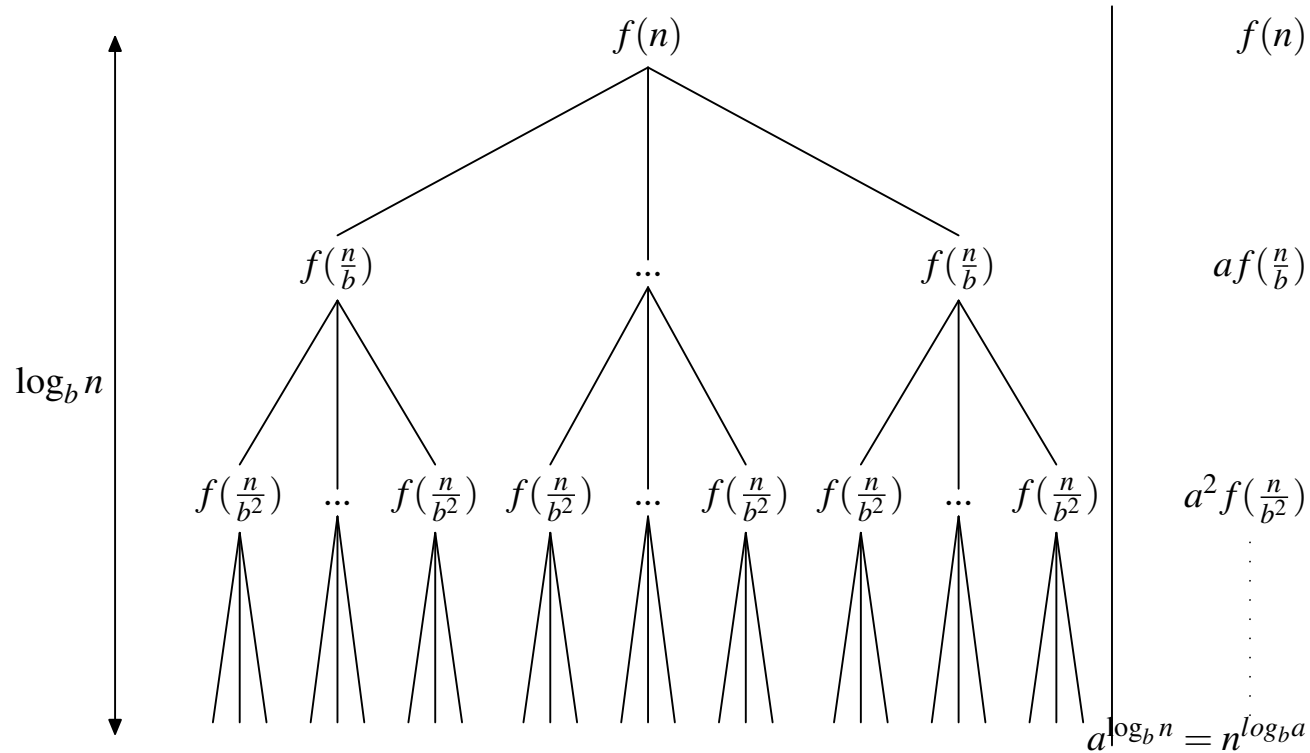


Case 1: $f(n)$ polynomially smaller than $n^{\log_b a}$ (Lots of tiny subproblems) $f(n) = O(n^{\log_b a - \epsilon})$

$$T(n) = \Theta(a^{\log_b n}) = \Theta(n^{\log_b a}) = \Theta\left(n^{\frac{\log a}{\log b}}\right)$$

Master Method

Recurrence: $T(n) = aT(n/b) + f(n)$

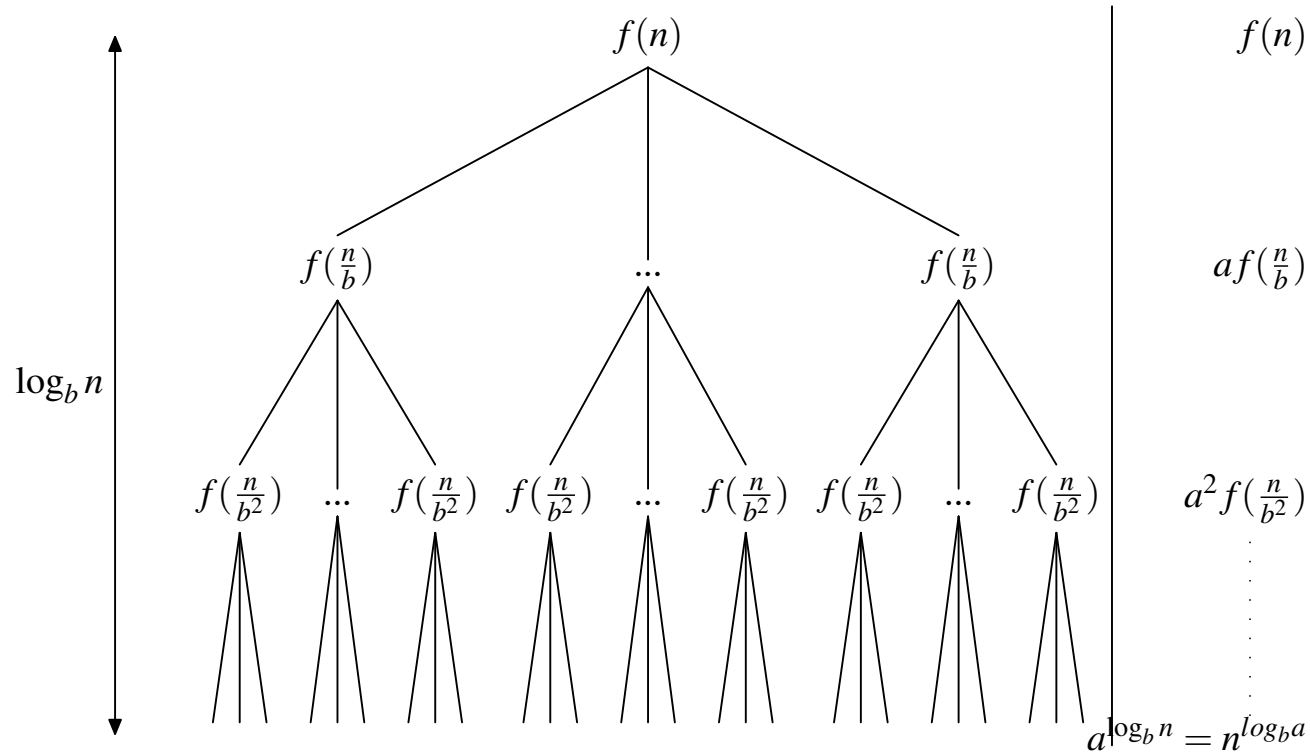


Case 2: $f(n) = \Theta(n^{\log_b a})$ (All levels about the same)

$$T(n) = \log_b n \Theta(n^{\log_b a})$$

Master Method

Recurrence: $T(n) = aT(n/b) + f(n)$



Case 3: $f(n)$ polynomially larger than $n^{\log_b a}$ (Big step is most expensive)
 $f(n) = \Omega(n^{\log_b a + \epsilon})$

$$T(n) = \Theta(f(n))$$

Master Method

Additional requirement:

- For case 3, also need regularity: there exists $c > 1$ such that for sufficiently large n : $f(n) \geq c \cdot af(n/b)$. That is, each lower level is at least a constant fraction smaller than the higher level. Actually, this regularity implies polynomially larger, so case 3 need meet only this requirement.

Polynomials or $f(n) = cn^k \log^j n$ are regular.

Master Method

Examples

- $T(n) = 3T\left(\frac{n}{2}\right) + O(n^2): f(n) = \Omega(n^{\log_2 3 + .2})$

$$T(n) = O(n^2)$$

- $T(n) = 3T\left(\frac{n}{2}\right) + n^{1.4}: f(n) = O(n^{\log_2 3 - .1})$

$$T(n) = O(n^{\log_2 3}).$$

- $T(n) = 16T\left(\frac{n}{4}\right) + 10n^2 + 3n + 5:$

$$f(n) = \Theta(n^{\log_4 16}). T(n) = O(n^2 \log n)$$

5.5 Integer Multiplication

Integer Arithmetic

Add. Given two n -digit integers a and b , compute $a + b$.

- $O(n)$ bit operations.

Multiply. Given two n -digit integers a and b , compute $a \times b$.

- Brute force solution: $\Theta(n^2)$ bit operations.

```
1 1 1 1 1 1 0 1
+ 1 1 0 1 0 1 0 1
+ 0 1 1 1 1 1 0 1
-----
1 0 1 0 1 0 0 1 0
```

Add

Multiply

```
          1 1 0 1 0 1 0 1
        * 0 1 1 1 1 1 0 1
        -----
          1 1 0 1 0 1 0 1 0
         0 0 0 0 0 0 0 0 0
        1 1 0 1 0 1 0 1 0
       1 1 0 1 0 1 0 1 0
      1 1 0 1 0 1 0 1 0
     1 1 0 1 0 1 0 1 0
    1 1 0 1 0 1 0 1 0
   0 0 0 0 0 0 0 0 0
  -----
 0 1 1 0 1 0 0 0 0 0 0 0 0 0 1 0
```

Divide-and-Conquer Multiplication: Warmup

To multiply two n -digit integers:

- Multiply four $\frac{1}{2}n$ -digit integers.
- Add two $\frac{1}{2}n$ -digit integers, and shift to obtain result.

$$\begin{aligned}x &= 2^{n/2} \cdot x_1 + x_0 \\y &= 2^{n/2} \cdot y_1 + y_0 \\xy &= (2^{n/2} \cdot x_1 + x_0) (2^{n/2} \cdot y_1 + y_0) = 2^n \cdot x_1 y_1 + 2^{n/2} \cdot (x_1 y_0 + x_0 y_1) + x_0 y_0\end{aligned}$$

$$T(n) = \underbrace{4T(n/2)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, shift}} \Rightarrow T(n) = \Theta(n^2)$$

↑
assumes n is a power of 2

↑
Master method: $\log_{ba} = \log_2 4 = 2$
 $f(n) = O(n^{2-1})$. Case 1:

Karatsuba Multiplication

To multiply two n -digit integers:

- Add two $\frac{1}{2}n$ digit integers.
- Multiply **three** $\frac{1}{2}n$ -digit integers.
- Add, subtract, and shift $\frac{1}{2}n$ -digit integers to obtain result.

$$\begin{aligned}x &= 2^{n/2} \cdot x_1 + x_0 \\y &= 2^{n/2} \cdot y_1 + y_0 \\xy &= 2^n \cdot x_1 y_1 + 2^{n/2} \cdot (x_1 y_0 + x_0 y_1) + x_0 y_0 \\&= 2^n \cdot x_1 y_1 + 2^{n/2} \cdot ((x_1 + x_0)(y_1 + y_0) - x_1 y_1 - x_0 y_0) + x_0 y_0\end{aligned}$$

A B A C C

Theorem. [Karatsuba-Ofman, 1962] Can multiply two n -digit integers in $O(n^{1.585})$ bit operations.

$$\begin{aligned}T(n) &\leq \underbrace{T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + T(1 + \lceil n/2 \rceil)}_{\text{recursive calls}} + \underbrace{\Theta(n)}_{\text{add, subtract, shift}} \\ \Rightarrow T(n) &= O(n^{\log_2 3}) = O(n^{1.585})\end{aligned}$$

Karatsuba: Master Method

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ 3T(n/2) + n & \text{otherwise} \end{cases}$$

$$\log_b a = \log_2 3 = (\text{roughly}) \mathbf{1.585}$$

$$f(n) = n$$

$$f(n) = O(n^{1.585 - .1}) \quad (\text{polynomially smaller})$$

$$T(n) = \theta(n^{1.585})$$

Karatsuba: Recursion Tree

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ 3T(n/2) + n & \text{otherwise} \end{cases}$$

$$T(n) = \sum_{k=0}^{\log_2 n} n \left(\frac{3}{2}\right)^k = \frac{\left(\frac{3}{2}\right)^{1+\log_2 n} - 1}{\frac{3}{2} - 1} = 3n^{\log_2 3} - 2$$

