

Section 3

Bootstrapping

Bootstrapping

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Advanced
Cryptography

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Introduction

Defining FHE

Bootstrapping

LWE

Linearity

Key Switching

Multiplication

FHE!!

Ring LWE

- For simplicity: fix message space to $\{0, 1\}$
- $HE = (\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval})$
 - Homomorphic functions: $\text{Func} = \{ \mathbf{nand} \}$
 - Supports only bounded computations: $\text{Depth}(C) < D$

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- $HE = (\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval})$
 - Homomorphic functions: $\text{Func} = \{ \text{nand} \}$
 - Supports only bounded computations: $\text{Depth}(C) < D$

Question

Can we use HE to build a FHE scheme supporting arbitrary circuits/functions?

- The process of building FHE from HE is called “bootstrapping”

Decryption as a boolean function

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FHE!!

Ring LWE

- Everything is a sequence of bits
 - Secret key sk : $\{0, 1\}^k$
 - Ciphertext ct : $\{0, 1\}^l$
- $Dec(sk, ct)$: $\{0, 1\}$

Decryption as a boolean function

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- Everything is a sequence of bits
 - Secret key sk : $\{0, 1\}^k$
 - Ciphertext ct : $\{0, 1\}^l$
- $Dec(sk, ct): \{0, 1\}$
- Usually we think of Dec as a function
 - described by secret key sk
 - mapping ciphertext ct to message bit $Dec(sk, ct): \{0, 1\}$

Decryption as a boolean function

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Ring LWE

- Everything is a sequence of bits
 - Secret key sk : $\{0, 1\}^k$
 - Ciphertext ct : $\{0, 1\}^l$
- $Dec(sk, ct): \{0, 1\}$
- Usually we think of Dec as a function
 - described by secret key sk
 - mapping ciphertext ct to message bit $Dec(sk, ct): \{0, 1\}$
- But we can also think of Dec as a function
 - described by ciphertext ct
 - mapping secret key sk to message bit $Dec(sk, ct): \{0, 1\}$

Homomorphic Decryption

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Ring LWE

- Fix a ciphertext c
- Define $f_c : sk \mapsto Dec(sk, c)$
- Assume $Size(f_c) < S$, $Depth(f_c) < D$
- Let $bk[1..k] = Enc(pk, sk[1..k])$

Question

What is the result of the following computation?

$EvalC(pk, f_c, bk[1..k])$

Proxy Re-encryption

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FHE!!

Ring LWE

- Primary key: (pk, sk)
- Secondary key: $(pk1, sk1)$
- Re-encryption key: $rk = Enc(pk1, sk[1..k])$
- Input ciphertext $c = Enc(pk, m)$
- Decryption function $f_c(sk) = Dec(sk, c)$

Question

What is the result of the following computation?

$EvalC(pk1, f_c, rk)$

Decrypt and compute (unary)

- Homomorphic Encryption ($\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval}$)
- Assume $\text{Func} = \{ f_c \mid c: \text{CipherText} \}$ where
$$f_c(\text{sk}) = \text{not} (\text{Dec}(\text{sk}, c))$$

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Decrypt and compute (unary)

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Ring LWE

- Homomorphic Encryption ($\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval}$)
- Assume $\text{Func} = \{ f_c \mid c: \text{CipherText} \}$ where

$$f_c(\text{sk}) = \text{not}(\text{Dec}(\text{sk}, c))$$

$$(\text{pk}, \text{sk}) \leftarrow \text{Gen}()$$

$$\text{ek} = \text{Enc}(\text{pk}, \text{sk})$$

$$c = \text{Enc}(\text{pk}, m)$$

Question

What is the result of the following computation?

$$\text{EvalC}(\text{pk}, f_c, \text{ek})$$

Decrypt and compute (binary)

- Homomorphic Encryption ($\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval}$)
- Assume $\text{Func} = \{ f_{c,c'} \mid c, c': \text{CipherText} \}$ where
$$f_{c,c'}(\text{sk}) = \text{Dec}(\text{sk}, c) \text{ nand } \text{Dec}(\text{sk}, c')$$

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Decrypt and compute (binary)

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- Homomorphic Encryption ($\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval}$)
- Assume $\text{Func} = \{ f_{c,c'} \mid c, c': \text{CipherText} \}$ where

$$f_{c,c'}(\text{sk}) = \text{Dec}(\text{sk}, c) \text{ nand } \text{Dec}(\text{sk}, c')$$

$$(\text{pk}, \text{sk}) \leftarrow \text{Gen}()$$

$$\text{ek} \leftarrow \text{Enc}(\text{pk}, \text{sk})$$

$$c \leftarrow \text{Enc}(\text{pk}, m)$$

$$c' \leftarrow \text{Enc}(\text{pk}, m')$$

Question

What is the result of the following computation?

$$\text{EvalC}(\text{pk}, f_{c,c'}, \text{ek})$$

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- Given (1-hop) $(\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval})$ supporting functions

$$f_{c,c'}(\text{sk}) = \text{Dec}(\text{sk}, c) \text{ nand } \text{Dec}(\text{sk}, c')$$

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Ring LWE

- Given (1-hop) $(\text{Gen}, \text{Enc}, \text{Dec}, \text{Eval})$ supporting functions

$$f_{c,c'}(\text{sk}) = \text{Dec}(\text{sk}, c) \text{ nand } \text{Dec}(\text{sk}, c')$$

- Define (multi-hop) FHE scheme with $\text{Func} = \{ \text{nand} \}$

$$\text{Gen}'() = (\text{sk}, \text{pk}) \leftarrow \text{Gen}()$$

$$\text{ek} \leftarrow \text{Enc}(\text{pk}, \text{sk})$$

$$\text{return } (\text{sk}, (\text{pk}, \text{ek}))$$

$$\text{Enc}'((\text{pk}, \text{ek}), m) = \text{Enc}(\text{pk}, m)$$

$$\text{Eval}'((\text{pk}, \text{ek}), \text{nand}, c, c')$$

$$= \text{EvalC}(\text{pk}, f_{c,c'}, \text{ek})$$

Correctness

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Let $(\text{Gen}', \text{Enc}', \text{Dec}, \text{Eval}')$ be the new encryption scheme

Theorem

If $\text{Dec}(\text{sk}, c) = m$ and $\text{Dec}(\text{sk}, c') = m'$, then

$$\text{Dec}(\text{sk}, \text{Eval}'((\text{pk}, \text{ek}), \text{nand}, c, c')) = m \text{ nand } m'$$

Correctness

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Ring LWE

Let $(\text{Gen}', \text{Enc}', \text{Dec}, \text{Eval}')$ be the new encryption scheme

Theorem

If $\text{Dec}(\text{sk}, c) = m$ and $\text{Dec}(\text{sk}, c') = m'$, then

$$\text{Dec}(\text{sk}, \text{Eval}'((\text{pk}, \text{ek}), \text{nand}, c, c')) = m \text{ nand } m'$$

Strong correctness property:

$$\begin{aligned} & \text{Dec}(\text{sk}, \text{Eval}'((\text{pk}, \text{ek}), \text{nand}, c, c')) \\ &= \text{Dec}(\text{sk}, c) \text{ nand } \text{Dec}(\text{sk}, c') \end{aligned}$$

for **any** ciphertexts c, c' !

Security

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FHE!!

Ring LWE

- Assume FHE = (Gen, Enc, Dec, Eval) is IND-CPA secure
- Build new scheme FHE':

```
Gen'() = (sk, pk) ← Gen()
      ek ← Enc(pk, sk)
      return (sk, (pk, ek))
```

```
Enc'((pk, ek), m) = Enc(pk, m)
```

Is FHE' IND-CPA secure?

Leveled Homomorphic Encryption

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FHE!!

Ring LWE

- Goal: build a FHE supporting NAND circuits of depth up to L , for any given L
- Key generation procedure takes L as input:

Leveled Homomorphic Encryption

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FHE!!

Ring LWE

- Goal: build a FHE supporting NAND circuits of depth up to L , for any given L
- Key generation procedure takes L as input:

```
Gen'(L) =  
  for (i=0..L)  
    (sk[i], pk[i]) ← Gen()  
  for (i=1..L)  
    ek[i] = Enc(pk[i], sk[i-1])  
  sk' = sk[0..L]  
  pk' = pk[0..L], ek[1..L]  
  return (sk', pk')
```

```
Enc'(pk', m) = Enc(pk[0], m)
```

FHE Today

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State of the art

We can build leveled FHE from standard LWE assumption

- Built using bootstrapping
- Inefficient, but better than nothing

Open problem

Build (non-leveled) FHE from standard LWE

- In practice, one can apply bootstrapping with
$$ek = \text{Enc}(pk, sk)$$
- Much smaller key than leveled FHE
- No known attacks to circular security
- Still, it is not known how to prove security