

# **Video-based Car Surveillance:**

**License Plate, Make, and Model Recognition**

UCSD Master's Thesis 2005

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## **Introduction**

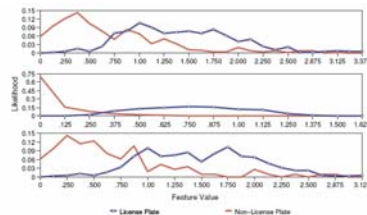
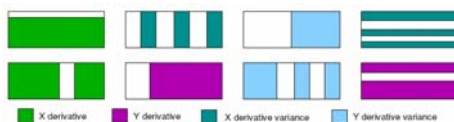
- **Low Cost License Plate Recognition System**
  - No Need for Expensive Hardware for High Quality Video or Other Sensors
- **Extend LPR to More General Make and Model Recognition**
  - Database Queries Possible with Partial License Plate and Car Visual Description

# Outline

- License Plate Detection (LPD)
- License Plate Recognition (LPR)
  - Tracking
  - Optical Character Recognition (OCR)
- Make and Model Recognition (MMR)
- Conclusions and Future Work

## License Plate Detection

- Window Search Over Entire Frame
  - 3 Different Sized Windows
  - Independent Classifier for Each Size
- Strong Classifier Constructed from Weak Classifiers Via AdaBoost
  - Computationally Simple



# AdaBoost

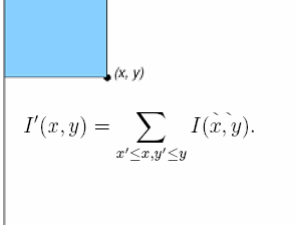
- Adaptive Algorithm Constructs a Strong Classifier as a Combination of Weaker Classifiers
- Build Initial Classifier Model
- Identify Samples not Explained by Model
  - Mis-Classified Samples
- New Model Built Using New Training Set which Includes the Difficult Mis-Classified Samples from the Previous Model

# Optimizations

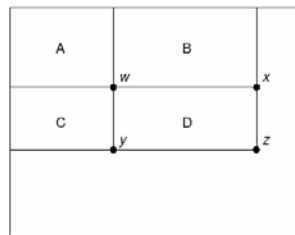
- Fast Detection Rates
  - 640 x 480 Image Size
  - 10 Frames/sec
- Viola and Jones (2001)
  - Integral Images
  - Cascaded Classifiers

# Integral Images

- MN Array Accesses for MxN Array (2400 Simple Classifier)
- Use Rectangular Structure to Reduce Accesses to 4
- Sum of Pixels Above and to Left

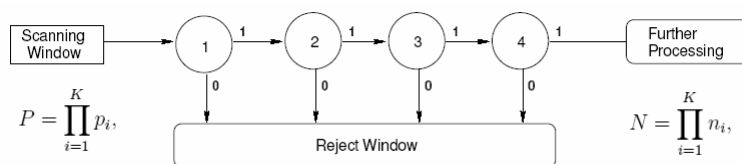


$$I'(x, y) = \sum_{x' \leq x, y' \leq y} I(x', y').$$



$$D = I'(w) + I'(z) - (I'(x) + I'(y)).$$

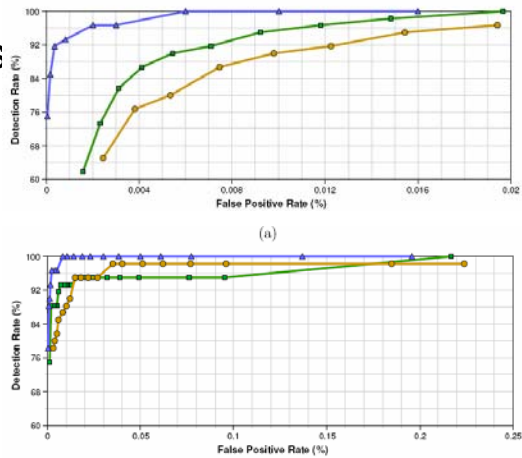
# Cascaded Classifiers



- Group Classifiers into Several Stages in Order of Increasing Complexity
  - Simple Effective Early Classifiers can Reject Most Erroneous Regions
- Train Stages on False Positives of Previous Stage

# LPD Results

- Detector Trained on Several Scales
- Many False Positives Come From Other Text in Scene



# License Plate Recognition

- Use Detection Result to Construct Tracks
  - Robust Plate Detection
  - Enforce Track Smoothness Constraints
  - Multiple Detections for Super-Resolution
- Optical Character Recognition (OCR)
  - NCC Template Matching



# Super-Resolution

- Multiple Low Res Samples ( $L_k$ ) Used to Construct Single High Res (H) Image

$$\hat{L}_k(x, y) = S \downarrow (h(x, y) * H(T_k(x, y))) + \eta(x, y),$$

- Estimate H Given  $L_k$ 
  - Register Tracks with NCC ( $T_k$ )
  - Use Gaussian PSF ( $h$ )
  - Additive Gaussian noise ( $n$ )
  - Down Sample by 2 or 4 ( $S$ )
- Used to Separate License Characters

# Super-Resolution Algorithm

- Maximize 

- MLE

- No Priors – All  $\hat{H}$  Equally Likely

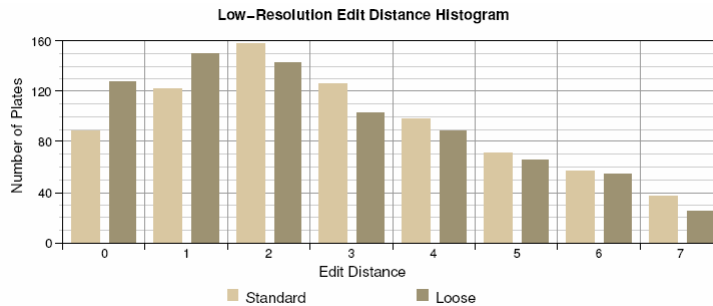
- MAP

- Solved Using Gradient Descent Methods

- Smoothness Prior  $Pr_s(\hat{H}(x, y)) = c_s e^{-\rho(\hat{H}(x, y) - \bar{\hat{H}}(x, y))}$ ,

- Bi-Modal Prior  $Pr_b(\hat{H}(x, y)) = c_b e^{-(\hat{H}(x, y) - \mu_0)^2 (\hat{H}(x, y) - \mu_1)^2}$ ,

# LPR Results



- Edit (Levenshtein) Distance for Accuracy Measure
  - Loose Measure – Avoids Penalties for Commonly Mistaken Characters {Z, 2}, {B, 8}

## Make and Model Recognition

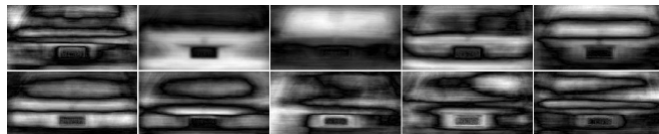
- Selected Car ROI from LPD
  - Placed in Canonical Position
- Compared Different Recognition Algorithms
  - Appearance-Based Methods
    - Eigencars
  - Feature-Based Methods
    - Shape Context Matching
    - SIFT Matching

# Eigencars

- Dimensionality Reduction Using Principle Component Analysis (PCA)
  - Car Image (Pixel Intensities) as Feature Vector
- Project Each Car Image to Lower Dimensional Space
  - Classify Match as Closest ( $L_2$  Distance) Database Car

# Eigencars Results

- Recognition Rate of 23.7%
  - Recognition Rate of 2.5% for Random Guessing
- Improvements
  - Discard Largest Eigenvalues
    - 44.7 – 47.4% Recognition
  - Fisherface Method





# Improved Eigencars



- Using All N Eigencars



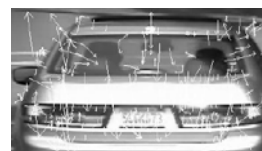
- Using N-3 Eigencars

# Feature Extraction

- Corner Detectors
  - Harris and Förstner
- Salient Features
  - High Entropy

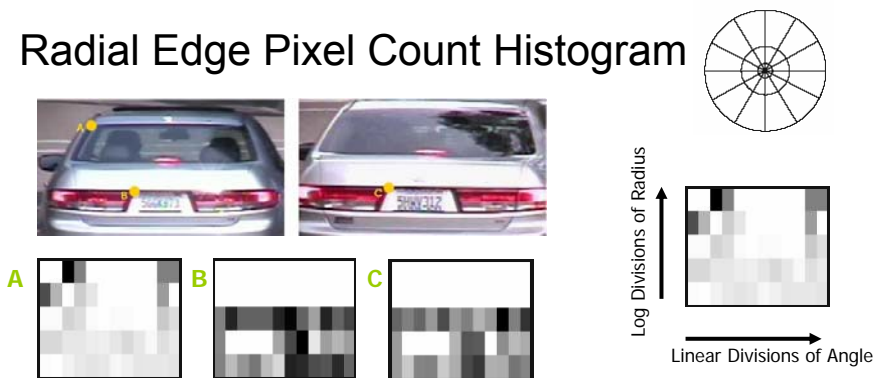
$$\mathcal{H}(s, x) = \sum_{i=0}^{255} P_{s,x}(i) \log P_{s,x}(i).$$

- SIFT Features
  - Scale Invariant Feature Transform



# Shape Contexts

- Radial Edge Pixel Count Histogram



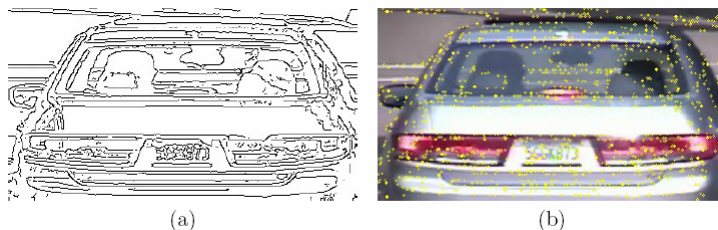
- Usually Compared Using Chi-Squared or  $L_2$  Distance

$$d(\mathbf{h}_i, \mathbf{h}_j) = \sum_{\text{bins } k} \frac{\|\mathbf{h}_i(k) - \mathbf{h}_j(k)\|^2}{\|\mathbf{h}_i(k) + \mathbf{h}_j(k)\|}$$

or  $L_2$  Distance

# Shape Context Matching

- For Database Entries,  $d$ , and Query Images,  $q$ , Take  $N$  Random Sample Points of Corresponding Edge Images and Compute Shape Context Around Each Point



## Shape Context Matching

- For Each  $d$ 
  - For Each Sampled Edge Point,  $p_q$ , in  $q$  Find Best Matching Point  $p_d$  Within a Radius Threshold Using Chi-Squared Distance
  - Create Match Cost as Sum of Distances For Every Correspondence
- Choose  $d$  with Lowest Cost as Match

## Shape Contest Results

- Descriptor Radius – 35 Pixels
- Sampling Size –  $N = 400$  Points
- 65.8% Recognition
  - 5 x 12 Shape Context
- 63.2% Recognition
  - 9x4 Shape Context

# SIFT Features

- 4 Step Procedure
  - Scale-Space Extrema Detection
  - Keypoint Localization
  - Orientation Assignment
  - Descriptor Assignment

- Scale Space

$$L(x, y, \sigma) = G(x, y, \sigma) * I(x, y),$$

$\sigma$  – quantized scale factor

$$G(x, y, \sigma) = \frac{1}{2\pi\sigma^2} e^{-(x^2+y^2)/2\sigma^2}.$$

# SIFT Features

- Keypoint Localization
  - Find Extrema in  $D(x, y, \sigma) = L(x, y, k\sigma) - L(x, y, \sigma),$

- Orientation Assignment

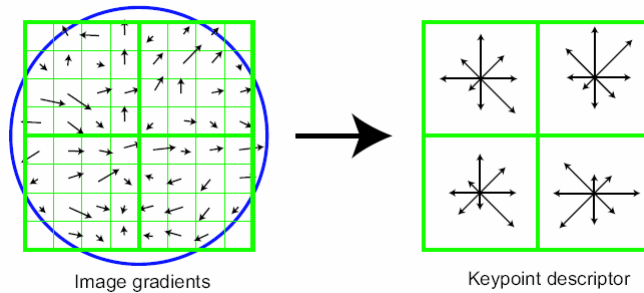
$$m(x, y) = \sqrt{(L(x+1, y) - L(x-1, y))^2 + (L(x, y+1) - L(x, y-1))^2}$$

$$\theta(x, y) = \tan^{-1} \frac{L(x, y+1) - L(x, y-1)}{L(x+1, y) - L(x-1, y)}$$

- Descriptor Assignment (16 x 8 = 128 Dim)
  - Divide Region Around Keypoint into 16 Symmetric Sub-Regions and Create 8 Orientation Bins

# SIFT Descriptor

- Scale and Rotation Invariant
  - $\sigma$  – Scale Factor
  - Keypoint Orientation

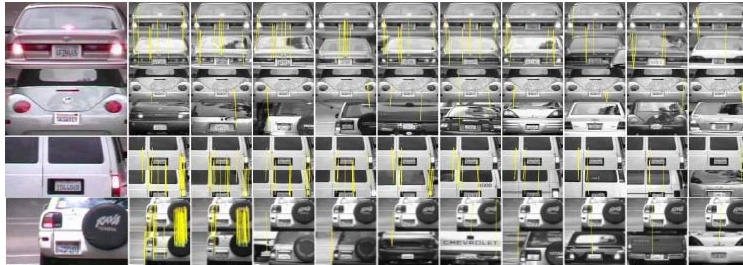


# SIFT Matching

- Extract SIFT Features for Each Image  $d$ , Database Entry, and  $q$ , a Query Image
- For Each  $d$ 
  - For Each Keypoint,  $k_q$ , in  $q$  find  $k_d$  with Smallest  $L_2$  Distance and is at Least a Factor of  $\alpha$  Smaller than Second Nearest Neighbor
  - Count Number of Matched Descriptors
- $d$  with Largest Count as Best Match

## SIFT Results

- (After Applying Keypoint Pruning)
- 89.5% Recognition Rate



## MMR Summary

Method	Recognition rate
Eigencars using all eigenvectors	23.7%
Eigencars without 3 highest	44.7%
Shape context matching with $9 \times 4$ bins	63.2%
Shape context matching with $5 \times 12$ bins	65.8%
SIFT matching	89.5%

- Achieved High Recognition Rates
  - Mis-Classifications had Few (<5) Database Examples
- High Recognition at Cost of Computation
  - 30 sec for Shape Context and SIFT vs 0.5 for Eigencars

## Conclusion

- Developed Car Recognition Framework Combining LPR and MMR
- Can Be Used in a Query Based Car Surveillance System
- High Recognition Rates
  - Only LPR Currently Real-Time

## Future Work

- MMR
  - Speed Up Recognition (Real-Time Application)
    - Group Database into Vehicle Type {SUV, Truck, ...}
    - Formulate as Text Retrieval (Sivic and Zisserman)
- Add Color Inference
- Database Query Algorithm Development
- Make and Model 3D Structure