COMPUTATIONAL NUMBER THEORY
and
ASYMMETRIC CRYPTOGRAPHY
Secret key exchange

**Problem:** Obtain a joint secret key via interaction over a public channel:

\[
\begin{align*}
  x & \xleftarrow{\$} \ldots; X \xleftarrow{\ldots} \\
  y & \xleftarrow{\$} \ldots; Y \xleftarrow{\ldots} \\
\end{align*}
\]

\[
\begin{align*}
  X & \xrightarrow{} \\
  Y & \xleftarrow{} \\
\end{align*}
\]

\[
\begin{align*}
  K_A & \leftarrow F_A(x, Y) \\
  K_B & \leftarrow F_B(y, X)
\end{align*}
\]

Desired properties of the protocol:

- \(K_A = K_B\), meaning Alice and Bob agree on a key
- Adversary given \(X, Y\) can’t compute \(K_A\)
Secret Key Exchange

Can you build a secret key exchange protocol?
Can you build a secret key exchange protocol?

Symmetric cryptography has existed for thousands of years. But no secret key exchange protocol was found in that time. Many people thought it was impossible.
Can you build a secret key exchange protocol?
Symmetric cryptography has existed for thousands of years.
But no secret key exchange protocol was found in that time.
Many people thought it was impossible.
In 1976, Diffie and Hellman proposed one.
This was the birth of public-key (asymmetric) cryptography.
DH Secret Key Exchange

The following are assumed to be public: A large prime $p$ and a number $g$ called a generator mod $p$. Let $\mathbb{Z}_p = \{0, 1, \ldots, p-2\}$.

**Alice**

\[
\begin{align*}
x \leftarrow \mathbb{Z}_{p-1}; & \quad X \leftarrow g^x \mod p \\
\end{align*}
\]

**Bob**

\[
\begin{align*}
y \leftarrow \mathbb{Z}_{p-1}; & \quad Y \leftarrow g^y \mod p \\
\end{align*}
\]

\[
\begin{align*}
K_A \leftarrow Y^x \mod p \\
K_B \leftarrow X^y \mod p
\end{align*}
\]

- $Y^x = (g^y)^x = g^{xy} = (g^x)^y = X^y \mod p$, so $K_A = K_B$
- Adversary is faced with computing $g^{xy} \mod p$ given $g^x \mod p$ and $g^y \mod p$, which nobody knows how to do efficiently for large $p$. 

Mihir Bellare

UCSD
DH Key Exchange Video

http://www.youtube.com/watch?v=3QnD2c4Xovk
DH Secret Key Exchange

The following are assumed to be public: A large prime \( p \) and a number \( g \) called a generator mod \( p \). Let \( Z_{p-1} = \{0, 1, \ldots, p - 2\} \).

<table>
<thead>
<tr>
<th>Alice</th>
<th>Bob</th>
</tr>
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<tbody>
<tr>
<td>( x ) ( \leftarrow ) ( Z_{p-1} ); ( X ) ( \leftarrow ) ( g^x ) mod ( p )</td>
<td>( y ) ( \leftarrow ) ( Z_{p-1} ); ( Y ) ( \leftarrow ) ( g^y ) mod ( p )</td>
</tr>
<tr>
<td>( X )</td>
<td>( y )</td>
</tr>
<tr>
<td>( K_A \leftarrow Y^x ) mod ( p )</td>
<td>( K_B \leftarrow X^y ) mod ( p )</td>
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</table>

- \( Y^x = (g^y)^x = g^{xy} = (g^x)^y = X^y \) modulo \( p \), so \( K_A = K_B \)
- Adversary is faced with computing \( g^{xy} \) mod \( p \) given \( g^x \) mod \( p \) and \( g^y \) mod \( p \), which nobody knows how to do efficiently for large \( p \).
DH Secret Key Exchange: Questions

- How do we pick a large prime $p$, and how large is large enough?
- What does it mean for $g$ to be a generator modulo $p$?
- How do we find a generator modulo $p$?
- How can Alice quickly compute $x \mapsto g^x \mod p$?
- How can Bob quickly compute $y \mapsto g^y \mod p$?
- Why is it hard to compute $(g^x \mod p, g^y \mod p) \mapsto g^{xy} \mod p$?
- ...

To answer all that and more, we will forget about DH secret key exchange for a while and take a trip into computational number theory ...
Notation

\[ \mathbb{Z} = \{ \ldots, -2, -1, 0, 1, 2, \ldots \} \]

\[ \mathbb{N} = \{ 0, 1, 2, \ldots \} \]

\[ \mathbb{Z}_+ = \{ 1, 2, 3, \ldots \} \]

For \( a, N \in \mathbb{Z} \) let \( \gcd(a, N) \) be the largest \( d \in \mathbb{Z}_+ \) such that \( d \) divides both \( a \) and \( N \).

**Example:** \( \gcd(30, 70) = 10 \).
Integers mod $N$

For $N \in \mathbb{Z}_+$, let

- $\mathbb{Z}_N = \{0, 1, \ldots, N - 1\}$
- $\mathbb{Z}_N^* = \{a \in \mathbb{Z}_N : \gcd(a, N) = 1\}$
- $\varphi(N) = |\mathbb{Z}_N^*|$

Example: $N = 12$

- $\mathbb{Z}_{12} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11\}$
- $\mathbb{Z}_{12}^* =$
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- $\varphi(N) = |\mathbb{Z}_N^*|$

Example: $N = 12$

- $\mathbb{Z}_{12} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11\}$
- $\mathbb{Z}_{12}^* = \{1, 5, 7, 11\}$
- $\varphi(12) = 4$
INT-DIV\((a, N)\) returns \((q, r)\) such that

- \(a = qN + r\)
- \(0 \leq r < N\)

Refer to \(q\) as the quotient and \(r\) as the remainder. Then

\[ a \mod N = r \in \mathbb{Z}_N \]

is the remainder when \(a\) is divided by \(N\).

**Example:** \(\)INT-DIV\((17, 3) = (5, 2)\) and \(17 \mod 3 = 2\).

**Def:** \(a \equiv b \pmod{N}\) if \(a \mod N = b \mod N\).

**Example:** \(17 \equiv 14 \pmod{3}\)
Let $G$ be a non-empty set, and let $\cdot$ be a binary operation on $G$. This means that for every two points $a, b \in G$, a value $a \cdot b$ is defined.

**Example:** $G = \mathbb{Z}^*_12$ and “$\cdot$” is multiplication modulo 12, meaning

$$a \cdot b = ab \mod 12$$

**Def:** We say that $G$ is a *group* if it has four properties called closure, associativity, identity and inverse that we present next.

**Fact:** If $N \in \mathbb{Z}_+$ then $G = \mathbb{Z}^*_N$ with $a \cdot b = ab \mod N$ is a group.
Closure: For every $a, b \in G$ we have $a \cdot b$ is also in $G$.

Example: $G = \mathbb{Z}_{12}$ with $a \cdot b = ab$ does not have closure because $7 \cdot 5 = 35 \notin \mathbb{Z}_{12}$.

Fact: If $N \in \mathbb{Z}_+$ then $G = \mathbb{Z}_N^*$ with $a \cdot b = ab \mod N$ satisfies closure, meaning

$$\gcd(a, N) = \gcd(b, N) = 1 \text{ implies } \gcd(ab \mod N, N) = 1$$

Example: Let $G = \mathbb{Z}_{12}^* = \{1, 5, 7, 11\}$. Then

$$5 \cdot 7 \mod 12 = 35 \mod 12 = 11 \in \mathbb{Z}_{12}^*$$

Exercise: Prove the above Fact.
Groups: Associativity

**Associativity:** For every $a, b, c \in G$ we have $(a \cdot b) \cdot c = a \cdot (b \cdot c)$.

**Fact:** If $N \in \mathbb{Z}_+$ then $G = \mathbb{Z}^*_N$ with $a \cdot b = ab \mod N$ satisfies associativity, meaning

$((ab \mod N)c) \mod N = (a(bc \mod N)) \mod N$

**Example:**

$(5 \cdot 7 \mod 12) \cdot 11 \mod 12 = (35 \mod 12) \cdot 11 \mod 12$

$= 11 \cdot 11 \mod 12 = 1$

$5 \cdot (7 \cdot 11 \mod 12) \mod 12 = 5 \cdot (77 \mod 12) \mod 12$

$= 5 \cdot 5 \mod 12 = 1$

**Exercise:** Given an example of a set $G$ and a natural operation $a, b \mapsto a \cdot b$ on $G$ that satisfies closure but *not* associativity.
Identity element: There exists an element \( 1 \in G \) such that \( a \cdot 1 = 1 \cdot a = a \) for all \( a \in G \).

Fact: If \( N \in \mathbb{Z}_+ \) and \( G = \mathbb{Z}_N^* \) with \( a \cdot b \equiv ab \pmod{N} \) then \( 1 \) is the identity element because \( a \cdot 1 \mod{N} = 1 \cdot a \mod{N} = a \) for all \( a \).
Groups: Inverses

**Inverses:** For every \( a \in G \) there exists a unique \( b \in G \) such that
\[
\begin{align*}
a \cdot b &= b \cdot a = 1.
\end{align*}
\]

This \( b \) is called the inverse of \( a \) and is denoted \( a^{-1} \) if \( G \) is understood.

**Fact:** If \( N \in \mathbb{Z}_+ \) and \( G = \mathbb{Z}_N^* \) with \( a \cdot b = ab \mod N \) then
\[
\forall a \in \mathbb{Z}_N^* \quad \exists b \in \mathbb{Z}_N^* \quad \text{such that} \quad a \cdot b \mod N = 1.
\]

We denote this unique inverse \( b \) by \( a^{-1} \mod N \).

**Example:** \( 5^{-1} \mod 12 \) is the \( b \in \mathbb{Z}_{12}^* \) satisfying \( 5b \mod 12 = 1 \), so \( b = \)
Inverses: For every $a \in G$ there exists a unique $b \in G$ such that $a \cdot b = b \cdot a = 1$.

This $b$ is called the inverse of $a$ and is denoted $a^{-1}$ if $G$ is understood.

Fact: If $N \in \mathbb{Z}_+$ and $G = \mathbb{Z}_N^*$ with $a \cdot b = ab \mod N$ then
$\forall a \in \mathbb{Z}_N^* \quad \exists b \in \mathbb{Z}_N^* \text{ such that } a \cdot b \mod N = 1.$

We denote this unique inverse $b$ by $a^{-1} \mod N$.

Example: $5^{-1} \mod 12$ is the $b \in \mathbb{Z}_{12}^*$ satisfying $5b \mod 12 = 1$, so $b = 5$
Exercises

Let $N \in \mathbb{Z}_+$ and let $G = \mathbb{Z}_N$. Prove that $G$ is a group under the operation $a \cdot b = (a + b) \mod N$.

Let $n \in \mathbb{Z}_+$ and let $G = \{0, 1\}^n$. Prove that $G$ is a group under the operation $a \cdot b = a \oplus b$.

Let $n \in \mathbb{Z}_+$ and let $G = \{0, 1\}^n$. Prove that $G$ is not a group under the operation $a \cdot b = a \land b$. (This is bit-wise AND, for example $0110 \land 1101 = 0100$.)
What is $5 \cdot 8 \cdot 10 \cdot 16 \mod 21$?
What is $5 \cdot 8 \cdot 10 \cdot 16 \mod 21$?

**Slow way:** First compute

$$5 \cdot 8 \cdot 10 \cdot 16 = 40 \cdot 10 \cdot 16 = 400 \cdot 16 = 6400$$

and then compute $6400 \mod 21 =$
What is $5 \cdot 8 \cdot 10 \cdot 16 \mod 21$?

**Slow way:** First compute

$$5 \cdot 8 \cdot 10 \cdot 16 = 40 \cdot 10 \cdot 16 = 400 \cdot 16 = 6400$$

and then compute $6400 \mod 21 = 16$

**Fast way:**

- $5 \cdot 8 \mod 21 = 40 \mod 21 = 19$
- $19 \cdot 10 \mod 21 = 190 \mod 21 = 1$
- $1 \cdot 16 \mod 21 = 16$
Let $G$ be a group and $a \in G$. We let $a^0 = 1$ be the identity element and for $n \geq 1$, we let

$$a^n = a \cdot a \cdots a.$$ 

Also we let

$$a^{-n} = a^{-1} \cdot a^{-1} \cdots a^{-1}.$$ 

This ensures that for all $i, j \in \mathbb{Z}$,

- $a^{i+j} = a^i \cdot a^j$
- $a^{ij} = (a^i)^j = (a^j)^i$
- $a^{-i} = (a^i)^{-1} = (a^{-1})^i$

Meaning we can manipulate exponents “as usual”.
Let $N = 14$ and $G = \mathbb{Z}_N^*$. Then modulo $N$ we have

$$5^3 =$$
Examples

Let $N = 14$ and $G = \mathbb{Z}_N^*$. Then modulo $N$ we have

$$5^3 = 5 \cdot 5 \cdot 5$$
Examples

Let $N = 14$ and $G = \mathbb{Z}_N^*$. Then modulo $N$ we have

$$5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13$$

and

$$5^{-3} =$$
Let $N = 14$ and $G = \mathbb{Z}_N^*$. Then modulo $N$ we have

$$5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13$$

and

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Let \( N = 14 \) and \( G = \mathbb{Z}_N^* \). Then modulo \( N \) we have

\[
5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13
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and

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\]
Examples

Let $N = 14$ and $G = \mathbb{Z}_N^*$. Then modulo $N$ we have

$$5^3 = 5 \cdot 5 \cdot 5 \equiv 25 \cdot 5 \equiv 11 \cdot 5 \equiv 55 \equiv 13$$

and

$$5^{-3} = 5^{-1} \cdot 5^{-1} \cdot 5^{-1} \equiv 3 \cdot 3 \cdot 3 \equiv 27 \equiv 13$$
The order of a group $G$ is its size $|G|$, meaning the number of elements in it.

**Example:** The order of $\mathbb{Z}_{21}^*$ is

1. $5^{12} \equiv (5^3)^4 \equiv 20^4 \equiv (\neg 1)^4 \equiv 1$ modulo 21
2. $8^{12} \equiv (8^2)^6 \equiv (1)^6 \equiv 1$ modulo 21
The order of a group $G$ is its size $|G|$, meaning the number of elements in it.

**Example:** The order of $\mathbb{Z}^*_{21}$ is 12 because

$$\mathbb{Z}^*_{21} = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$$

**Fact:** Let $G$ be a group of order $m$ and $a \in G$. Then, $a^m = 1$.

**Examples:** Modulo 21 we have

- $5^{12} \equiv (5^3)^4 \equiv 20^4 \equiv (-1)^4 \equiv 1$
- $8^{12} \equiv (8^2)^6 \equiv (1)^6 \equiv 1$
Fact: Let $G$ be a group of order $m$ and $a \in G$. Then, $a^m = 1$.

Corollary: Let $G$ be a group of order $m$ and $a \in G$. Then for any $i \in \mathbb{Z}$,

$$a^i = a^{i \mod m}.$$ 

Proof: Let $(q, r) \leftarrow \text{INT-DIV}(i, m)$, so that $i = mq + r$ and $r = i \mod m$. Then

$$a^i = a^{mq+r} = (a^m)^q \cdot a^r$$

But $a^m = 1$ by Fact.
Corollary: Let $G$ be a group of order $m$ and $a \in G$. Then for any $i \in \mathbb{Z}$, 

$$a^i = a^{i \mod m}.$$ 

Example: What is $5^{74} \mod 21$?
Corollary: Let $G$ be a group of order $m$ and $a \in G$. Then for any $i \in \mathbb{Z}$,

$$a^i = a^{i \mod m}.$$ 

Example: What is $5^{74}$ mod 21?

Solution: Let $G = \mathbb{Z}_{21}^*$ and $a = 5$. Then, $m = 12$, so

$$5^{74} \mod 21 = 5^{74 \mod 12} \mod 21$$

$$= 5^2 \mod 21$$

$$= 4.$$
Evaluate the expressions shown in the first column. Your answer, in the second column, should be a member of the set shown in the third column. In the first case, the inverse refers to the group $\mathbb{Z}_{101}^*$. Don’t use any electronic tools; these are designed to be done by hand.

<table>
<thead>
<tr>
<th>Expression</th>
<th>Value</th>
<th>In</th>
</tr>
</thead>
<tbody>
<tr>
<td>$34^{-1} \mod 101$</td>
<td></td>
<td>$\mathbb{Z}_{101}^*$</td>
</tr>
<tr>
<td>$5^{1602} \mod 17$</td>
<td></td>
<td>$\mathbb{Z}_{17}^*$</td>
</tr>
<tr>
<td>$</td>
<td>\mathbb{Z}_{24}^*</td>
<td>$</td>
</tr>
</tbody>
</table>
In an algorithms course, the cost of arithmetic is often assumed to be $O(1)$, because numbers are small. In cryptography numbers are very, very BIG!

Typical sizes are $2^{512}$, $2^{1024}$, $2^{2048}$.

Numbers are provided to algorithms in binary. The length of $a$, denoted $\|a\|$, is the number of bits in the binary encoding of $a$.

**Example:** $\|7\| = 3$ because 7 is 111 in binary.

Running time is measured as a function of the lengths of the inputs.
## Algorithms on numbers

<table>
<thead>
<tr>
<th>Algorithm</th>
<th>Input</th>
<th>Output</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADD</td>
<td>$a, b$</td>
<td>$a + b$</td>
<td>$O(</td>
</tr>
<tr>
<td>MULT</td>
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</tr>
<tr>
<td>INT-DIV</td>
<td>$a, N$</td>
<td>$q, r$</td>
<td>$O(</td>
</tr>
<tr>
<td>MOD</td>
<td>$a, N$</td>
<td>$a \mod N$</td>
<td>$O(</td>
</tr>
<tr>
<td>EXT-GCD</td>
<td>$a, N$</td>
<td>$(d, a', N')$</td>
<td>$O(</td>
</tr>
<tr>
<td>MOD-INV</td>
<td>$a \in \mathbb{Z}_N^*$, $N$</td>
<td>$a^{-1} \mod N$</td>
<td>$O(</td>
</tr>
<tr>
<td>MOD-EXP</td>
<td>$a \in \mathbb{Z}_N, n, N$</td>
<td>$a^n \mod N$</td>
<td>$O(</td>
</tr>
<tr>
<td>EXP$_G$</td>
<td>$a \in G, n$</td>
<td>$a^n \in G$</td>
<td>$O(</td>
</tr>
</tbody>
</table>

Mihir Bellare  
UCSD
Extended gcd

\text{EXT-GCD}(a, N) \text{ returns } (d, a', N') \text{ such that }
\[ d = \gcd(a, N) = a \cdot a' + N \cdot N' . \]

\textbf{Example:} EXT-GCD(12, 20) =
Extended gcd

$\text{EXT-GCD}(a, N)$ returns $(d, a', N')$ such that

$$d = \gcd(a, N) = a \cdot a' + N \cdot N' .$$

**Example:** $\text{EXT-GCD}(12, 20) = (4, -3, 2)$ because

$$4 = \gcd(12, 20) = 12 \cdot (-3) + 20 \cdot 2 .$$
Extended gcd Algorithm

\[ \text{EXT-GCD}(a, N) \mapsto (d, a', N') \text{ such that} \]
\[ d = \gcd(a, N) = a \cdot a' + N \cdot N'. \]

Lemma: Let \((q, r) = \text{INT-DIV}(a, N)\). Then, \(\gcd(a, N) = \gcd(N, r)\)

Alg \quad \text{EXT-GCD}(a, N) \quad // \ (a, N) \neq (0, 0)
\begin{align*}
\text{if} \ N = 0 \ & \text{then return} \ (a, 1, 0) \\
\text{else} \\
\quad (q, r) & \leftarrow \text{INT-DIV}(a, N); \ (d, x, y) \leftarrow \text{EXT-GCD}(N, r) \\
\quad a' & \leftarrow y; \ N' \leftarrow x - qy \ ; \text{return} \ (d, a', N')
\end{align*}

Running time is \(O(|a| \cdot |N|)\), so the extended gcd can be computed in \textbf{quadratic} time. If \(a \geq N > 0\) then \(\abs{a'} \leq N\) and \(\abs{N'} \leq a\) where \(\abs{\cdot}\) denotes the absolute value. Analysis showing all this is non-trivial (worst case is Fibonacci numbers).
Modular Inverse

For $a, N$ such that $\gcd(a, N) = 1$, we want to compute $a^{-1} \mod N$, meaning the unique $a' \in \mathbb{Z}_N^*$ satisfying $aa' \equiv 1 \pmod{N}$.

But if we let $(d, a', N') \leftarrow \text{EXT-GCD}(a, N)$ then

$$d = 1 = \gcd(a, N) = a \cdot a' + N \cdot N'$$

But $N \cdot N' \equiv 0 \pmod{N}$ so $aa' \equiv 1 \pmod{N}$

\textbf{Alg MOD-INV}(a, N)

$(d, a', N') \leftarrow \text{EXT-GCD}(a, N)$

return $a' \mod N$

Modular inverse can be computed in \textit{quadratic} time.
Modular Exponentiation

Let $G$ be a group and $a \in G$. For $n \in \mathbb{N}$, we want to compute $a^n \in G$. We know that

$$a^n = a \cdot a \cdots a$$

Consider:

$$y \leftarrow 1$$

for $i = 1, \ldots, n$ do $y \leftarrow y \cdot a$

return $y$

Question: Is this a good algorithm?
Modular Exponentiation

Let $G$ be a group and $a \in G$. For $n \in \mathbb{N}$, we want to compute $a^n \in G$.

We know that

$$a^n = a \cdot a \cdots a$$

Consider:

$$y \leftarrow 1$$
for $i = 1, \ldots, n$ do $y \leftarrow y \cdot a$
return $y$

Question: Is this a good algorithm?

Answer: It is correct but VERY SLOW. The number of group operations is $O(n) = O(2^{|n|})$ so it is exponential time. For $n \approx 2^{512}$ it is prohibitively expensive.
Fast exponentiation idea

We can compute

\[ a \rightarrow a^2 \rightarrow a^4 \rightarrow a^8 \rightarrow a^{16} \rightarrow a^{32} \]

in just 5 steps by repeated squaring. So we can compute \(a^n\) in \(i\) steps when \(n = 2^i\).

But what if \(n\) is not a power of 2?
Suppose the binary length of $n$ is 5, meaning the binary representation of $n$ has the form $b_4 b_3 b_2 b_1 b_0$. Then

$$n = 2^4 b_4 + 2^3 b_3 + 2^2 b_2 + 2^1 b_1 + 2^0 b_0 = 16 b_4 + 8 b_3 + 4 b_2 + 2 b_1 + b_0.$$ 

We want to compute $a^n$. Our exponentiation algorithm will proceed to compute the values $y_5, y_4, y_3, y_2, y_1, y_0$ in turn, as follows:

$$
\begin{align*}
    y_5 &= 1 \\
    y_4 &= y_5^2 \cdot a^{b_4} = a^{b_4} \\
    y_3 &= y_4^2 \cdot a^{b_3} = a^{2b_4+b_3} \\
    y_2 &= y_3^2 \cdot a^{b_2} = a^{4b_4+2b_3+b_2} \\
    y_1 &= y_2^2 \cdot a^{b_1} = a^{8b_4+4b_3+2b_2+b_1} \\
    y_0 &= y_1^2 \cdot a^{b_0} = a^{16b_4+8b_3+4b_2+2b_1+b_0}.
\end{align*}
$$
Let $\text{bin}(n) = b_{k-1} \ldots b_0$ be the binary representation of $n$, meaning

$$n = \sum_{i=0}^{k-1} b_i 2^i$$

**Alg** $\text{EXP}_G(a, n)$  // $a \in G$, $n \geq 1$

$b_{k-1} \ldots b_0 \leftarrow \text{bin}(n)$

$y \leftarrow 1$

for $i = k - 1$ downto 0 do $y \leftarrow y^2 \cdot a^{b_i}$

return $y$

The running time is $O(|n|)$ group operations.

$\text{MOD-EXP}(a, n, N)$ returns $a^n \mod N$ in time $O(|n| \cdot |N|^2)$, meaning is cubic time.
Consider the following computational problem:

**Input:** $N, a, b, x, y$ where $N \geq 1$ is an integer, $a, b \in \mathbb{Z}_N^*$ and $x, y$ are integers with $0 \leq x, y < N$

**Output:** $a^x b^y \mod N$

Let $k = |N|$.

1. Consider the algorithm that first computes $X = a^x \mod N$, then computes $Y = b^y \mod N$, and returns $XY \mod N$. Explain why this has worst case cost of $4k + 1$ multiplications modulo $N$.

2. Design an alternative, faster algorithm for this problem that uses at most $2k + 1$ multiplications modulo $N$. 
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<td>$q, r$</td>
<td>$O(</td>
</tr>
<tr>
<td>MOD</td>
<td>$a, N$</td>
<td>$a \mod N$</td>
<td>$O(</td>
</tr>
<tr>
<td>EXT-GCD</td>
<td>$a, N$</td>
<td>$(d, a', N')$</td>
<td>$O(</td>
</tr>
<tr>
<td>MOD-INV</td>
<td>$a \in \mathbb{Z}_N^*$, $N$</td>
<td>$a^{-1} \mod N$</td>
<td>$O(</td>
</tr>
<tr>
<td>MOD-EXP</td>
<td>$a \in \mathbb{Z}_N$, $n$, $N$</td>
<td>$a^n \mod N$</td>
<td>$O(</td>
</tr>
<tr>
<td>EXP(_G)</td>
<td>$a \in G$, $n$</td>
<td>$a^n \in G$</td>
<td>$O(</td>
</tr>
</tbody>
</table>

Mihir Bellare  
UCSD  
50
Generators and cyclic groups

Let $G$ be a group of order $m$ and let $g \in G$. We let

$$\langle g \rangle = \{ g^i : i \in \mathbb{Z} \}.$$ 

**Fact:** $\langle g \rangle = \{ g^i : i \in \mathbb{Z}_m \}$

**Exercise:** Prove the above Fact.

**Fact:** The size $|\langle g \rangle|$ of the set $\langle g \rangle$ is a divisor of $m$

**Note:** $|\langle g \rangle|$ need not equal $m$!

**Definition:** $g \in G$ is a generator (or primitive element) of $G$ if $\langle g \rangle = G$, meaning $|\langle g \rangle| = m$.

**Definition:** $G$ is cyclic if it has a generator, meaning there exists $g \in G$ such that $g$ is a generator of $G$. 
Generators and cyclic groups: Example

Let $G = \mathbb{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$, which has order $m = 10$.

\[
\begin{array}{|c|c|c|c|c|c|c|c|c|c|c|}
\hline
i & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\
\hline
2^i \mod 11 & 1 & 2 & 4 & 8 & 5 & 10 & 9 & 7 & 3 & 6 & 1 \\
\hline
5^i \mod 11 & 1 & 5 & 3 & 4 & 9 & 1 & 5 & 3 & 4 & 9 & 1 \\
\hline
\end{array}
\]

so

\[
\langle 2 \rangle = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}
\]

\[
\langle 5 \rangle = \{1, 3, 4, 5, 9\}
\]

- 2 a generator because $\langle 2 \rangle = \mathbb{Z}_{11}^*$.
- 5 is not a generator because $\langle 5 \rangle \neq \mathbb{Z}_{11}^*$.
- $\mathbb{Z}_{11}^*$ is cyclic because it has a generator.
Let $G$ be the group $\mathbb{Z}_{10}^*$ under the operation of multiplication modulo 10.

1. List the elements of $G$
2. What is the order of $G$?
3. Determine the set $\langle 3 \rangle$
4. Determine the set $\langle 9 \rangle$
5. Is $G$ cyclic? Why or why not?
If $G = \langle g \rangle$ is a cyclic group of order $m$ then for every $a \in G$ there is a unique exponent $i \in \mathbb{Z}_m$ such that $g^i = a$. We call $i$ the discrete logarithm of $a$ to base $g$ and denote it by

$$\text{DLog}_{G,g}(a)$$

The discrete log function is the inverse of the exponentiation function:

$$\text{DLog}_{G,g}(g^i) = i \text{ for all } i \in \mathbb{Z}_m$$

$$g^{\text{DLog}_{G,g}(a)} = a \text{ for all } a \in G.$$
Let $G = \mathbb{Z}_{11}^* = \{1, 2, 3, 4, 5, 6, 7, 8, 9, 10\}$, which is a cyclic group of order $m = 10$. We know that 2 is a generator, so $D\text{Log}_{G,2}(a)$ is the exponent $i \in \mathbb{Z}_{10}$ such that $2^i \mod 11 = a$.

<table>
<thead>
<tr>
<th>$i$</th>
<th>0</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
</tr>
</thead>
<tbody>
<tr>
<td>$2^i \mod 11$</td>
<td>1</td>
<td>2</td>
<td>4</td>
<td>8</td>
<td>5</td>
<td>10</td>
<td>9</td>
<td>7</td>
<td>3</td>
<td>6</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>$a$</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
</tr>
</thead>
<tbody>
<tr>
<td>$D\text{Log}_{G,2}(a)$</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
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</tbody>
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<th>$a$</th>
<th>1</th>
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<th>3</th>
<th>4</th>
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<th>10</th>
</tr>
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<td>1</td>
<td>8</td>
<td>2</td>
<td>4</td>
<td>9</td>
<td>7</td>
<td>3</td>
<td>6</td>
<td>5</td>
</tr>
</tbody>
</table>
Let $G$ be the group $\mathbb{Z}_{10}^*$ under the operation of multiplication modulo 10.

1. Show that 3 and 7 are generators of $G$

2. What is $\text{DLog}_{G,3}(7)$?

3. What is $\text{DLog}_{G,7}(9)$?
Finding Cyclic Groups

Fact 1: Let $p$ be a prime. Then $\mathbb{Z}_p^*$ is cyclic.

Fact 2: Let $G$ be any group whose order $m = |G|$ is a prime number. Then $G$ is cyclic.

Note: $|\mathbb{Z}_p^*| = p - 1$ is not prime, so Fact 2 doesn’t imply Fact 1!
Computing Discrete Logs

Let $G = \langle g \rangle$ be a cyclic group of order $m$ with generator $g \in G$.

**Input:** $X \in G$

**Desired Output:** $\text{DLog}_{G,g}(X)$

That is, we want $x$ such that $g^x = X$.

For $x = 0, \ldots, m - 1$ do

- if $g^x = X$ then return $x$

Is this a good algorithm?
Computing Discrete Logs

Let $G = \langle g \rangle$ be a cyclic group of order $m$ with generator $g \in G$.

Input: $X \in G$

Desired Output: $\text{DLog}_{G,g}(X)$

That is, we want $x$ such that $g^x = X$.

for $x = 0, \ldots, m - 1$ do
  if $g^x = X$ then return $x$

Is this a good algorithm? It is
  • Correct (always returns the right answer)
Let $G = \langle g \rangle$ be a cyclic group of order $m$ with generator $g \in G$.

**Input:** $X \in G$

**Desired Output:** $\text{DLog}_{G, g}(X)$

That is, we want $x$ such that $g^x = X$.

for $x = 0, \ldots, m - 1$ do
  if $g^x = X$ then return $x$

Is this a good algorithm? It is
- Correct (always returns the right answer), but
- SLOW!

Run time is $O(m)$ exponentiations, which for $G = \mathbb{Z}_p^*$ is $O(p)$, which is exponential time and prohibitive for large $p$. 
Computing Discrete Logs: Best known algorithms

<table>
<thead>
<tr>
<th>Group</th>
<th>Time to find discrete logarithms</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\mathbb{Z}_p^*$</td>
<td>$e^{1.92(\ln p)^{1/3}(\ln \ln p)^{2/3}}$</td>
</tr>
<tr>
<td>$\text{EC}_p$</td>
<td>$\sqrt{p} = e^{\ln(p)/2}$</td>
</tr>
</tbody>
</table>

Here $p$ is a prime and $\text{EC}_p$ represents an elliptic curve group of order $p$.

**Note:** In the first case the actual running time is $e^{1.92(\ln q)^{1/3}(\ln \ln q)^{2/3}}$ where $q$ is the largest prime factor of $p - 1$.

In neither case is a polynomial-time algorithm known.

This (apparent, conjectured) computational intractability of the discrete log problem makes it the basis for cryptographic schemes in which breaking the scheme requires discrete log computation.
Discrete logarithm computation records

In $\mathbb{Z}_p^*$:

<table>
<thead>
<tr>
<th>$p$</th>
<th>in bits</th>
<th>When</th>
</tr>
</thead>
<tbody>
<tr>
<td>431</td>
<td></td>
<td>2005</td>
</tr>
<tr>
<td>530</td>
<td></td>
<td>2007</td>
</tr>
<tr>
<td>596</td>
<td></td>
<td>2014</td>
</tr>
</tbody>
</table>

For elliptic curves, current record seems to be for $|p|$ around 113.
Say we want 80-bits of security, meaning discrete log computation by the best known algorithm should take time $2^{80}$. Then

- If we work in $\mathbb{Z}_p^*$ ($p$ a prime) we need to set $|\mathbb{Z}_p^*| = p - 1 \approx 2^{1024}$
- But if we work on an elliptic curve group of prime order $p$ then it suffices to set $p \approx 2^{160}$.

Why? Because

$$e^{1.92(\ln 2^{1024})^{1/3}(\ln \ln 2^{1024})^{2/3}} \approx \sqrt{2^{160}} = 2^{80}$$

But now:

<table>
<thead>
<tr>
<th>Group Size</th>
<th>Cost of Exponentiation</th>
</tr>
</thead>
<tbody>
<tr>
<td>$2^{160}$</td>
<td>1</td>
</tr>
<tr>
<td>$2^{1024}$</td>
<td>260</td>
</tr>
</tbody>
</table>

Exponentiation will be 260 times faster in the smaller group!
Let $G = \langle g \rangle$ be a cyclic group of order $m$, and $A$ an adversary.

The \textit{dl-advantage} of $A$ is

$$\text{Adv}_{G,g}^{\text{dl}}(A) = \Pr \left[ \text{DL}_{G,g}^A \Rightarrow \text{true} \right]$$
Let $G = \langle g \rangle$ be a cyclic group of order $m$ with generator $g \in G$. The CDH problem is:

**Input:** $X = g^x \in G$ and $Y = g^y \in G$

**Desired Output:** $g^{xy} \in G$

This underlies security of the DH Secret Key Exchange Protocol.

**Obvious algorithm:** $x \leftarrow \text{DLog}_G(g)(X)$; Return $Y^x$.

So if one can compute discrete logarithms then one can solve the CDH problem.

The converse is an open question. Potentially, there is a way to quickly solve CDH that avoids computing discrete logarithms. But no such way is known.
Let $G = \langle g \rangle$ be a cyclic group of order $m$, and $A$ an adversary.

Game $\text{CDH}_{G,g}$

- **procedure Initialize**
  - $x, y \leftarrow \mathcal{Z}_m$
  - $X \leftarrow g^x; Y \leftarrow g^y$
  - return $X, Y$

- **procedure Finalize($Z$)**
  - return $(Z = g^{xy})$

The cdh-advantage of $A$ is

$$\text{Adv}_{G,g}^{\text{cdh}}(A) = \Pr \left[ \text{CDH}_{G,g}^A \Rightarrow \text{true} \right]$$
We will need to build (large) groups over which our cryptographic schemes can work, and find generators in these groups.

How do we do this efficiently?
To find a suitable prime $p$ and generator $g$ of $\mathbb{Z}_p^*$:

- Pick numbers $p$ at random until $p$ is a prime of the desired form
- Pick elements $g$ from $\mathbb{Z}_p^*$ at random until $g$ is a generator

For this to work we need to know:

- How to test if $p$ is prime
- How many numbers in a given range are primes of the desired form
- How to test if $g$ is a generator of $\mathbb{Z}_p^*$ when $p$ is prime
- How many elements of $\mathbb{Z}_p^*$ are generators
Finding primes

**Desired:** An efficient algorithm that given an integer \( k \) returns a prime \( p \in \{2^{k-1}, \ldots, 2^k - 1\} \) such that \( q = (p - 1)/2 \) is also prime.

**Alg** Findprime(\( k \))

\[
\text{do} \quad p \leftarrow \{2^{k-1}, \ldots, 2^k - 1\} \\
\text{until (} p \text{ is prime and } (p - 1)/2 \text{ is prime)} \\
\text{return } p
\]

- How do we test primality?
- How many iterations do we need to succeed?
Given: integer $N$
Output: TRUE if $N$ is prime, FALSE otherwise.

for $i = 2, \ldots, \lceil \sqrt{N} \rceil$ do
  if $N \mod i = 0$ then return false
return true
Primality Testing

Given: integer $N$
Output: TRUE if $N$ is prime, FALSE otherwise.

for $i = 2, \ldots, \lceil \sqrt{N} \rceil$ do
    if $N \mod i = 0$ then return false
return true

Correct but SLOW! $O(N)$ running time, exponential. However, we have:

- $O(|N|^3)$ time randomized algorithms
- Even a $O(|N|^8)$ time deterministic algorithm
Density of primes

Let $\pi(N)$ be the number of primes in the range $1, \ldots, N$. So if $p \leftarrow \{1, \ldots, N\}$ then

$$\Pr[p \text{ is a prime}] = \frac{\pi(N)}{N}$$

Fact: $\pi(N) \sim \frac{N}{\ln(N)}$

So

$$\Pr[p \text{ is a prime}] \sim \frac{1}{\ln(N)}$$

If $N = 2^{1024}$ this is about $0.001488 \approx 1/1000$.

So the number of iterations taken by our algorithm to find a prime is not too big.
Recall DH Secret Key Exchange

The following are assumed to be public: A large prime $p$ and a generator $g$ of $\mathbb{Z}_p^*$. 

<table>
<thead>
<tr>
<th>Alice</th>
<th>Bob</th>
</tr>
</thead>
<tbody>
<tr>
<td>$x \leftarrow \mathbb{Z}_{p-1}$; $X \leftarrow g^x \mod p$</td>
<td>$y \leftarrow \mathbb{Z}_{p-1}$; $Y \leftarrow g^y \mod p$</td>
</tr>
<tr>
<td>$X$</td>
<td>$Y$</td>
</tr>
<tr>
<td>$K_A \leftarrow Y^x \mod p$</td>
<td>$K_B \leftarrow X^y \mod p$</td>
</tr>
</tbody>
</table>

- $Y^x = (g^y)^x = g^{xy} = (g^x)^y = X^y \mod p$, so $K_A = K_B$
- Adversary is faced with the CDH problem.
DH Secret Key Exchange: Questions

- How do we pick a large prime $p$, and how large is large enough?
- What does it mean for $g$ to be a generator modulo $p$?
- How do we find a generator modulo $p$?
- How can Alice quickly compute $x \mapsto g^x \mod p$?
- How can Bob quickly compute $y \mapsto g^y \mod p$?
- Why is it hard to compute $(g^x \mod p, g^y \mod p) \mapsto g^{xy} \mod p$?
- ...

**Exercise:** Answer as many of these questions as you can based on the content of this chapter.