

# Design & Analysis of Physical Design Algorithms

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# Outline

- Introduction
- Problem Transformation: Upper-Bound
- Practical Implication of Lower-Bound Analysis
- Greedy Algorithms and their proofs
- Greedy vs Global
- Approximation Algorithms
- Probabilistic Algorithms
- Conclusions
  
- **Main message:**
  - we need more/better algorithms
  - Better understanding (less hacks)

# Introduction

# Some points to consider

- Physical Design Problems are getting harder
  - Size, Concurrent optimization, DSM, ...
- Novel/effective algorithms are essential in coping with the complexity
  - (mincut vs congestion)
- Analysis of these algorithms are of fundamental importance
  - We can then concentrate on other issues/parameters
- Novel algorithmic paradigms need to be tried

# In this talk

- Talk about paradigms that have been (can be) used
  - Upper-bound transformation
- Seemingly unimportant concepts can be very powerful
  - Proof of a greedy algorithm
- **There are LOTS of things that we still do not understand (and yet seem important in making progress)**
  - **congestion**
- “We” need to make research progress (abstract/long term in addition to the usual hacks).

# Problem Transformation: Upper Bound

# Formal Definition

- *A is  $X(n)$ -transformable to B:*
  - *The input to A is converted to a suitable input to B*
  - *Problem B is solved*
  - *The output of B is transformed into a correct solution to problem A*
    - *Steps 1 & 3 takes  $X(n)$  time*

- *Upper Bound via Transformability:*

If B can be solved in  $T(n)$  time and A is  $X(n)$ -transformable to B, then A can be solved in  $T(n) + O(X(n))$  time.

- *Quality of the solution to A?*
  - *Bad example: finding min of a list via sorting  $O(n)$  transform*
  - *Good example: element uniqueness via sorting  $O(n)$  transform*

# Multi-way Partitioning Using Bi-partition Heuristics [Wang et al]

## Input:

- A target graph to be partitioned.
- $k$ : number of target partitions.

## Output:

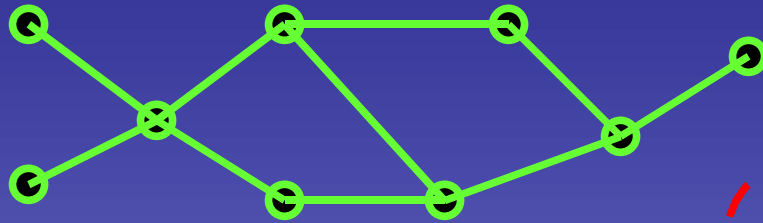
Each vertex in the target graph gets assigned to one of the target partitions. Numbers of vertices among target partitions are “the same” (balanced).

## Objective:

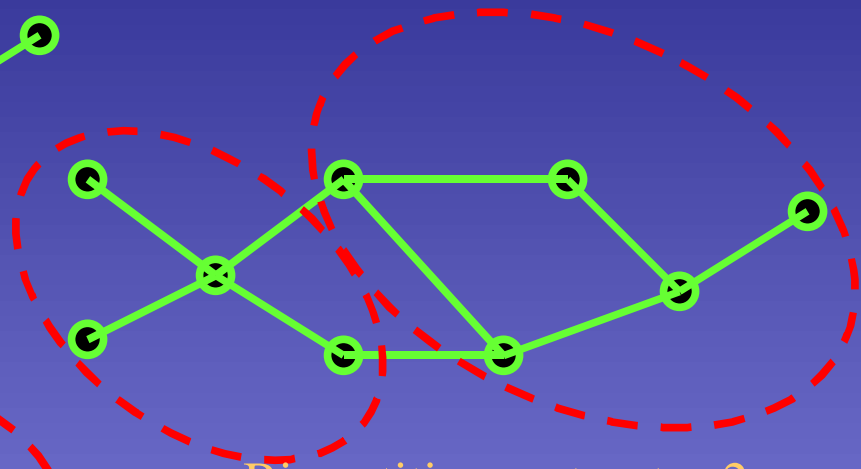
The number of edges between target partitions (net-cut) is minimized.



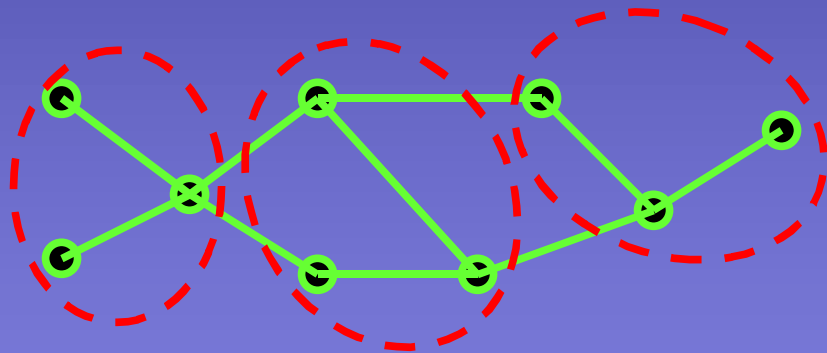
# Example



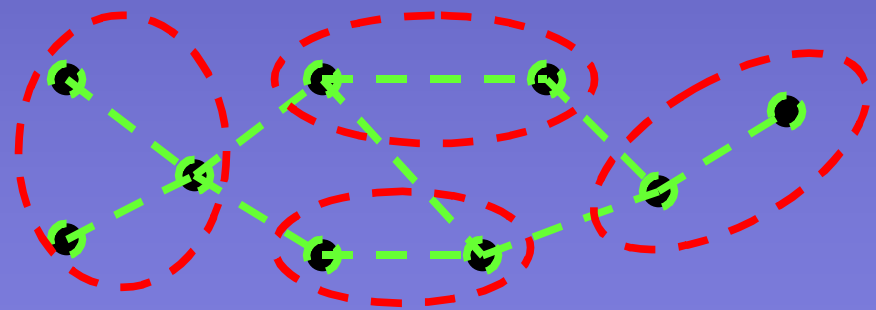
Target Graph



Bi-partition, net-cut = 2

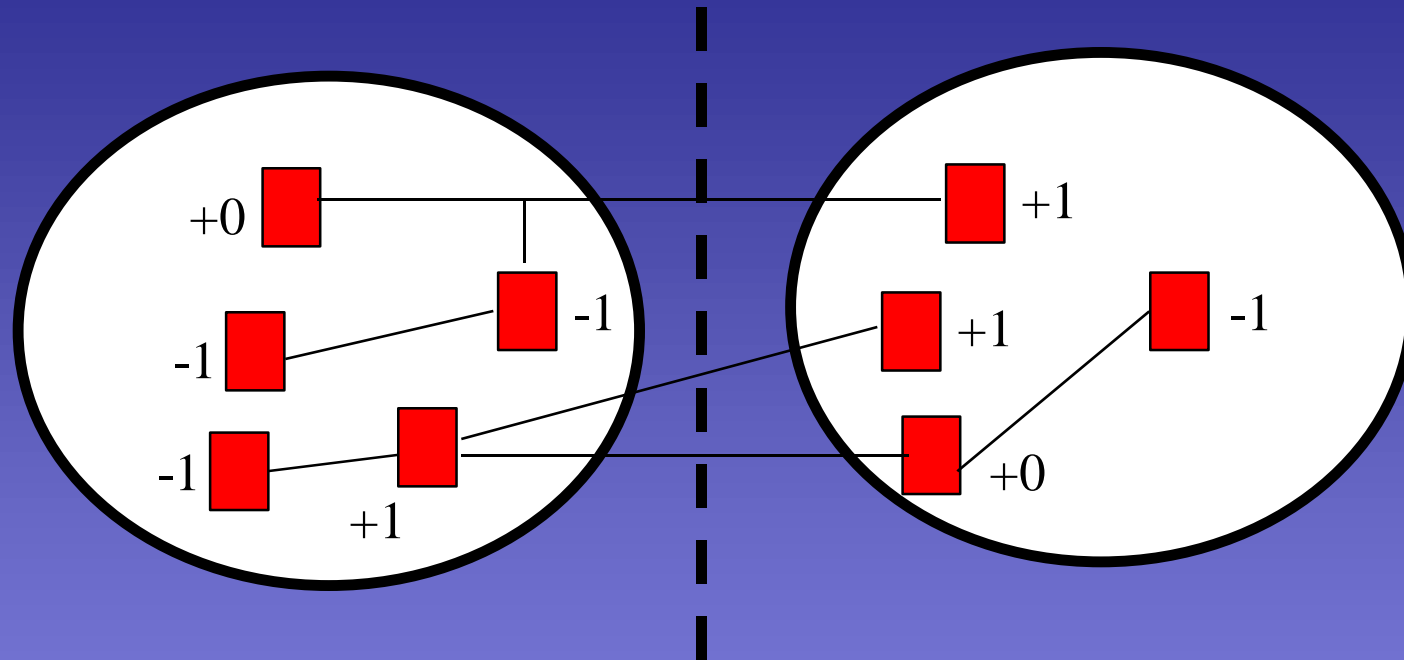


3-way partition, net-cut = 4



4-way partition, net-cut = 5

# FM (and FM++): The Industry Standard for Bi-Partitioning



- Each vertex has a “gain” associated with it.
- The vertex with the biggest gain will be moved.

# Possible Approaches For Multi-Way Partitioning

- Direct extension of FM. Each target vertex has  $k-1$  possible moving destinations.
- Using bi-partitioning heuristics (problem transf):
  - Hierarchical approach: Recursively bi-partition the target graph. (1+2+4 bipartition to do 8-way)
  - Flat approach: Iteratively improve the  $k$ -way partition result by performing local bi-partitioning (  $C(8,2) = 28$  or more bipartition to do 8-way)
  - Question: which one is more powerful?

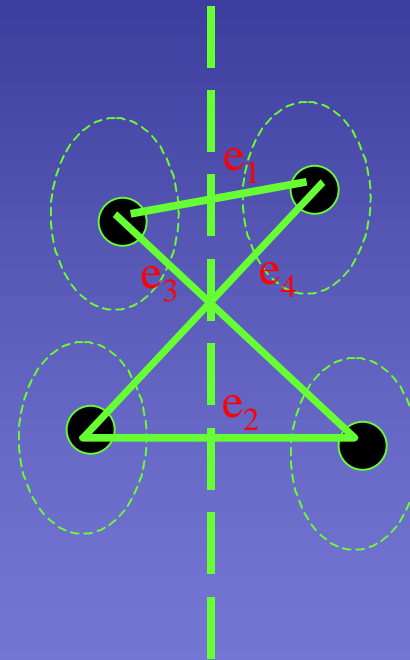
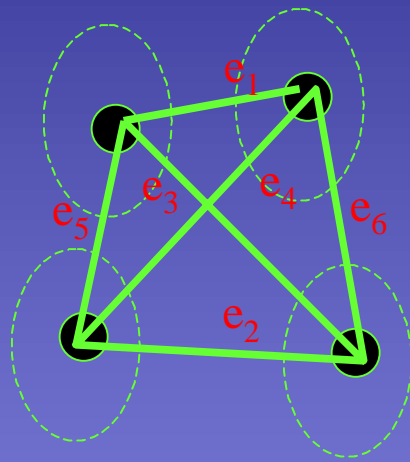
# Why problem transformation?

- The direct extension of FM approach does not yield good partitioning results in general.
- The state-of-the-art bi-partitioning tools are very effective.
- It is straightforward (little R&D) to solve multi-way partitioning problem using existing bi-partitioning tools via hierarchical or flat approaches.

# Bi-partition Algorithms

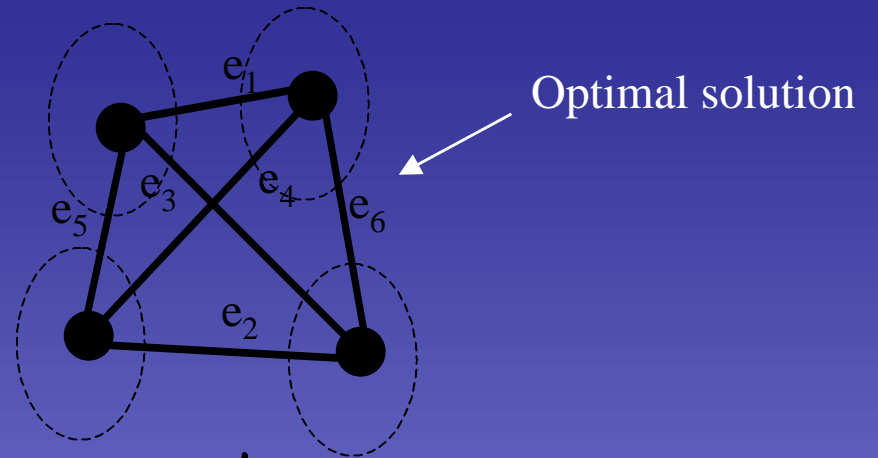
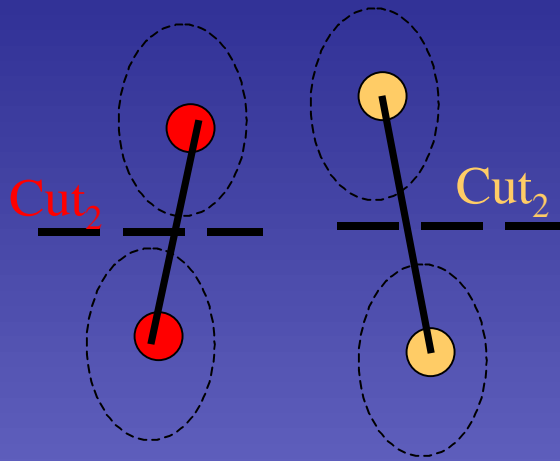
- A  $\delta$ -approximation algorithm: the bi-partition result is less than or equal to  $\delta C_{\text{opt}}$ .
- An  $\alpha$ -balanced bi-section problem: the number of nodes in each partition is between  $\alpha n$  and  $(1-\alpha)n$ . (A perfectly balanced bi-section problem is a 0.5-balanced problem.)

# The First Cut



$$\text{Cut}_1 = e_1 + e_2 + e_3 + e_4 \leq e_1 + e_2 + e_3 + e_4 + e_5 + e_6 \leq \delta \text{ OPT}$$

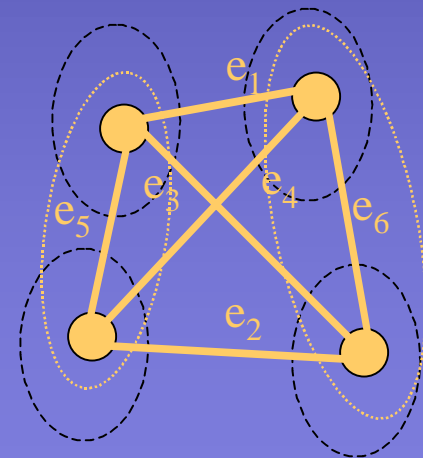
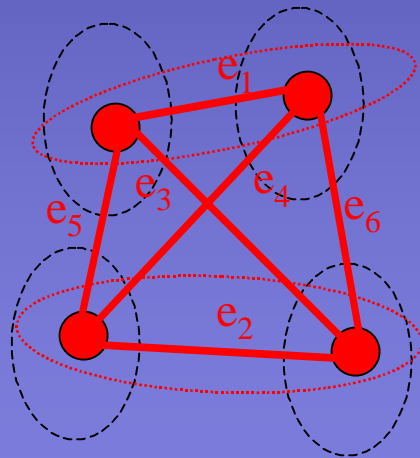
# The Second Cut



$$\left\{ \begin{array}{l} \text{Cut}_2 \leq \delta (e_1 + e_2 + e_3 + e_4 + e_5 + e_6) \\ \text{Cut}_2 \leq \delta (e_1 + e_2 + e_3 + e_4 + e_5 + e_6) \end{array} \right.$$



$$\text{Cut}_2 \leq \delta \text{ OPT}$$



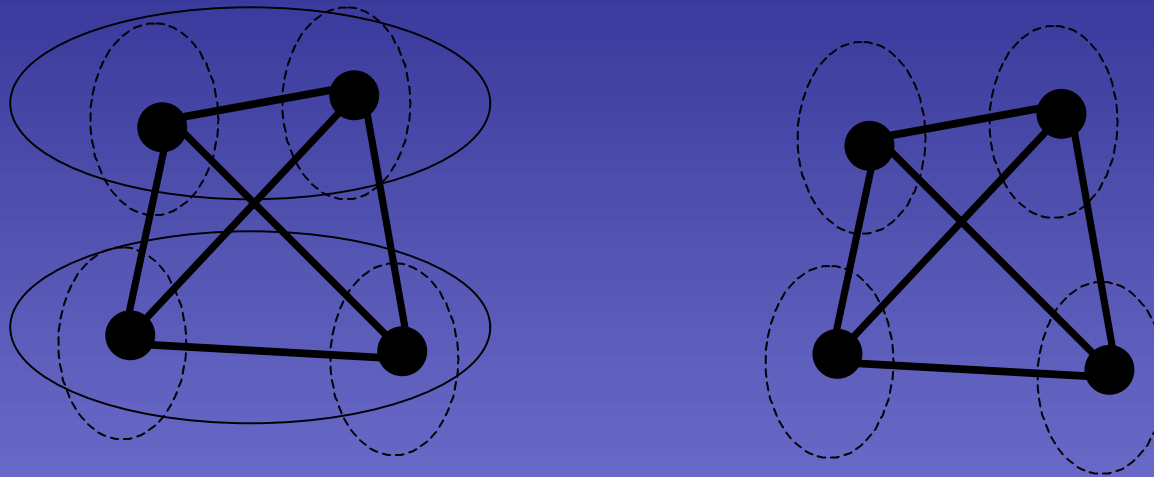
# Conclusion

- For hierarchical approach:

$$C_{\text{hie}} = O(\delta \log k) C_{\text{opt}}$$



# The Flat Approach



$$C_{\text{hie}} = O(\delta k)C_{\text{opt}}$$

# Variations of Flat Approaches

- Random: randomly pick two partitions at a time.
- Exhaustive: use a specific sequence to exhaustively pick all possible pairs of partitions.
- Cut-based: pick a pair or two most tightly or loosely connected partitions.
- Gain-based: pick a pair of two partitions between which the cutsize reduction is maximum or minimum during last pass.

# Multi-pass Flat vs. Hierarchical

circuit	best of allway		hierarchical		hie. vs. all-way	
	net-cut	runtime(s)	net-cut	runtime(s)	%improv.	speedup
fract	64	23.5	56	1.21	12.5%	19.4
struct	133	627.6	128	5.74	3.8%	109
p1	145	411.7	150	5.17	-3.4%	79.6
p2	455	1979	450	12.5	1.1%	158
biomed	305	1995	213	13.18	30.2%	151
in1	203	1249	175	9.68	13.8%	129
in2	979	5006	898	44.9	8.3%	111
in3	2210	5512	2070	80.1	6.3%	68.8
avqs	532	4485	532	42.6	0%	105
avql	532	24315	543	47.8	-2.1%	509
avg.					7.1%	144

The hierarchical approach is more effective than the all-way approach.

# Conclusion

- The hierarchical approach is the correct way to solve the multi-way partition problem using a state-of-the-art bi-partitioner.
- Problem transformation can be very powerful (or very weak)
- A little analysis goes a long way (and results can be counter intuitive)

# Practical Implications of Lower Bound Analysis

# Formal Definition

- *A is  $X(n)$ -transformable to B:*
  - *The input to A is converted to a suitable input to B*
  - *Problem B is solved*
  - *The output of B is transformed into a correct solution to problem a*

- *LOWER Bound via Transformability:*

If A is known to require  $T(n)$  time and A is  $X(n)$ -transformable to B, then B requires at least  $T(n) - O(X(n))$  time.



# Proofs of NP-Completeness are important because

- Knowledge of NP-Completeness points out that research must be directed towards finding efficient heuristics and not optimal algorithms
- **The proof itself gives important information about the problem. It can give useful directions to algorithm designers.**



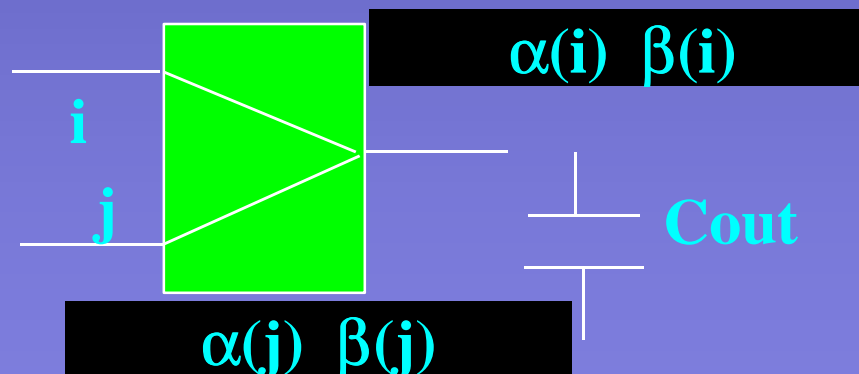
# Case Study: Global Buffer Insertion

- Problem NP-Complete in the Load Dependent Delay Model

$$\delta(i) = \alpha(i) + \beta(i) * C_{out}$$

$\alpha(i)$  : Internal Delay from pin  $i$  to output

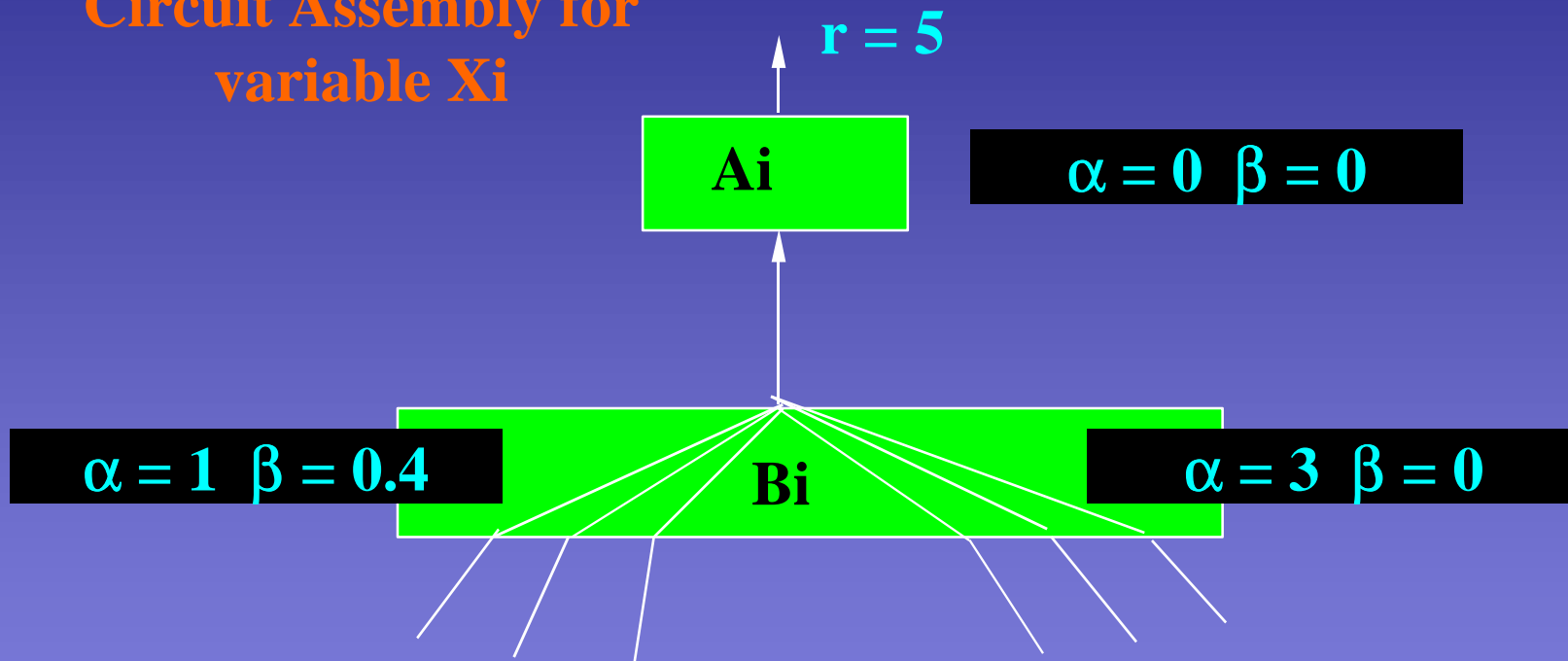
$\beta(i)$  : Load Sensitivity



# Transforming 3SAT to Buffer Insertion

3SAT Problem :  $C = (X_i + X_j + X_k). (X_i' + X_l + X_m') \dots \dots \dots$

Circuit Assembly for variable  $X_i$

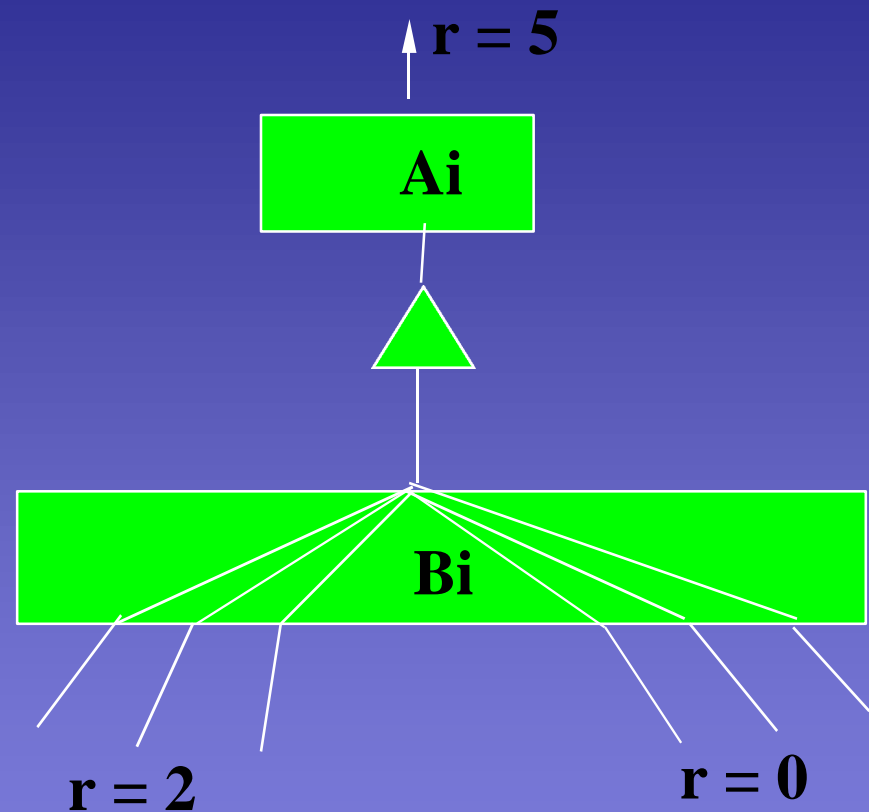


Connected to Clauses Where  $X_i$  appears as positive phase

Connected to Clauses Where  $X_i$  appears as negative phase

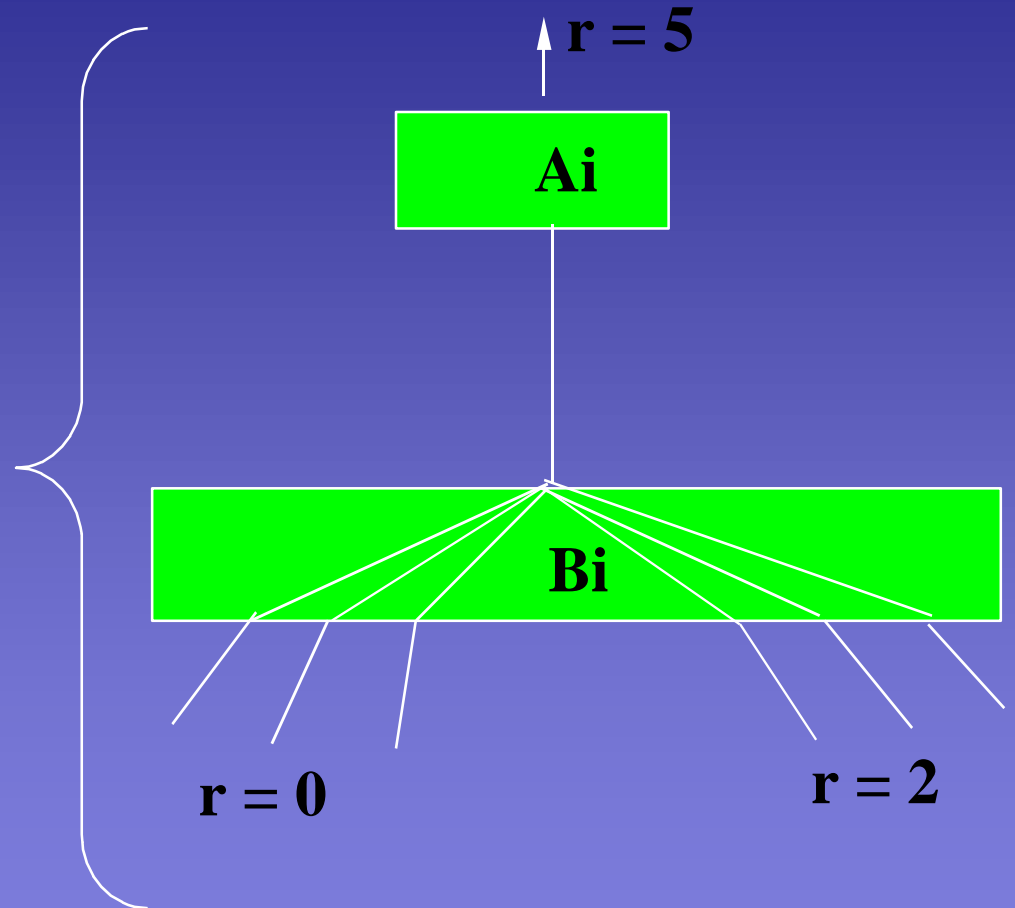
# Transforming 3SAT.....

If the net  $B_i A_i$  is buffered then the required time at one set of fanins is 2 and at other set of fanins is 0



# Transforming 3SAT.....

If the net  $B_iA_i$  is not buffered then the required time behavior becomes opposite



# Observations from Transformation

- Buffer Insertion on net  $B_i A_i$  helped one set of fanins and was not beneficial for the other. No buffer insertion had the opposite effect.
- This happens primarily because the load sensitivity ( $\beta$ ) of gate  $B_i$  is different for different sets of input pins
- The problem became NP-Complete primarily because the load sensitivity ( $\beta$ ) for different input pins of a gate is different

# Observation from Transformation

- Since each input pin has different load sensitivity, an optimal buffering solution w.r.t. a particular input pin of the net connected at the output of the gate, may not be optimal w.r.t. other pins. Hence the problem is NP-Complete

**All these observations were made directly from the transformation and the proof of NP-Completeness**

# Practical Implications

- If all gates of a circuit have the same load sensitivity then the problem is optimally solvable (if the local problem is optimally solvable).
- Fanout Optimization on substructures which have similar value of  $\beta$  will be more effective
- This also suggests methodologies for partitioning the circuit

# Conclusion

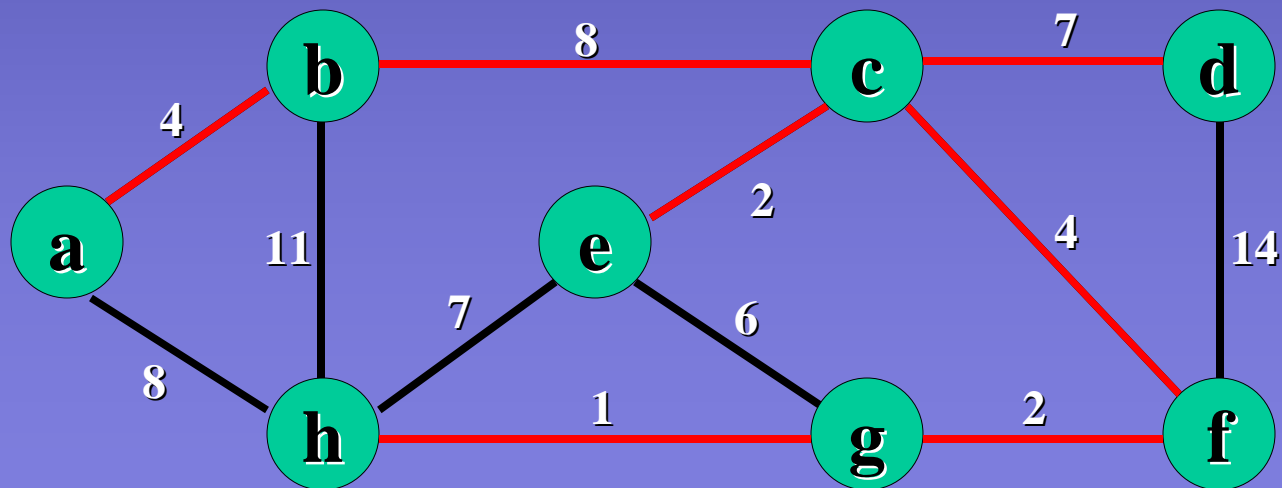
- Proofs of NP-Completeness can give a deep insight into the optimization problem. They also assist algorithm designers in finding useful ways of solving the problem.
- Who will prove these things?



# Proof of Greedy Algorithms

# Greedy Algorithms

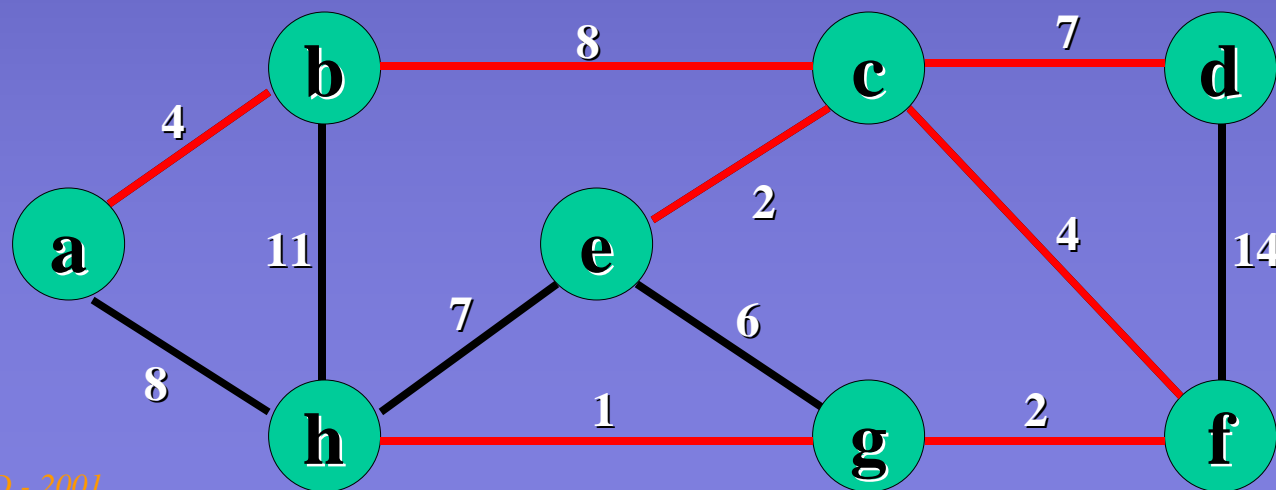
- Make a locally optimal choice to achieve a globally optimal solution
- Correct greedy algorithm often the simplest
- Example: Prim's Minimum Spanning Tree algorithm
  - Iteratively grow the spanning tree
  - Select edge which adds minimum value at each step



# Proof of Greedy Algorithm Correctness

Correct greedy algorithms exhibit two properties:

- Optimal substructure
  - Optimal solution to the problem contains optimal solutions to sub-problems
  - Stopping Prim's MST algorithm at any instant gives optimal MST for the currently "spanned" nodes
- Greedy choice property
  - Globally optimal solution can be built using a series of locally optimal choices



**Optimal Substructure**

MST for {a,b,c}

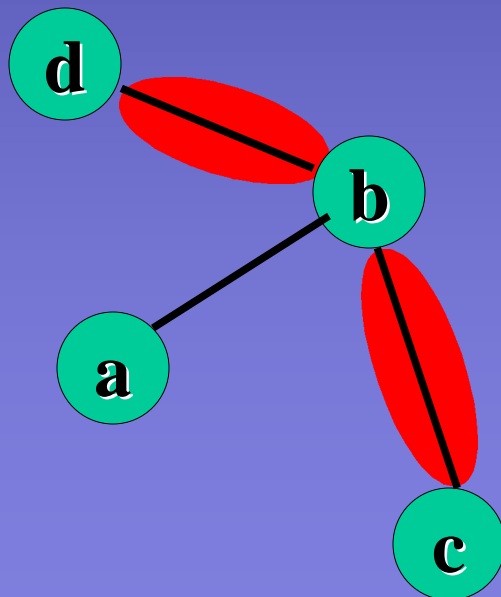
**Greedy Choice**

Next edge is minimum weighted connected edge (c,e)

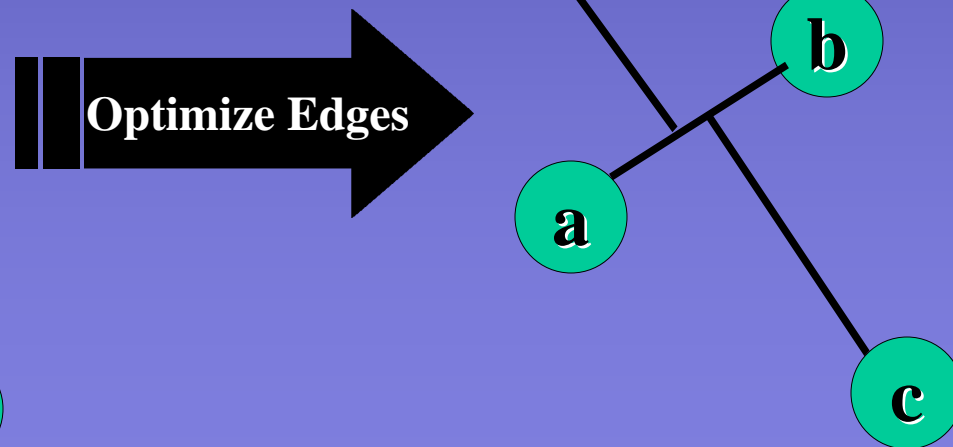
# Greedily Solving NP-Complete Problems

- Use greedy solution as an optimization starting point  
Add more complex optimizations to greedy algorithm

Start with MST



Better Steiner Tree



# Use of Greedy Algorithms

- Simple
- Analysis may reveal interesting properties
- Can be used as heuristics in solving related problems

# Greedy vs Global

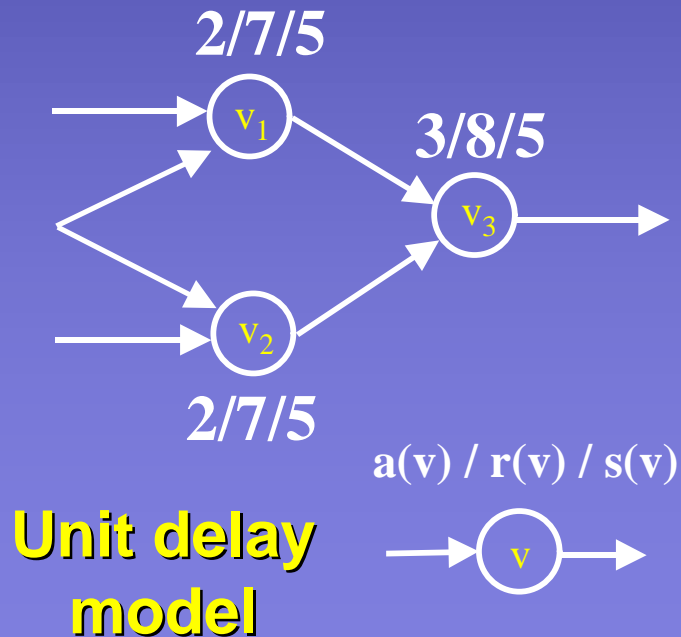
# Slack Assignment Problem

- Combinational network  $\longrightarrow$  Directed-acyclic graph
- Given a delay model and timing requirement, calculate node slack:  $s(v) = r(v) - a(v), \quad v \in V$
- Slack distribution:  $\mathbf{S}(V) = [s(v_1), s(v_2), \dots, s(v_n)]$   
total slack:  $|\mathbf{S}(V)| = \sum s(v_i)$
- Slack assignment: assign incremental delays  
 $\Delta\mathbf{D}(V) = [\Delta d(v_1), \Delta d(v_2), \dots, \Delta d(v_n)]$  to  $V$

If  $\mathbf{S}_{\Delta}(V) \geq 0$ , the slack assignment is effective and  $|\Delta\mathbf{D}(V)|$  is called effective slack.

# Slack Assignment Problem

- Potential slack: maximum effective slack  $|\Delta \mathbf{D}_m(\mathbf{V})|$
- A slack assignment is (global/) optimal if it leads to potential slack.

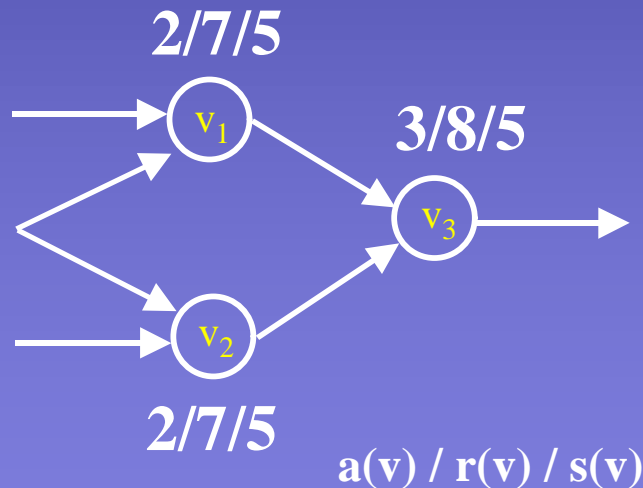


- Slack distribution  $\mathbf{S}(\mathbf{V}) = [5 \ 5 \ 5]$
- $\Delta \mathbf{D}(\mathbf{V}) = [2 \ 1 \ 1]$  is a slack assignment
- $\Delta \mathbf{D}_m(\mathbf{V}) = [5 \ 5 \ 0]$  is a better slack assignment
- Potential slack =  $|\Delta \mathbf{D}_m(\mathbf{V})| = 10$



# ZSA: Greedy

- Slack represents an upper bound of delay increase while keeping timing performance
- Zero-Slack Algorithm (ZSA) [Nair et al, 1989]:



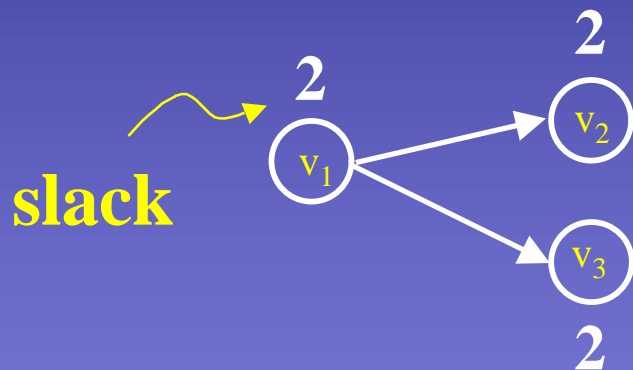
- Assign  $\Delta d = 2.5$  to  $v_1$  and  $v_3$  each
- Slack distribution becomes  $[0 \ 2.5 \ 0]$
- Assign  $\Delta d = 2.5$  to  $v_2$
- We have slack assignment  $[2.5 \ 2.5 \ 2.5]$  with effective slack of 7.5

Unit delay  
model



# Potential Slack (PS): Basic Idea of Finding PS

- Try to identify a maximal-independent set to increase slack **budget** (effective slack):



--- Select  $v_2$  and  $v_3$  for slack assignment since  $v_2$  and  $v_3$  are independent nodes

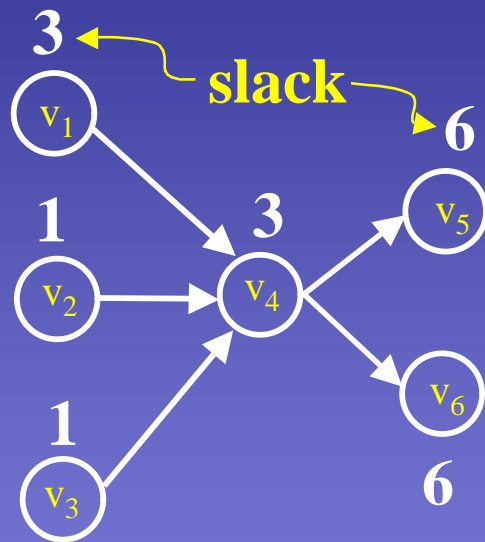
--- Potential slack = 4

- Study slack sensitivity to reduce slack **penalty**:

Penalty --- When  $\Delta d$  is assigned to node  $v$ , the slacks of  $v$ 's neighbors may be reduced.

# Potential Slack (PS): Basic Idea of Finding PS

## An example of slack sensitivity



- Slacks of nodes  $v_5$  and  $v_6$  are larger than those of  $v_1 \sim v_4$ , the former being sensitive to the latter
- Order of nodes for slack assignment is important
- First selecting  $v_5$  and  $v_6$  gives better result

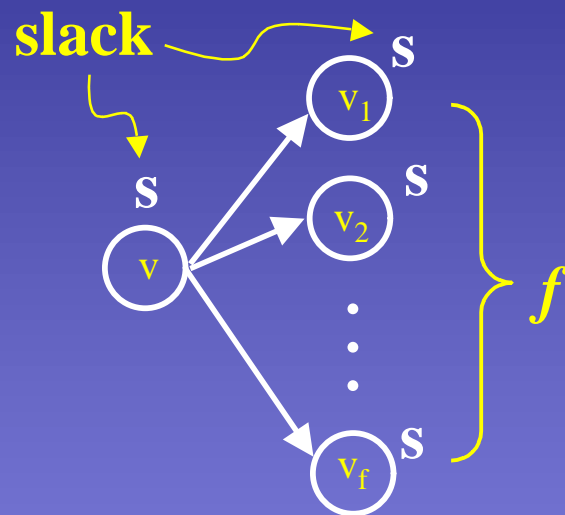
Two different slack assignments:

$$\Delta_1 \mathbf{D} = [3 \ 1 \ 1 \ 0 \ 3 \ 3], \quad |\Delta_1 \mathbf{D}| = 11$$

$$\Delta_2 \mathbf{D} = [1 \ 1 \ 1 \ 0 \ 5 \ 5], \quad |\Delta_2 \mathbf{D}| = 13$$



# Potential Slack (PS): A typical example



- ZSA:  $|\Delta_1 \mathbf{D}(\mathbf{V})| = (f + 1) s / 2$
- Optimal:  $|\Delta_m \mathbf{D}(\mathbf{V})| = f s$
- $|\Delta_m \mathbf{D}(\mathbf{V})| \approx 2 |\Delta_1 \mathbf{D}(\mathbf{V})|$  for  $f \gg 1$
- Total slack =  $(f + 1) s$

# Algorithm: theoretical results

**Theorem 1** [Chen-Sarrafzadeh, ICCAD 2000):

**Maximal-Independent-Set based Algorithm (MISA) generates an optimal slack assignment.**

**Theorem 2:**

**The time complexity of MISA is  $O(n^3)$ , where  $n$  is the number of nodes in a given graph.**

(if too complex, build heuristics around it)

## Application: gate sizing problem

- Use slack assignment result to select gates to be down-sized.
- High potential slack promises significant area/power reduction.

## Application: placement problem

- Potential slack (PS) can be translated into the freedom/flexibility of all signal nets during physical design phase. The more potential slack, the easier to route signal nets without violating the timing constraints.
- 
- Potential slack based results are very effective

# Conclusions

- Global algorithms are harder to design and analyze, have higher time complexity, however can produce good (optimal?) results.
- Is it worth it? Deep understanding on the structures of the solution
  - What if too complex to implement?
  - What if takes too much time to run?



# Approximation Algorithms

# Approximation Algorithm

- Problem :  $P$
- Objective : minimize  $f$  ( $f^*$  : the optimal value)
- Instance  $I \in P$
- $\alpha$ -Approximation Algorithm  $A$  (Heuristic) for  $P$

$$f(I, A) \leq \alpha \cdot f^*(I)$$

# Approximation Algorithm

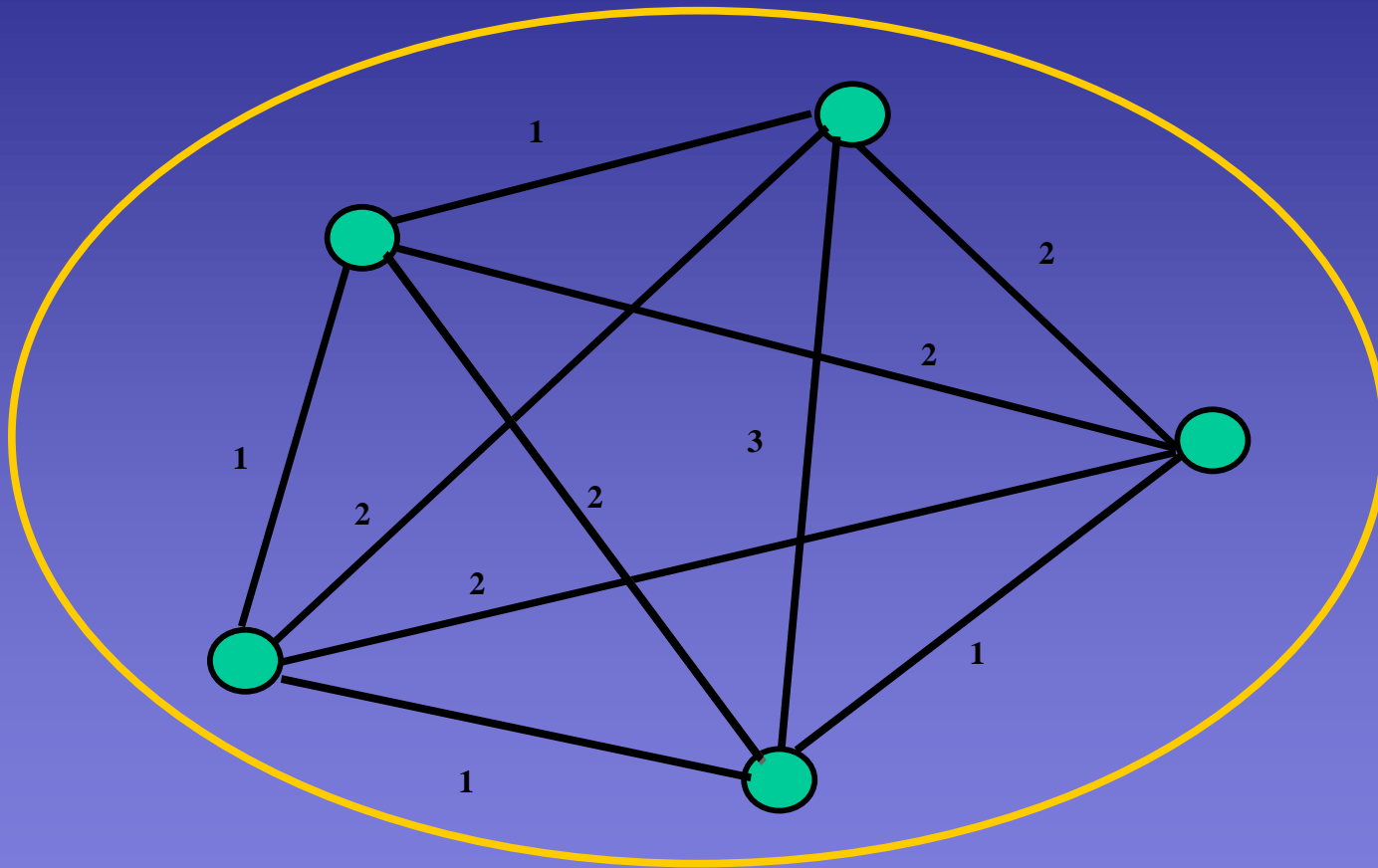
- How to Evaluate Quality of Heuristics?
  1. Analyzing the data obtained by running on set of benchmark
    - Only comparison among set of samples!
  2. Deriving Lower Bounds or limits of approximability using approximation analysis methods
    - Not Easy to Analyze Lower Bounds!
- What can analyzing performance of an algorithm tell us?
  - If the approximation is good enough
  - Approximation algorithms bound the search space

# Example: Clustering Algorithm

- **Problem:**
  - Given an undirected weighted graph  $G$ , objective function  $f$ , and integer  $k$ ,
  - Partition the graph into  $k$  clusters such that value of  $f$  is minimized.
- **$\alpha$ -Approximation Algorithm:**
  - Proposed by Gonzalez (1985)
  - Assumptions:
    - $G$  is Complete Graph
    - $f$  is to minimize the maximum weight of an edge inside a cluster
    - Weights of edges satisfy triangle inequality
  - Complexity:  $O(k \cdot n)$ 
    - $n$  is number of nodes in graph  $G$

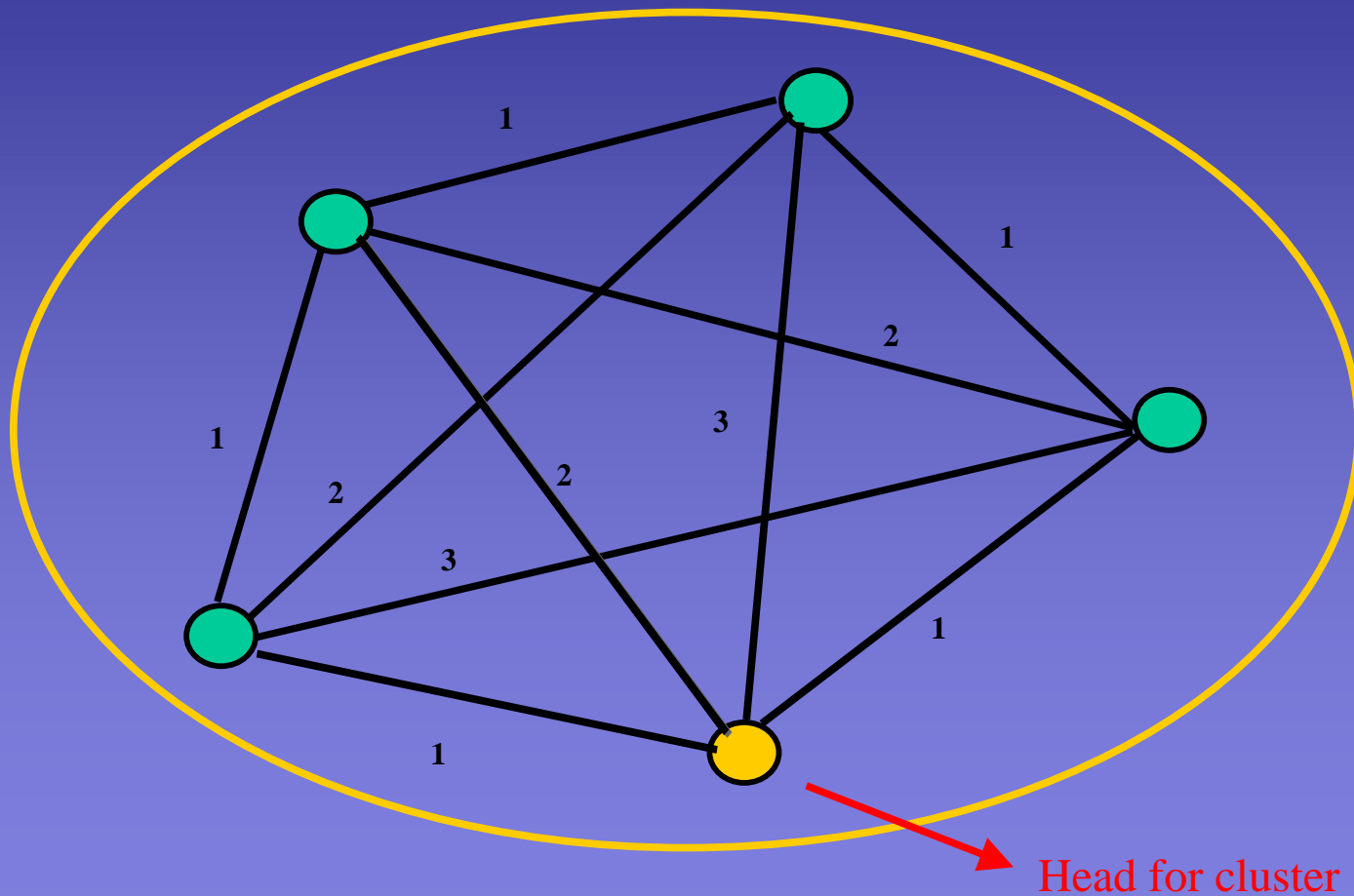
# Example: Clustering Algorithm

- Generate two clusters on complete graph  $G$  ( $k=2$ ).



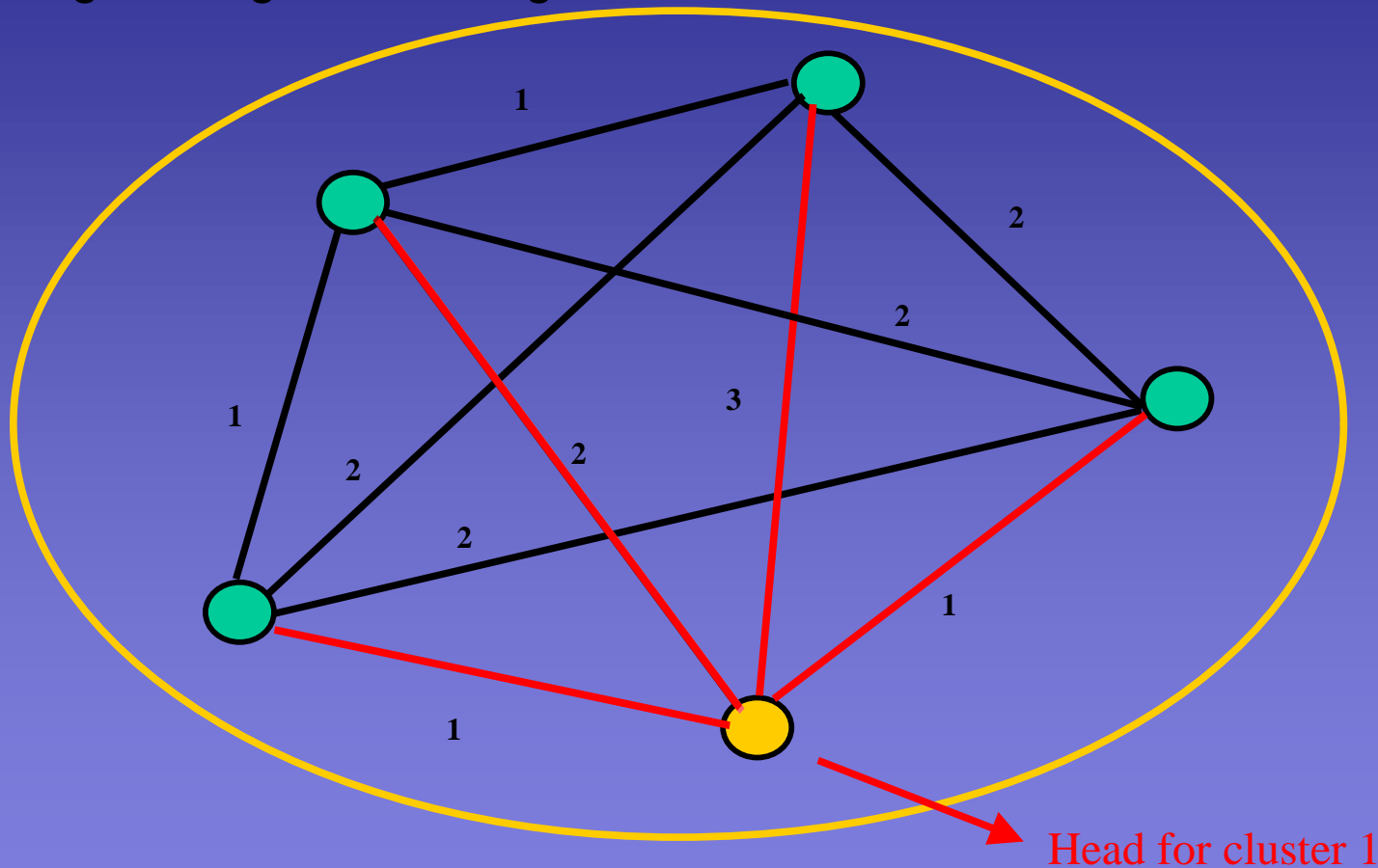
# Example: Clustering Algorithm

- Generate a cluster including all the nodes.
- Pick an arbitrary node as **head** of current cluster.



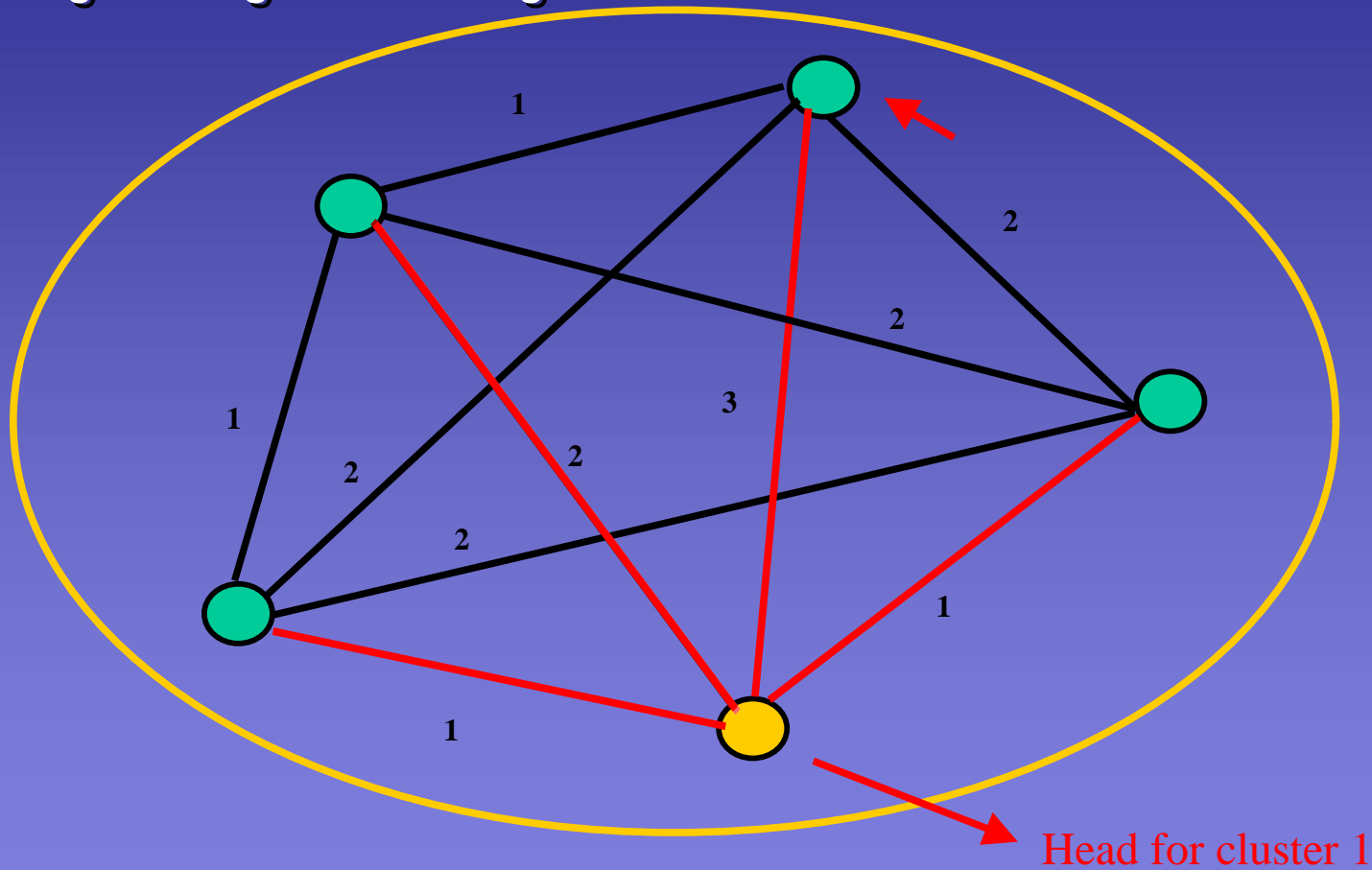
# Example: Clustering Algorithm

- Select the node that is connected to the head of cluster through the edge with greatest weight



# Example: Clustering Algorithm

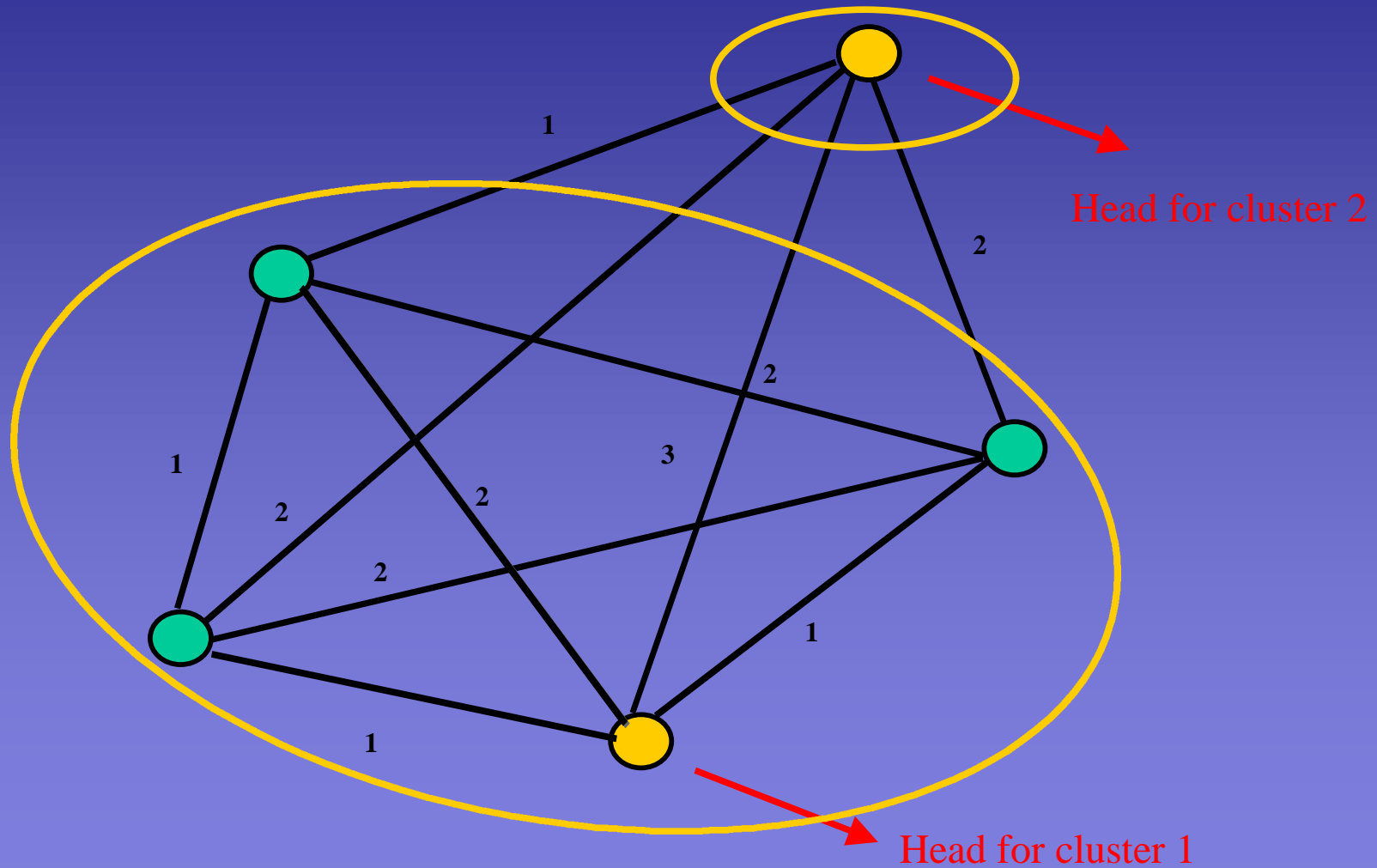
- Select the node that is connected to head of its cluster through the edge with greatest weight.





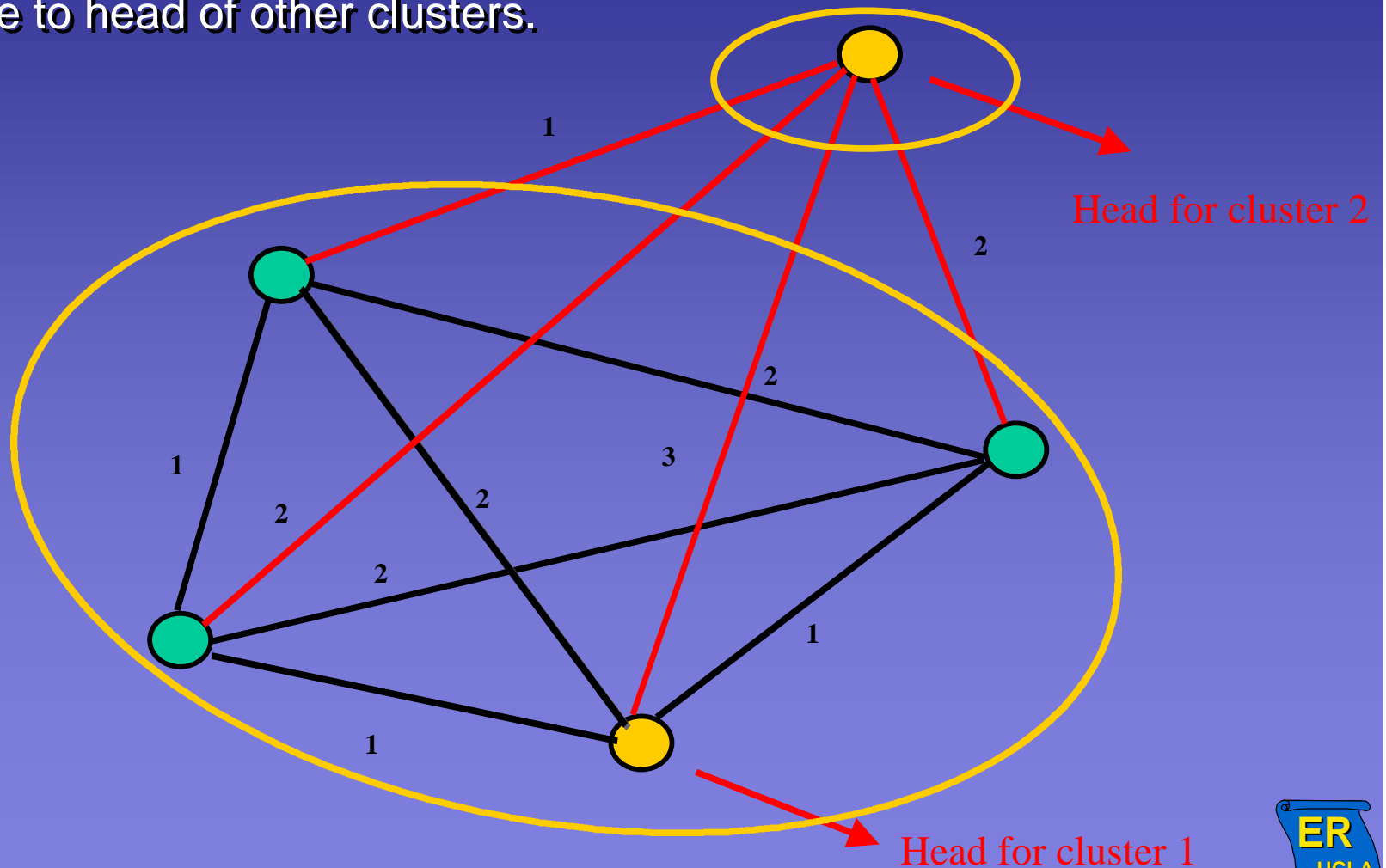
# Example: Clustering Algorithm

- Separate the node, being the head of new cluster.



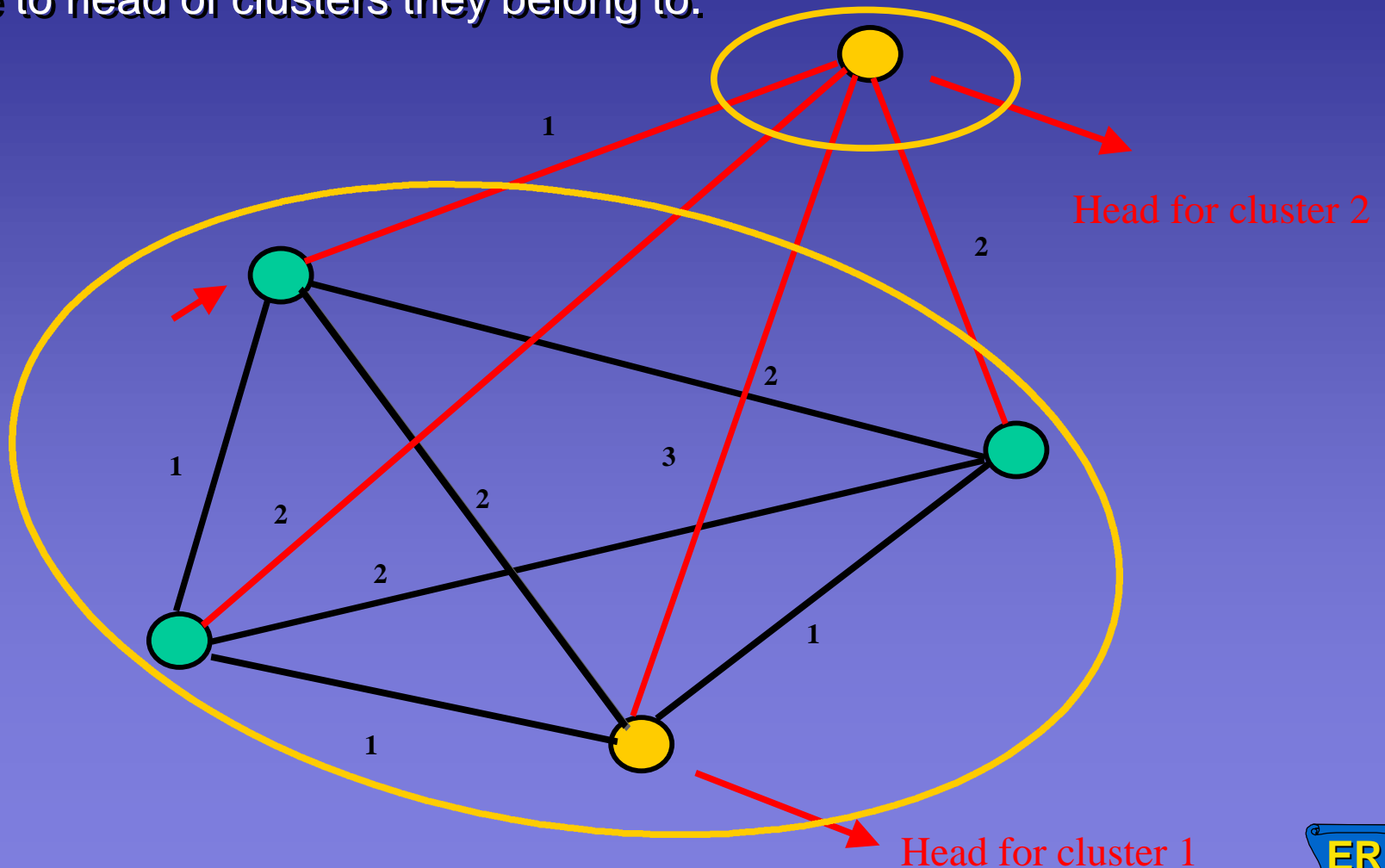
# Example: Clustering Algorithm

- Move the nodes inside the clusters connected to head of new cluster with lower weight compared to weight of edges connecting those to head of other clusters.

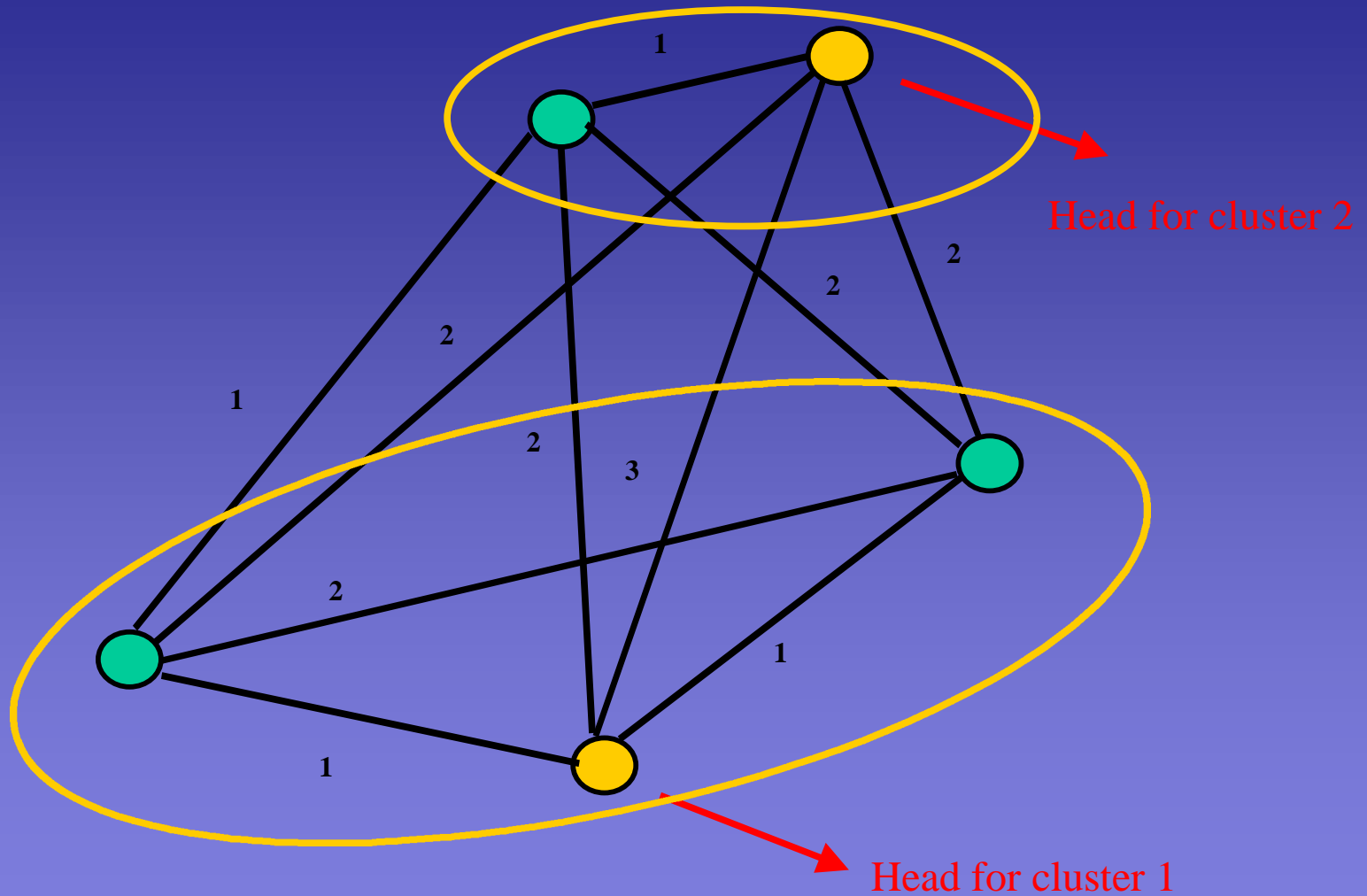


# Example: Clustering Algorithm

- Move the nodes inside the clusters connected to head of new cluster with lower weight compared to weight of edges connecting those to head of clusters they belong to.



# Example: Clustering Algorithm

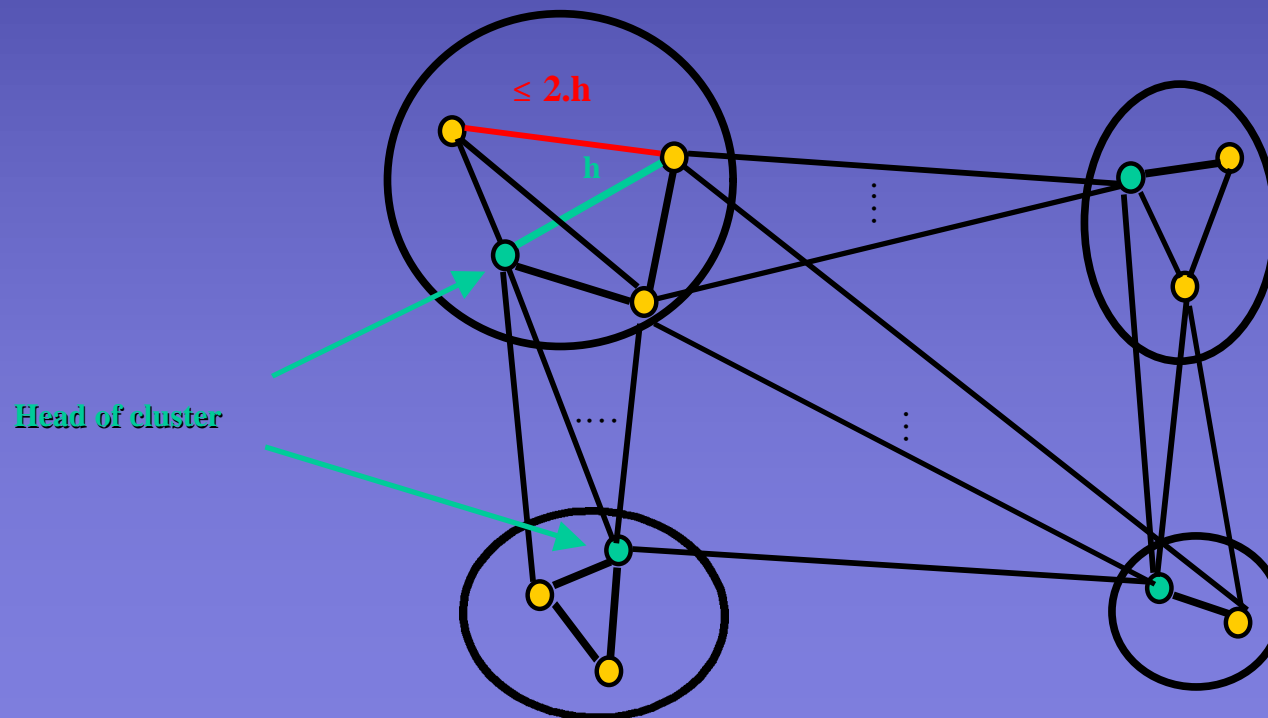


# Example: Clustering Algorithm

- It has been proven:
  - Proposed algorithm gives a solution within 2 times the optimal solution ( $\alpha = 2$ ).
  - Computed approximation bound is the best possible for such problem (unless  $P=NP$ ).
  - This implies that problem of approximation within  $2-\epsilon$  is NP-Complete.

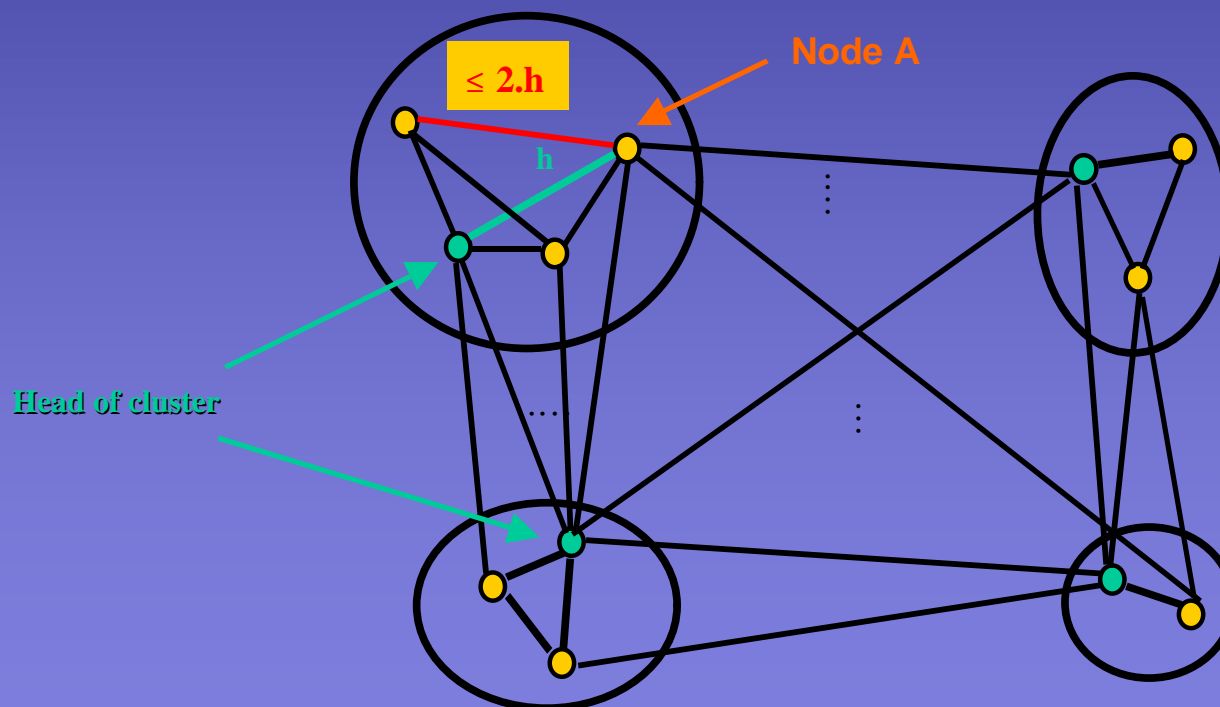
# Analysis of 2-approximation clustering algorithm

- $h$  is defined as the maximum weight of edge inside clusters connecting to head of cluster.
- According to triangle inequality no edge in clusters has greater weight than  $2 \times h$  ( $G$  is a complete graph).



# Analysis of 2-approximation clustering algorithm

- Node A is connected to head of cluster with weight  $h$ .
- Since Node A has not been chosen to be head of other clusters (before or after cluster 1 was constructed), it implies that the weight of edges connecting Node A to heads of other clusters are at least  $h$ .

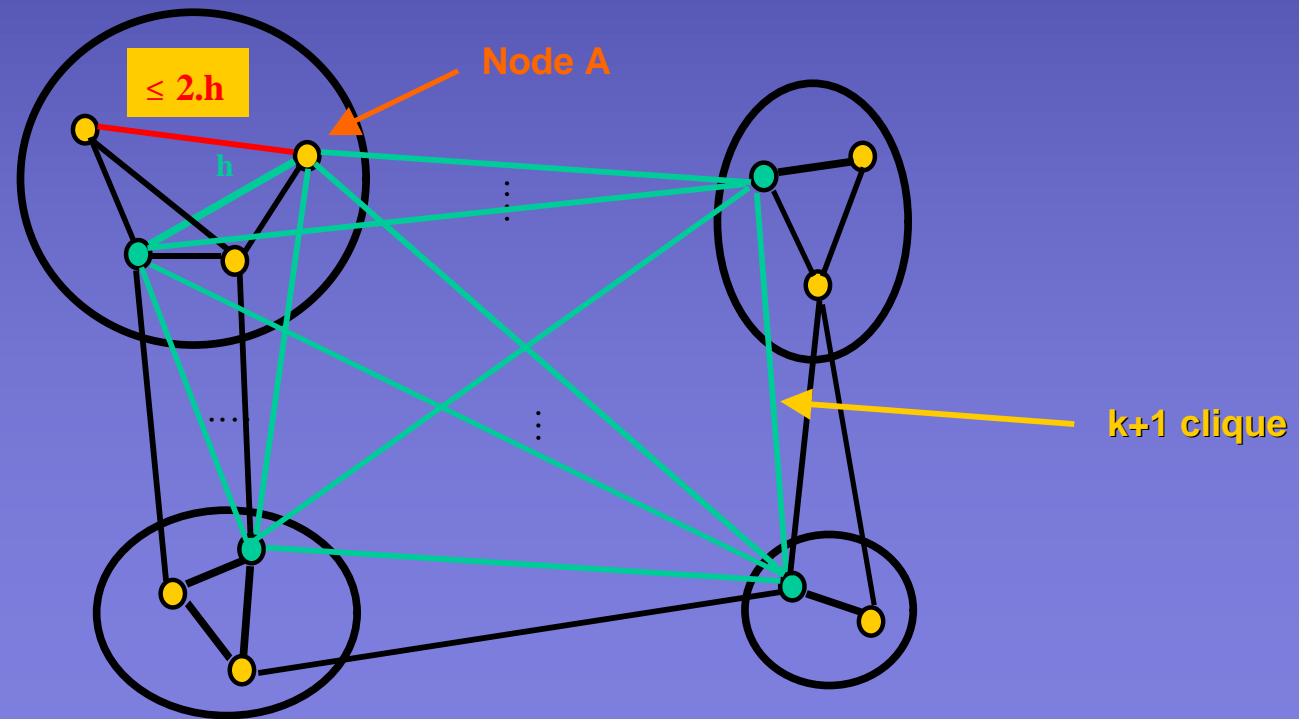


# Analysis of 2-approximation clustering algorithm

- Node A and heads of clusters (k nodes) generate a k+1 clique.
- Weights of edges in clique is at least h.
- If there is a (k+1)-clique with edges having greater weight than h, at least one edge of this clique will be inside one of the k clusters.

(k+1)-clique of weight h observed in G

$$\rightarrow f^*(G) \geq h$$

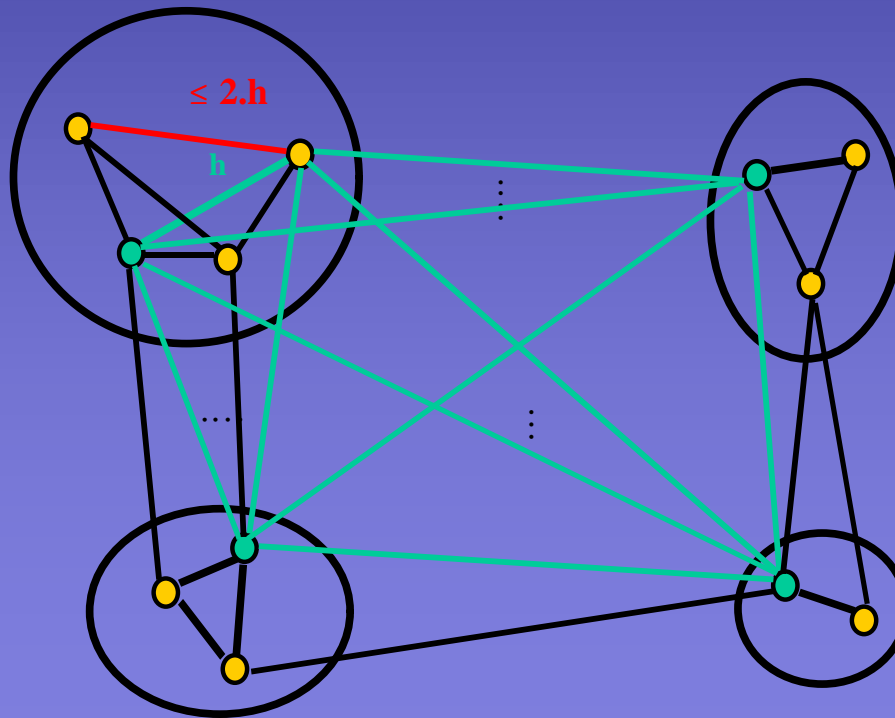




# Analysis of 2-approximation clustering algorithm

- Maximum weight of an edge inside any cluster is great than  $h$ .
- In solution obtain by approximation algorithm, no edge with weight greater than  $2 \times h$  is inside any cluster.

$$f(A, G) \leq 2 \cdot f^*(G)$$



# Conclusions

- Important to analyze algorithms
- Better to start with a simple algorithm, analyze it, add more local optimization methods, and improve the performance
- Let computational effort guide us towards the correct road to develop better algorithms for hard problems.

# Probabilistic Algorithms

# Probabilistic Algorithms

- Definition – an algorithm that makes random choices during execution
- Examples
  - Simulated Annealing
  - Genetic Algorithms
  - Karger's Contraction Algorithm for Clustering
- Use probabilistic analysis to give:
  - Expected runtime
  - Bound on solution quality

**Probabilistic algorithms often run faster, are easier to implement and describe than comparable deterministic algorithms**

# Contraction Algorithm for Clustering/partitioning

**Input:** directed acyclic graph  $G = (V, E)$ , number of partitions  $k$

**Output:**  $k$  sets of vertices

**begin**

**while**  $|V| > k$

do choose an edge  $e(u,v)$  at random

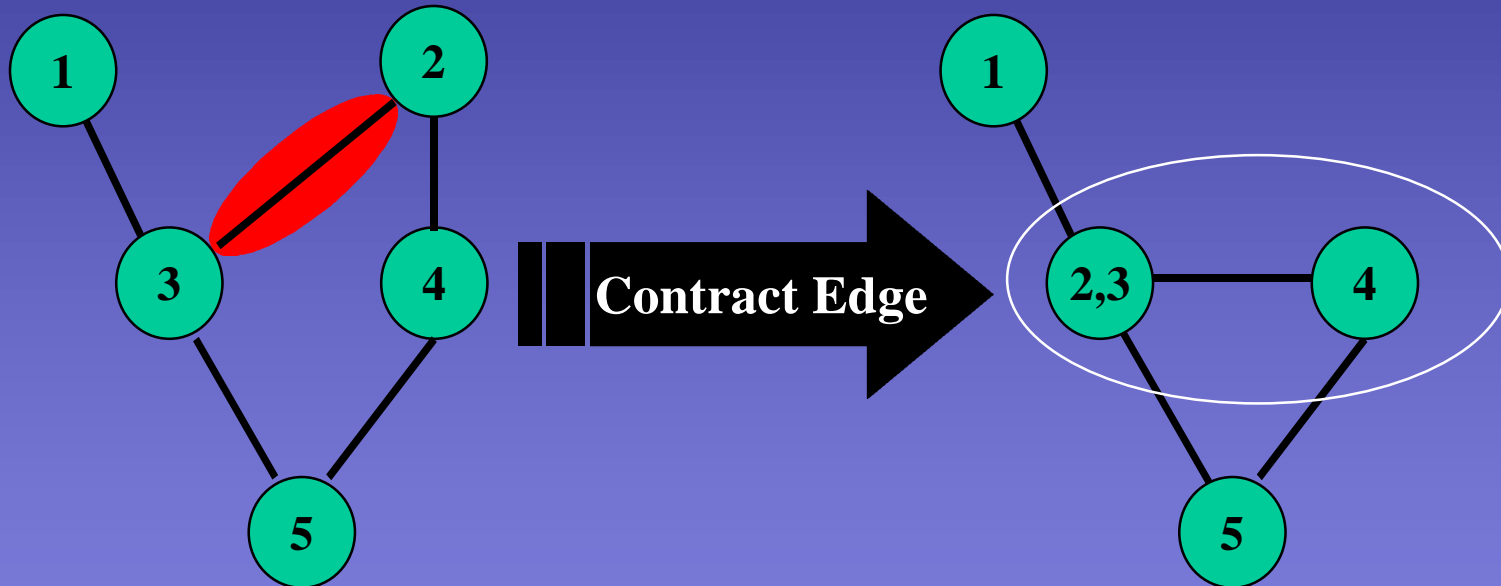
    contract both  $u$  and  $v$

**end**

**Simple to describe with good runtime  
and bound on solution quality**

# Example

- Edge contraction
  - Remove vertices connected to edge
  - Replace with one vertex and maintain connectivity



**“Simple” Algorithm with Analysis  
yields powerful results**

# Solution Quality Properties

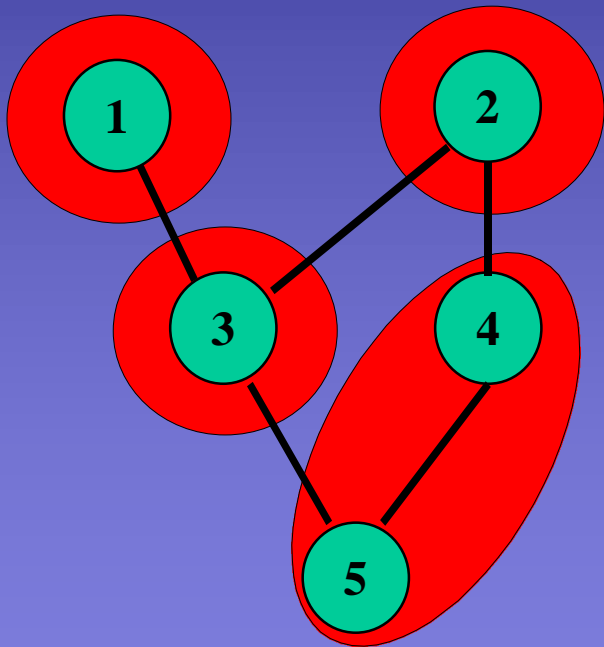
- *Using probabilistic analysis we can get bounds on solution quality*
- **Theorem:** *Stopping the Contraction Algorithm when  $r$  vertices remain yields a minimum  $r$ -way cut with probability at least*

$$r \binom{n}{r-1}^{-1} \binom{n-1}{r-1}^{-1}$$

- **Corollary:** *If we perform  $O(n^2 \log n)$  independent contractions to two vertices, we find a min-cut with high probability.*
- *You want it faster: combine this with FM*

# Proof of Theorem

- Choose  $r - 1$  vertices at random, each of these vertices is in its own cluster ( $r = 4$ )
- The remaining vertices are in the final cluster



- $f$  is the number of edges cut ( $f = 4$ )
- $m$  is the number of graph edges ( $m = 5$ )

$$E[f] = \left[ 1 - \left( 1 - \frac{r-1}{n} \right) \left( 1 - \frac{r-1}{n-1} \right) \right] m$$



Probability that a single edge is cut



# Proof of Theorem (cont)

$$E[f] = \left[ 1 - \left( 1 - \frac{r-1}{n} \right) \left( 1 - \frac{r-1}{n-1} \right) \right]^m$$

- $f$  is no less than the value of the minimum  $r$ -cut,  $E[f]$  is the value of the minimal cut
- The probability that a particular minimum  $r$ -cut survives the reductions process until there are  $r$  vertices remaining is at least

$$\prod_{u=r+1}^n \left( 1 - \frac{r-1}{u} \right) \left( 1 - \frac{r-1}{u-1} \right)$$

$$= \prod_{u=r+1}^n \left( 1 - \frac{r-1}{u} \right) \prod_{u=r+1}^n \left( 1 - \frac{r-1}{u-1} \right)$$

$$= r \binom{n}{r-1}^{-1} \binom{n-1}{r-1}^{-1}$$

# Insights into Clustering Problem

- Probability theory also gives tremendous insight into the clustering problem
- **Corollary:** *The number of minimum  $r$ -cuts of a graph is no more than  $O(n^{2(r-1)})$ .*
- **Corollary:** *The number of  $r$ -cuts within a factor of  $k$  of the optimum is  $O(n^{2k(r-1)})$ .*

**“Simple” probabilistic algorithms give quality and runtime bounds and insight into the problem**

# Don't be afraid to try wild ideas

- Crossing-based placement
- Embedding into intermediate graphs (netlist to mesh)

# Final Comments

- Algorithm innovation is needed in all aspect of PD
- More so, as problems are getting more complex
- We need to look at
  - new paradigms,
  - novel algorithms,
  - concepts that may not go into a CAD tool (right away)
- Who is responsible for doing all these?
  - Industry
  - Academia