

# Fully Homomorphic Encryption from the ground up

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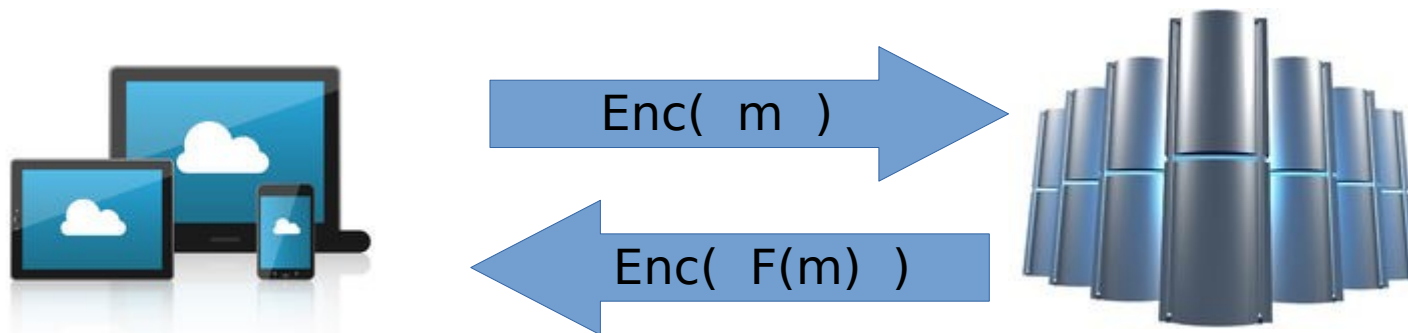


# (Fully Homomorphic) Encryption

- Encryption: used to protect data at rest or in transit



- Fully Homomorphic Encryption: supports arbitrary computations on encrypted data



# FHE Timeline

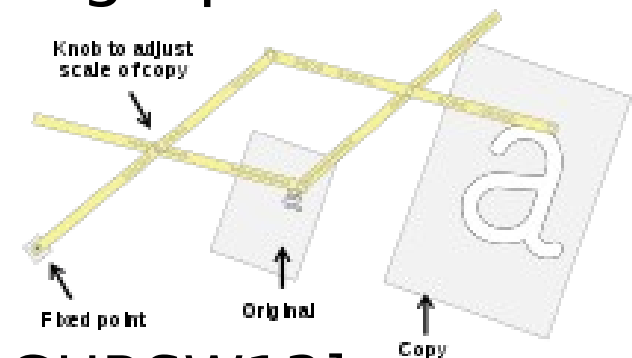
- Concept originally proposed by Rivest, Adleman, Dertouzos (1978)
- Gentry's breakthrough (2009)
  - First candidate solution
  - Bootstrapping technique
- Much subsequent work (2010-2019 ...)
  - Basing security on standard (lattice) assumptions  
[BV11,B12,AP13,GSW13,BV14,...]
  - Efficiency improvements  
[GHS12,BGH13,AP13/14,DM15,CP16,CGGI16/17,CKKS17,MS18,...]
  - Implementations:  
HElib, SEAL, PALISADE, FHEW, TFHE, HeaAn, Λoλ, NFLlib, ...

# Outline

- FHE: background and sample applications
- Lattice Cryptography
  - Key properties of lattice cryptography that make it so useful to build FHE and other applications
- Generic FHE construction
  - Symmetric Encryption
  - Public Key Encryption
  - Linearly Homomorphic Encryption
  - Fully Homomorphic Encryption

# FHE applications

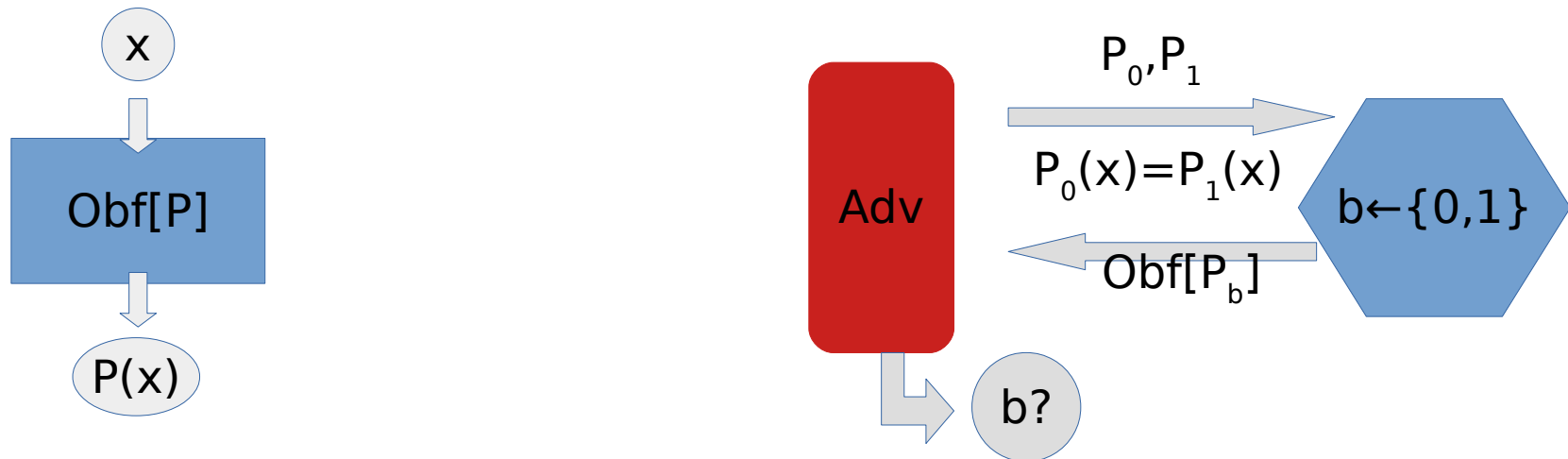
- Direct applications:
  - Secure outsourcing of computation
- Powerful tool: “Cryptographic Pantograph”



- FHE [Gentry09]
- (Indistinguishability) Obfuscation [GGHRSW13]
- Functional Encryption [GKPVZ13]
- Correlation Intractable Hash Functions [PS19], [CCHLRRW19]
- ....

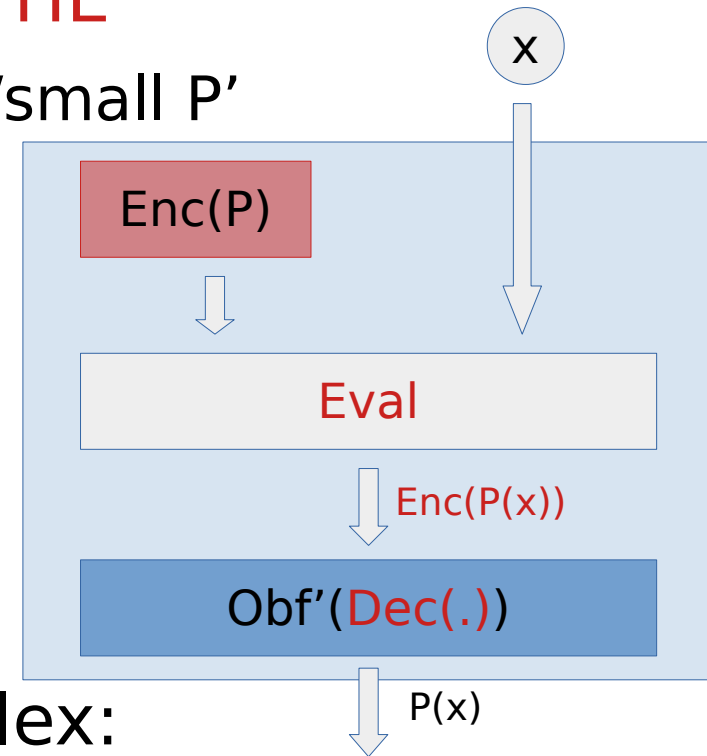
# Sample Application 1

- (Indistinguishability) Obfuscation
  - Obf: Program  $\rightarrow$  Program
  - Correctness:  $\text{Obf}[P](x) = P(x)$
  - Security:  $P_0(x) = P_1(x) \rightarrow \text{Obf}[P_0] \sim \text{Obf}[P_1]$



# Bootstrap Obfuscation

- Bootstrapping Obfuscation using **FHE**
  - Obf': obfuscation scheme for simple/small P'
- $\text{Obf}[P] = (\text{Enc}(P), \text{Obf}'[\text{Dec}(\cdot)])$ 
  - $(\text{Enc}, \text{Dec}, \text{Eval}) \leftarrow \text{FHE.KeyGen}$
- $\text{Obf}[P](x) = \text{Dec}(e)$ 
  - $\text{Obf}'[\text{Dec}(\cdot)] (\text{Eval}(\text{Enc}(P), x))$   
 $= \text{Dec}(\text{Enc}(P(x))) = P(x)$
- Actual scheme is a bit more complex:
  - encrypt/evaluate P twice, under two different FHE keys
  - check consistency before decryption



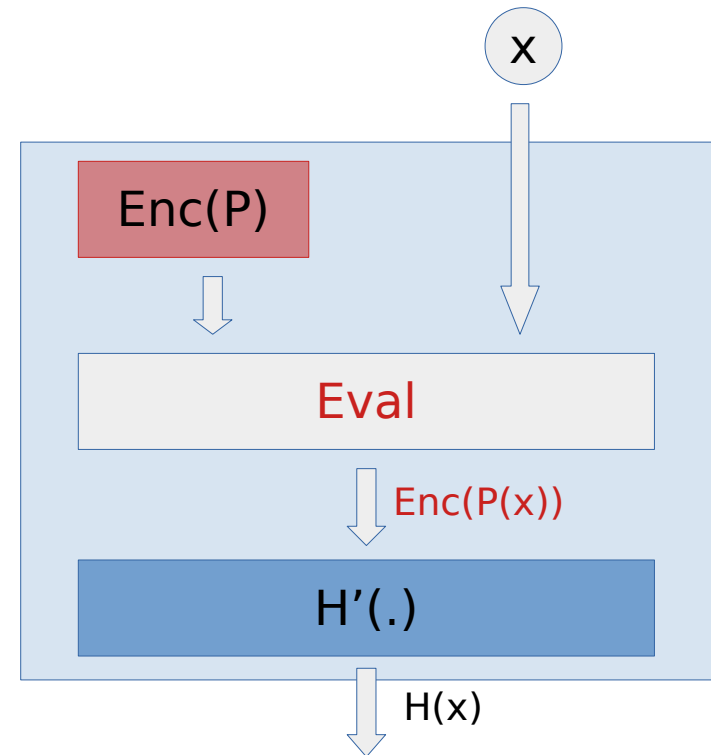
# Sample Application 2

- Correlation Intractable Hash Functions
  - Hash function  $H(x)$ , Relation  $R = \{(x, f(x)) : x\}$
  - **Security**: Hard to find  $x$  such that  $R(x, H(x))$
- $H = \text{“Random oracle”}$  is “trivially” secure
- Applications:
  - Fiat-Shamir Signatures in the Standard Model
  - Remove interaction in public coin protocols
  - Non-Interactive Zero-Knowledge



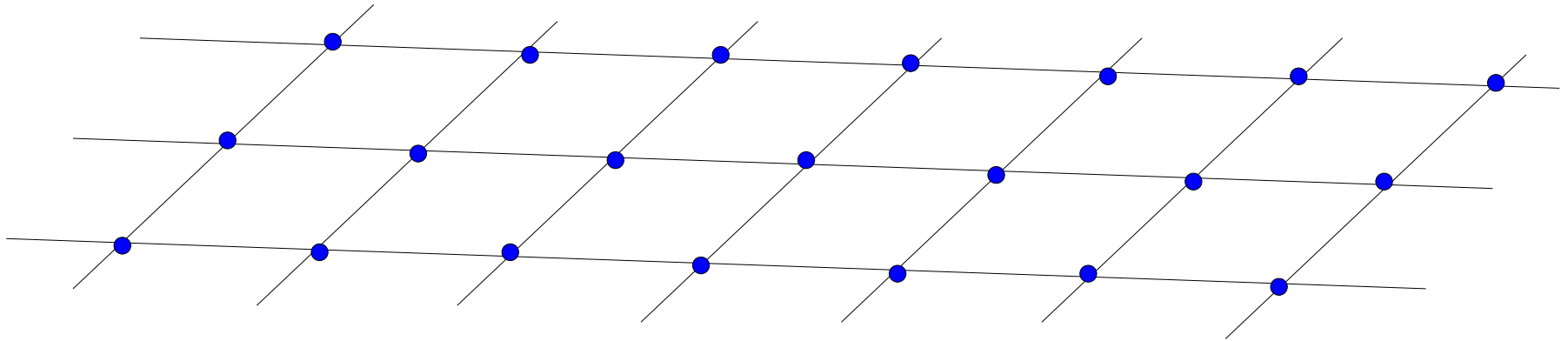
# Bootstrapping Correlation Intractability

- $H'$ : CI Hash function for simple relation
  - $R(x,y) = "y = \text{Dec}(x)"$ , for some  $\text{Dec} \leftarrow \text{FHE.KeyGen}$
- $H$ : CI Hash function for arbitrary  $P$ 
  - $(\text{Enc}, \text{Dec}, \text{Eval}) \leftarrow \text{FHE.KeyGen}$
  - $C = \text{Enc}(P)$
  - $H(x) = H'(\text{Eval}(C, x))$
- Security:
  - Assume  $H(x) = P(x)$
  - Let  $c = \text{Eval}(C, x) = \text{Enc}(P(x))$
  - Then  $H'(c) = H(x) = P(x) = \text{Dec}(c)$



# Lattice cryptography

- Lattices: regular sets of vectors in n-dim space



- Many attractive features:
  - Post-Quantum secure candidate
  - Simple, fast and easy to parallelize
  - Versatile (FHE and much more)

$$\begin{array}{|c|} \hline 4 \\ \hline 1 \\ \hline 6 \\ \hline 2 \\ \hline 3 \\ \hline \end{array} + \begin{array}{|c|} \hline 8 \\ \hline 1 \\ \hline 7 \\ \hline 3 \\ \hline 3 \\ \hline \end{array} = \begin{array}{|c|} \hline 12 \\ \hline 2 \\ \hline 13 \\ \hline 5 \\ \hline 6 \\ \hline \end{array}$$

# Why Lattice Cryptography?

- Lattices → Encryption
  - weak linear homomorphic properties
  - simple (linear) decryption algorithm
  - circular secure:  $Enc_s(s)$  does not leak  $s$
- This is enough to obtain
  - multiplication by arbitrary constants
  - multiplications between ciphertexts
  - fully homomorphic encryption

# Learning With Errors (LWE)

- LWE function family:

- Key:  $A \in \mathbb{Z}_q[n \times m]$

- $\text{LWE}_A(s, e) = As + e \pmod{q}$

- Small  $|e|_{\max} < \beta = O(\sqrt{n})$

- $q, m = \text{poly}(n)$

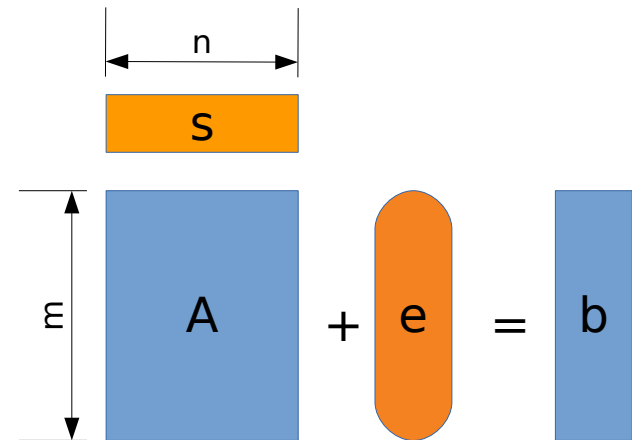
- Injective version of Ajtai's SIS function

- Regev (2005): assuming quantum hard lattice problems

- $\text{LWE}_A$  is one-way: Hard to recover  $(s, e)$  from  $[A, b]$

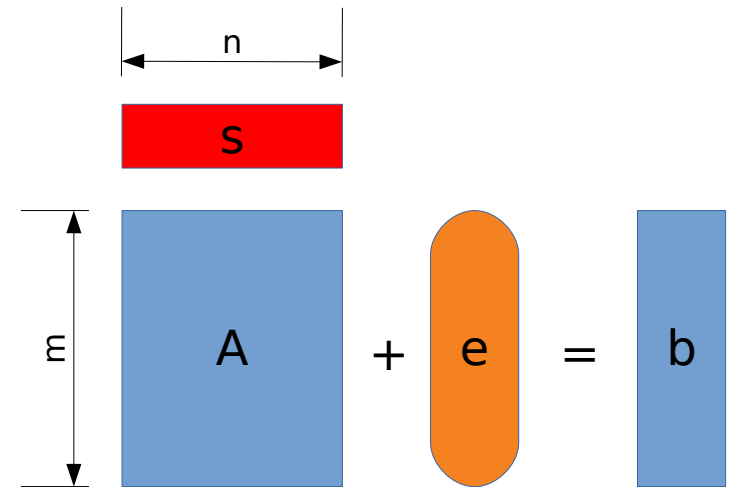
- $b = \text{LWE}_A(s, e)$  is indistinguishable from uniform over  $\mathbb{Z}_q[m]$

- [BLPRS13] hard under classical reductions



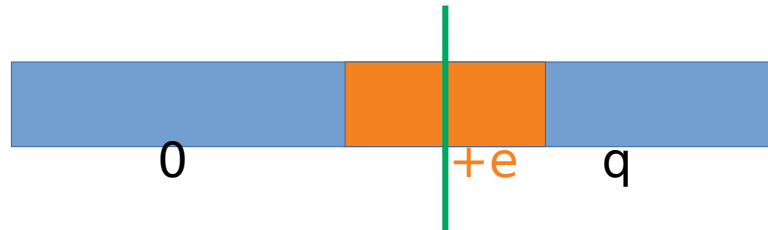
# Encrypting with LWE

- Idea: Use  $b = \text{LWE}_A(s, e)$  as a one-time pad
- Private key encryption scheme:
  - secret key:  $s \in \mathbb{Z}_q^n$ ,
  - message:  $m \in \mathbb{Z}^m$
  - encryption randomness:  $[A, e]$
  - $E_s(m; [A, e]) = [A, b + m]$
- [BFKL93],[GRS08]
  - Learning Parity with Noise (LPN):  $q=2$
  - If  $\text{LWE}_A$  is one-way, then  $b = As + e$  is pseudo-random
- Regev LWE:  $q \rightarrow \text{poly}(n)$



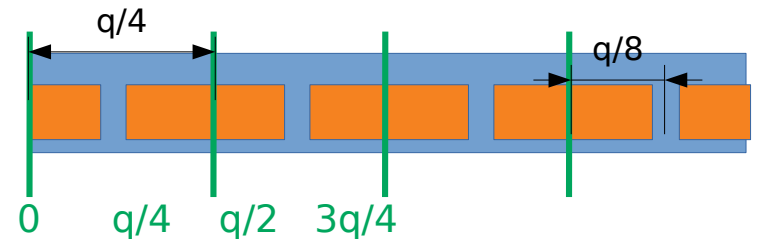
# Noisy Decryption

- $E_s(m; [A, e]) = [A, b+m]$  where  $b = As + e$
- Decryption:
  - $D_s([A, b+m]) = (b+m) - As = m + e \pmod q$



- Low order bits of  $m$  are corrupted by  $e$

- Fix: scale  $m$ , and round:



# Weak Linear Homomorphism

- $[A_1, A_1s + e_1 + m_1] + [A_2, A_2s + e_2 + m_2]$   
 $= [(A_1 + A_2), (A_1 + A_2)s + (e_1 + e_2) + (m_1 + m_2)]$

$E_s(m; \beta)$ : encryption of  $m$  with error  $|e| < \beta$

- $E_s(m_1; \beta_1) + E_s(m_2; \beta_2) \subset E_s(m_1 + m_2; \beta_1 + \beta_2)$

# Circular Security

- $E_s(m; [A, e]) = [A, b+m]$ , where  $b=As+e$
- $D_s([A, b+m]) = (b+m) - As = m+e$
- $D_s([-A, 0]) = 0+As = As$
- Easy to compute encryptions of (linear functions of) the secret key  $s$ !
- Random encryptions:  
$$[-A, 0] + E_s(0; \beta) = E_s(As; \beta)$$



# Decryption is also linear

- $D_s(A,b) = b - As = m+e$
- Linear in the ciphertext  $(A,b)$
- Linear in the secret key  $s' = (-s, 1)$ 
  - $D_{s'}(A,b) = [A,b]s' = m+e$
  - $D_{cs'}(A,b) = [A,b](cs') = cm+ce$
- Remark:
  - Only approx. decryption is linear
  - Exact decryption involves non-linear rounding

# Operations on Ciphertexts

- Add:  $E(m_1; \beta_1) + E(m_2; \beta_2) \subset E(m_1 + m_2; \beta_1 + \beta_2)$
- Neg:  $-E(m; \beta) = E(-m; \beta)$
- Mul:  $c * E(m; \beta) = E(c * m; c * \beta)$
- Const:  $[0, m] \in E(m; 0)$
- Key:  $[-A, 0] \in E(As; 0)$

Weak linear homomorphic properties:

- can perform a limited number of additions and multiplications by small constants
- decryption is linear in the secret key  $s' = (-s, 1)$
- circular security:  $E(As)$  does not leak  $s$

# Public Key Encryption

- Public Key:

$$[a_1, b_1] = E_s(0), \dots, [a_n, b_n] = E_s(0)$$

- Encrypt( $m$ ):  $(\sum_i r_i * [a_i, b_i]) + (0, m)$

$$- E_s(0) + \dots + E_s(0) + E_s(m; 0) = E_s(m)$$

- Decrypt normally using secret key
- [Regev05] LWE Public Key Encryption
- [Rothblum11]: any weakly linear homomorphic encryption implies public key encryption

# Multiplication by any constant

- $E'[m] = (E[m], E[2m], E[4m], \dots, E[2^{\log(q)}m])$
- Multiplication by  $c \in \mathbb{Z}_q$ :
  - Write  $c = \sum_i c_i 2^i$ , where  $c_i \in \{0, 1\}$
  - Compute  $\sum_i c_i E[2^i m] = E[\sum_i c_i 2^i m] = E[cm]$
- $cE'[m] = E[cm]$
- We can also compute  $E'[cm]$ :
$$c * E'[m] = (cE'[m], (2c)E'[m], \dots, (2^{\log q}c)E'[m])$$
$$= (E[cm], E[(2c)m], \dots, E[(2^{\log q}c)m]) = E'[cm]$$

# Multiplication via Homomorphic Decryption

- Idea:
  - Encryption  $E(m) = (a, as+e+m)$  is linearly homomorphic
  - Decryption  $D(a,b) = b - as = m+e$  is linear in  $s' = (-s, 1)$
  - We can decrypt homomorphically using an encryption of  $s'$
- Details
  - Given:  $E(m) = (a, b)$  and  $E'(s') = (E'(-s), E'(1))$
  - Compute  $E(m) * E'(s') = a * E'(-s) + b * E'(1) = E(m)$
- More interesting:
  - Given  $E(m)$  and  $E'(cs')$
  - Compute  $E(m) * E'(cs') = E(cm)$

# Homomorphic “decrypt and multiply”

- $E''(c) = E'(cs') = E'("E(m) \rightarrow c * m")$
- $E''(c) = \{E(\alpha_i c)\}_i$  for some  $\alpha_i(s)$
- Homomorphic Properties:
  - $E''(m_1) + E''(m_2) = E''(m_1 + m_2)$
  - $E''(m_1) * E''(m_2)$   
 $= \{E(\alpha_i m_1) * E''(m_2)\}_i$   
 $= \{E(\alpha_i m_1 * m_2)\}$   
 $= E''(m_1 * m_2)$

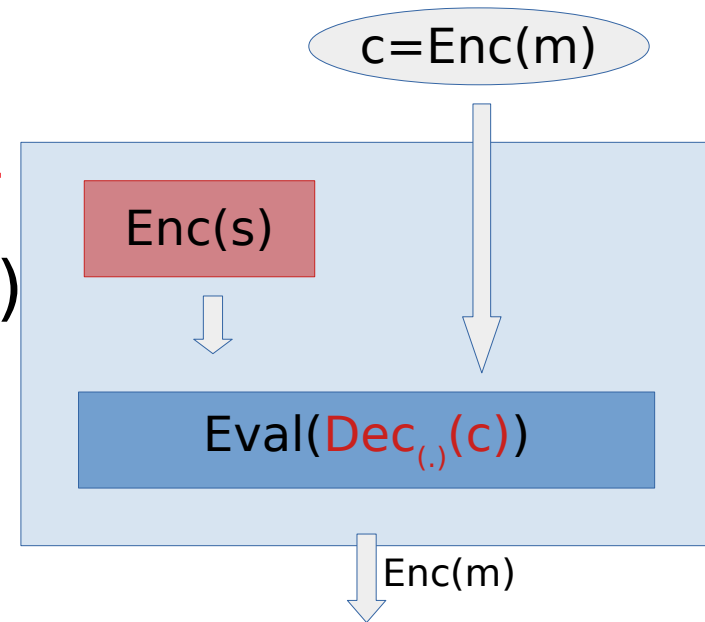
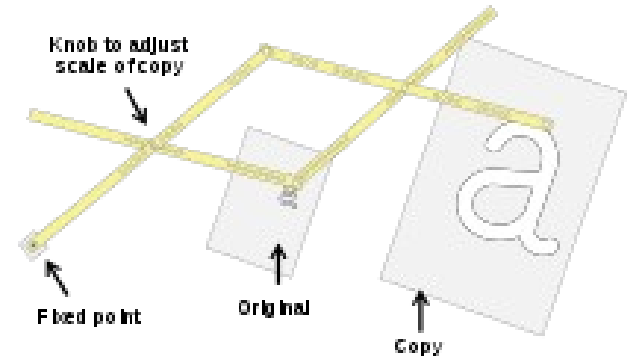
# FHE

- $E''$  encryption scheme supporting
  - $E''(m_0) + E''(m_1) = E''(m_0 + m_1)$
  - $E''(m_0) * E''(m_1) = E''(m_0 * m_1 + e)$
- Not quite a FHE yet:
  - $E''$  can evaluate any arithmetic circuit
  - But **noise** grows with computation
- Effectively:
  - can only evaluate small circuits / branching programs
- Bootstrapping:  $FHE(NC1) \rightarrow FHE(PTIME)$

most significant bit (msb)  
 $x = (q/2)m + e \pmod{q}$   
 $|e| < q/4, m \in \{0,1\}$   
 $\text{msb}(x+q/4) = b$

# Bootstrapping FHE

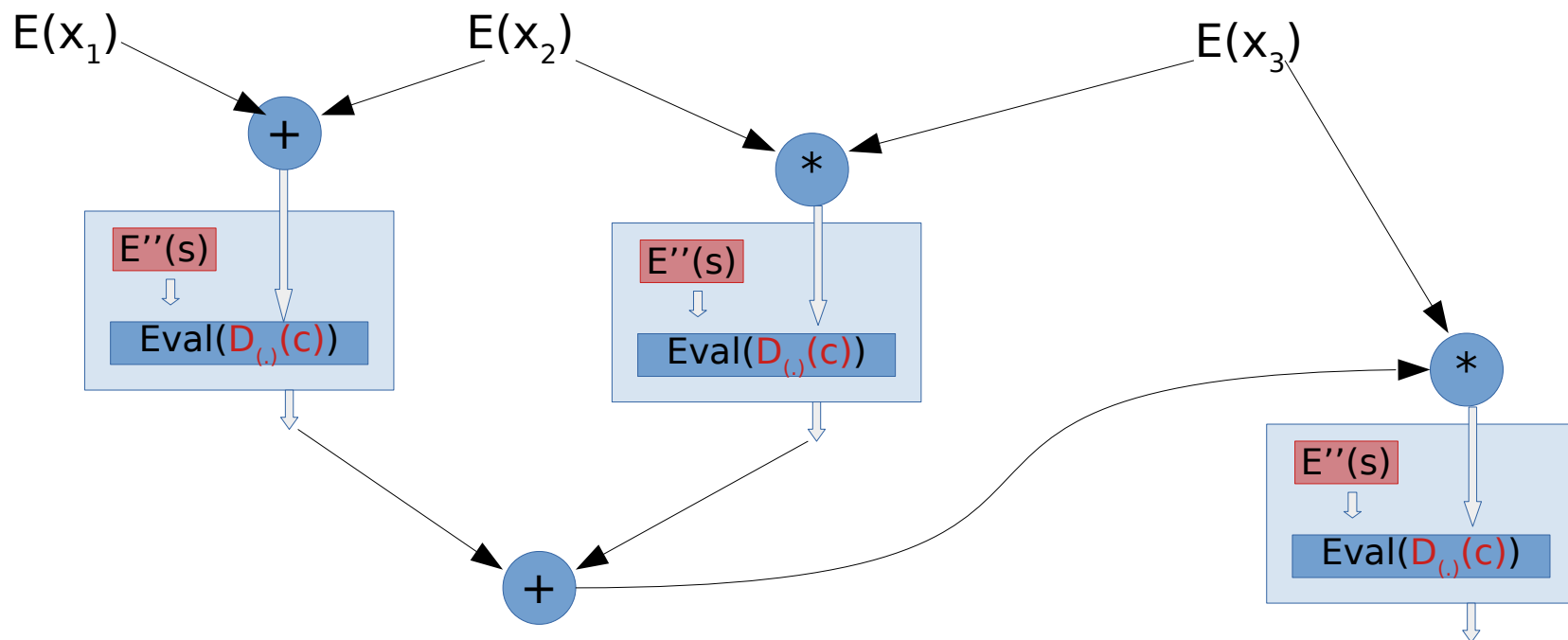
- Let  $c = \text{Enc}_s(m^*(q/2) + e)$
- $f_c(s) = \text{msb}(\text{Dec}_s(c)) * (q/2) = m^*(q/2)$
- Eval  $f_c$  homomorphically on  $\{s\} = \text{Enc}_s(s)$
- $f_c(\{s\}) = \{f_c(s)\} = \{\text{msb}(\text{Dec}_s(c))\} = \{m^*(q/2)\} = \text{Enc}_s(m^*(q/2))$
- Output noise depends on  $\text{msb}^\circ \text{Dec}_{\{s\}}$ , but not on  $e$





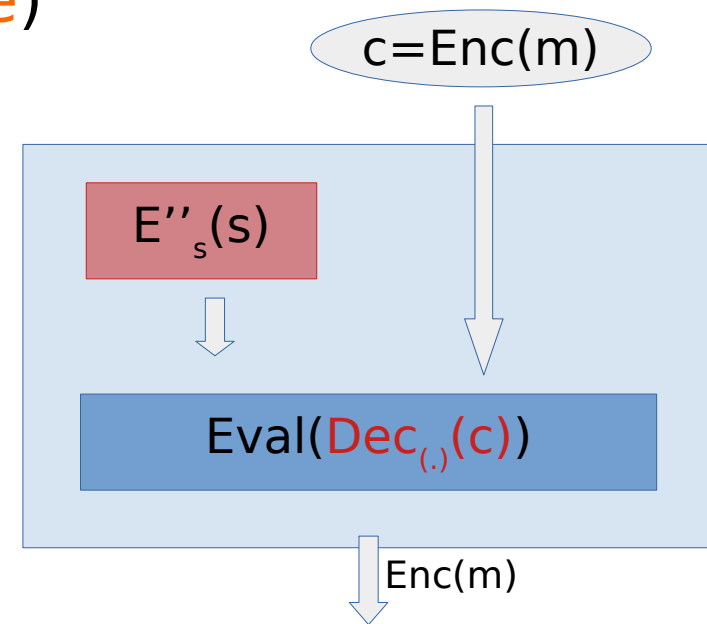
# Composing FHE computations

- Output noise depends on  $\text{Dec}_{[s]}$ , but not  $c$ .
- $\text{Enc}(m^*(q/2); q/4) \rightarrow \text{Enc}(m^*(q/2); \beta \ll q/4)$
- Can compose arbitrarily many gates, while keeping noise small



# Requirements

- Correctness:
  - Need “exact” decryption  $\text{Dec}(\text{Enc}(m))=m$
  - Achieved by scaling and rounding  
 $\text{round}((q/2)m+e) = \text{msb}((q/2)m + e)$
- Circular security:
  - Need to encrypt  $s$  under  $E''_s$
  - Circular security of  $E''_s(s)$   
still an open problem
  - Not needed for Leveled FHE



# Summary

- Lattice (LWE) encryption  $E$ 
  - Circular secure:  $E_s(s)$
  - Linear approx. decryption  $D(s)$
  - Transform  $E \rightarrow E''$  (provably secure encryption)  
 $E''$  can evaluate arbitrary (low depth) function
- Bootstrapping
  - Nonlinear (but still low depth) rounding function
  - Can be computed by  $E''$
  - Open problem: circular security of  $E''_s(s)$

# Homomorphic Decrypt&Round

- $\text{Dec}(s[i], [a[i], b]) = \text{round}(b - \sum_i a[i]s[i])$   
 $= \text{msb}((q/4) + b - \sum_i a[i]s[i])$
- Assume for simplicity  $s[i] \in \{0, 1\}$
- Write all numbers in binary:
  - $b + (q/8) = \sum_j 2^j b_j$ ,  $-a[i] = \sum_j 2^j a_j[i]$ , where  $b_j, a_j[i] \in \{0, 1\}$
- Want to compute and round
  - $R = \sum_j 2^j (b_j + \sum_i a_j[i]s[i])$
  - Output most significant bit  $\text{msb}(R) = (2R/q) \bmod 2$

# Homomorphic Decryption

- $\text{Dec}(s[i], [a[i], b]) = \text{msb}(\sum \dots s[i])$

	$b_k$	...	...	$b_3$	$b_2$	$b_1$	$b_0$	
+	$a_k[1]$	...	...	$a_3[1]$	$a_2[1]$	$a_1[1]$	$a_0[1]$	* $s[1]$
+	$a_k[2]$	...	...	$a_3[2]$	$a_2[2]$	$a_1[2]$	$a_0[2]$	* $s[2]$
...	...	...	...	...	...	...	...	...
+	$a_k[n]$	...	...	$a_3[n]$	$a_2[n]$	$a_1[n]$	$a_0[n]$	* $s[n]$

- Homomorphic in  $s$ :
- $\text{Enc}(s[1]), \dots, \text{Enc}(s[n]) \rightarrow \text{msb}(\sum)$

# Homomorphic Decryption

- $\text{Dec}(s[i], [a[i], b]) = \text{msb}(\sum \dots s[i])$

	1	...	...	1	0	1	0	
+	0	...	...	1	1	0	1	* s[1]
+	1	...	...	1	0	1	0	* s[2]
...	...	...	...	...	...	...	...	...
+	1	...	...	0	0	1	1	* s[n]

- Homomorphic in s:
- $\text{Enc}(s[1]), \dots, \text{Enc}(s[n]) \rightarrow \text{msb}(\sum)$

# Homomorphic Decryption

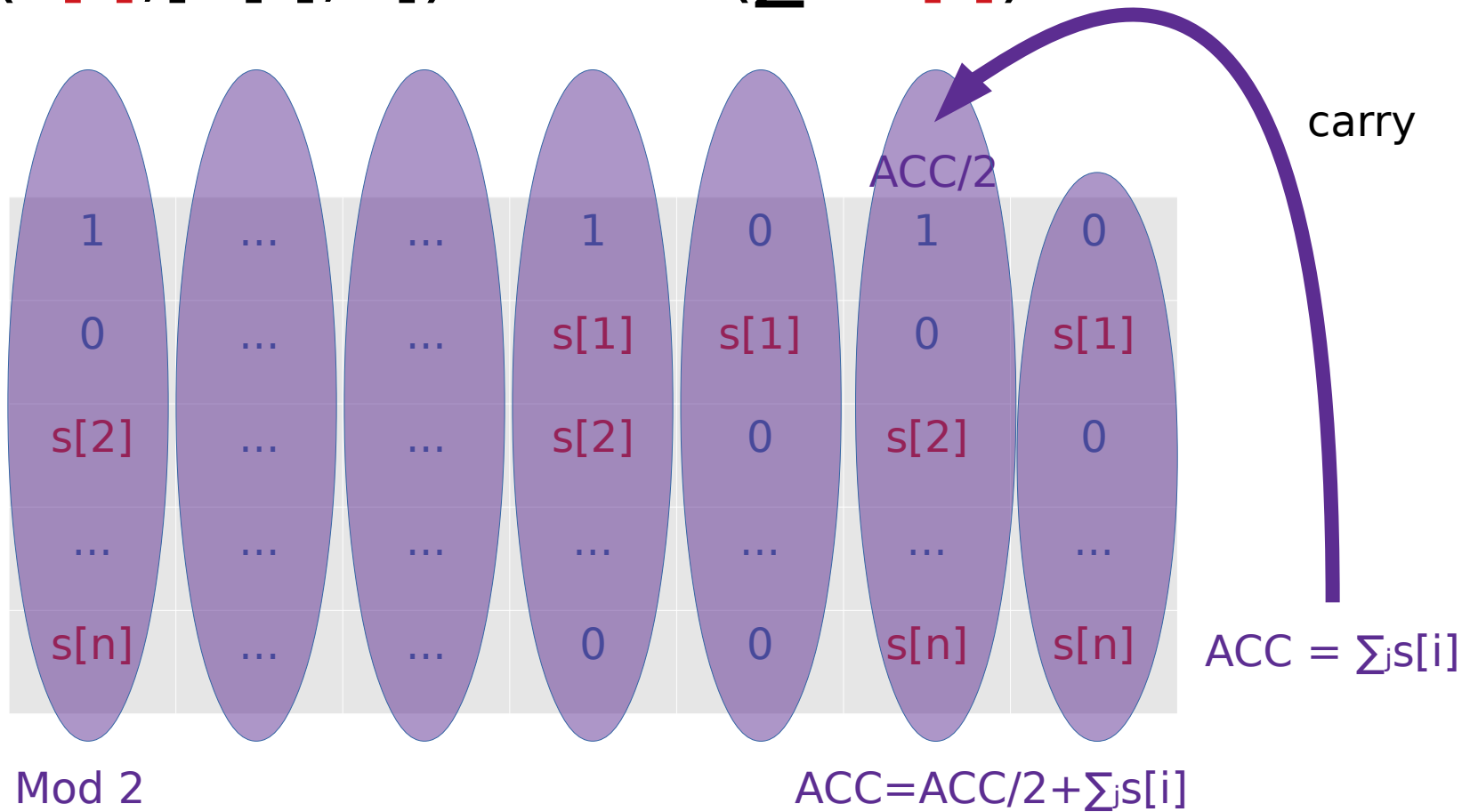
- $\text{Dec}(s[i], [a[i], b]) = \text{msb}(\sum \dots s[i])$

	1	...	...	1	0	1	0	
+	0	...	...	$s[1]$	$s[1]$	0	$s[1]$	
+	$s[2]$	...	...	$s[2]$	0	$s[2]$	0	
...	...	...	...	...	...	...	...	
+	$s[n]$	...	...	0	0	$s[n]$	$s[n]$	

- Homomorphic in  $s$ :
- $\text{Enc}(s[1]), \dots, \text{Enc}(s[n]) \rightarrow \text{msb}(\sum)$

# Homomorphic Decryption

- $\text{Dec}(s[i], [a[i], b]) = \text{msb}(\sum \dots s[i])$



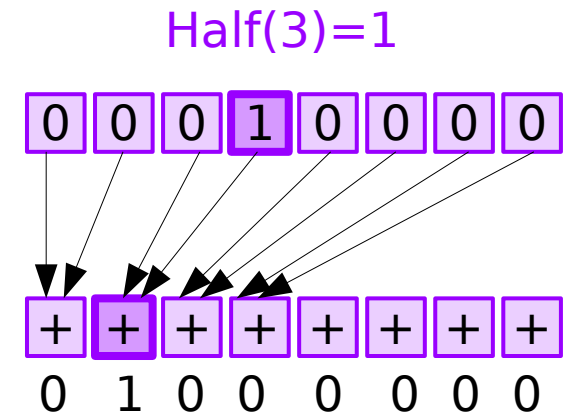


# Cryptographic Accumulator

- $ACC[v]$  holds values  $v \in \{0, \dots, N=2(n+1)\}$
- Local Operations:
  - Increment:  $ACC[v] \rightarrow ACC[v+1]$
  - Half:  $ACC[v] \rightarrow ACC[v/2]$
  - Mod2:  $ACC[v] \rightarrow ACC[v \bmod 2]$
- Accum:  $ACC[v], E''[s \in \{0,1\}] \rightarrow ACC[v+s]$
- Extract:  $ACC[v] \rightarrow Enc(v=1)$

# ACC local operations

- [AP14]  $ACC[v; \beta] = (c[0], \dots, c[N])$  where
  - $c[v] = \text{Enc}(1; \beta_v)$ ,  $c[u] = \text{Enc}(0; \beta_u)$
  - $\beta = \sum_i \beta_i$
- $f(ACC[v; \beta]) = ACC[f(v); \beta]$ 
  - $c'[v] = \sum \{ c[u] \mid f(u) = v \}$
  - $\sum \{ \} = \text{Enc}(0; 0) = [0, 0]$
- Increment, Half, Mod2: choose appropriate  $f$
- $\text{Extract}(ACC) = c[1]$



# Accumulate

- Accumulate:  $ACC[v] + E''[s] \rightarrow ACC[v+s]$
- Compute  $A_0 = ACC[v]$ ,  $A_1 = ACC[v+1]$
- Select:
  - $ACC[v+s] = A_s = A_0 * (1 - E''[s]) + A_1 * E''[s]$
- All operations supported by our  $E''$

# Credits

- Most techniques used in this construction proposed independently in other works
  - Linearity of lattice cryptography [BM97],[LMPR08]
  - Multiplication gadget matrix (1,2,4,...) [Ajtai99],[BV]++
  - Approximate decryption [CKKS17] HEAAN
  - $E''$  : essentially equivalent to [GSW13]
  - Accumulators [AP14]. See also [DM15],[CGGI16].
- Only new technique: bootstrapping via schoolbook addition algorithm

# Concluding remarks

- Simple HE from basic building blocks
  - Regev LWE: mod- $q$  variant of [BFKL93],[GRS08]
  - “CryptoComputing for NC1” [SYY99]
- FHE = Simple HE + Bootstrapping [G09]
  - Main efficiency bottleneck in practice
  - Main theoretical open problem: circular security
- Other applications? Yes!
  - Translate between FHE and MPC [CLOPS13],[BGG18],
  - Homomorphic Commitments [GSW13],[PS19]
  - Homomorphic Secret Sharing [BKS19]
  - Symmetric Crypto with algebraic structure [AMPR19]

# References

- [BFKL93] Blum, Furst, Kearns, Lipton
- [BM97] Bellare, Micciancio
- [SY99] Sander, Young, Yung
- [LMPR08] Lyubashevsky, Micciancio, Peikert, Rosen
- [GRS08] Gilbert, Robshaw, Seurin
- [G09] Gentry
- [BV11,14] Brakerski, Vaikuntanathan
- [CLOPS13] Choudhury, Loftus, Orsini, Patra, Smart
- [GGHRSW13] Garg, Gentry, Halevi, Raykova, Sahai, Waters
- [GKPVZ13] Goldwasser, Kalai, Poppa, Vaikuntanathan, Zeldovich
- [GSW13] Gentry, Sahai, Waters
- [BLPRS13] Brakerski, Langlois, Peikert, Regev, Stehle
- [AP14] Alperin-Sherif, Peikert
- [CGGI16/17] Chilotti, Gama, Georgieva, Izabachene
- [CKKS17] Cheon, Kim, Kim, Song
- [BGG18] Boura, Gama, Georgieva
- [CCHLRRW19] Canetti, Chen, Holmgren, Lombardi, Rothblum, Rothblum, Wichs
- [PS19] Peikert, Shiehian
- [BKS19] Boyle, Kohl, Scholl
- [AMPR19] Alapati, Montgomery, Patranabis, Roy

Thank You!  
Questions?

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