

CSE 105

THEORY OF COMPUTATION

"Winter" 2018

<http://cseweb.ucsd.edu/classes/wi18/cse105-ab/>

Today's learning goals

Sipser Section 1.1

- Design an automaton that recognizes a given language.
- Specify each of the components in a formal definition of an automaton.
- Prove that an automaton recognizes a specific language.

Deterministic finite automaton

Sipser p. 35 Def 1.5

A **finite automaton** is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$ where

1. Q is a finite set called the states
2. Σ is a finite set called the alphabet
3. $\delta: Q \times \Sigma \rightarrow Q$ is the transition function
4. $q_0 \in Q$ is the start state
5. $F \subseteq Q$ is the set of accept states.

Can there be more than one **start state** in a finite automaton?

- A. Yes, because of line 4.
- B. No, because of line 4.
- C. I don't know

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$$Q = \{q_0, q_1, q_2\}$$

$$\Sigma = \{0, 1\}$$

$$Q \times \Sigma = \{(q_0, 0), (q_0, 1), (q_1, 0), (q_1, 1), (q_2, 0), (q_2, 1)\}$$

How many outgoing arrows from each state?

- A. May be different number at each state.
- B. Must be 2.
- C. Must be $|Q|$.
- D. Must be $|\Sigma|$.
- E. I don't know.

$$\delta(q_1, 0) = q_2$$



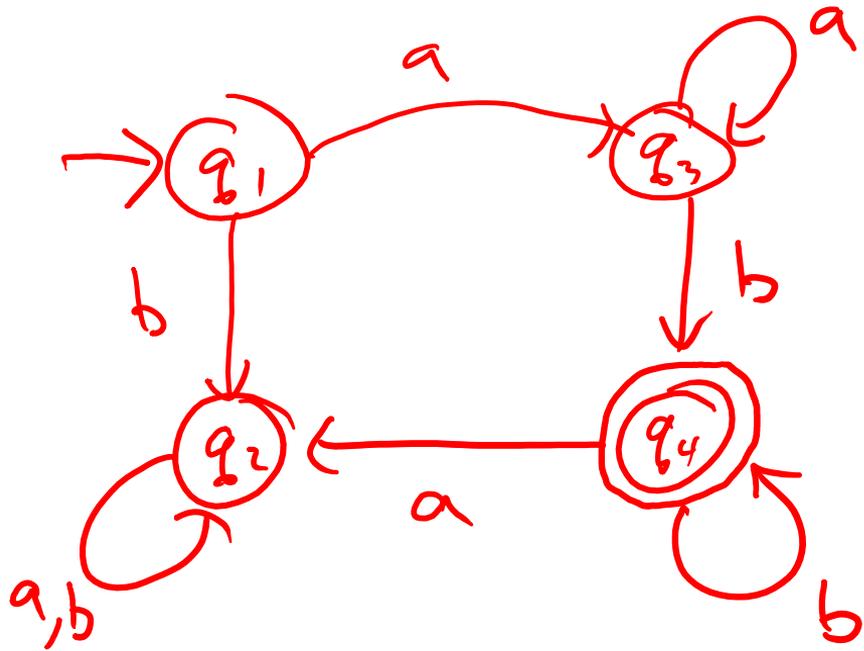
An example

Define $M = (\underbrace{\{q1, q2, q3, q4\}}_{\text{set of states}}, \underbrace{\{a, b\}}_{\text{alphabet}}, \underbrace{\delta}_{\text{transition function}}, \underbrace{q1}_{\text{start state}}, \underbrace{\{q4\}}_{\text{set of accept states}})$ where the function δ is specified by its table of values:

Input in $Q \times \Sigma$	Output in Q
(q1,a)	q3
(q2,a)	q2
(q3,a)	q3
(q4,a)	q2

Input in $Q \times \Sigma$	Output in Q
(q1,b)	q2
(q2,b)	q2
(q3,b)	q4
(q4,b)	q4

Draw the state diagram for the DFA with this formal definition.



An example

$(\{q_1, q_2, q_3, q_4\}, \{a, b\}, \delta, q_1, \{q_4\})$

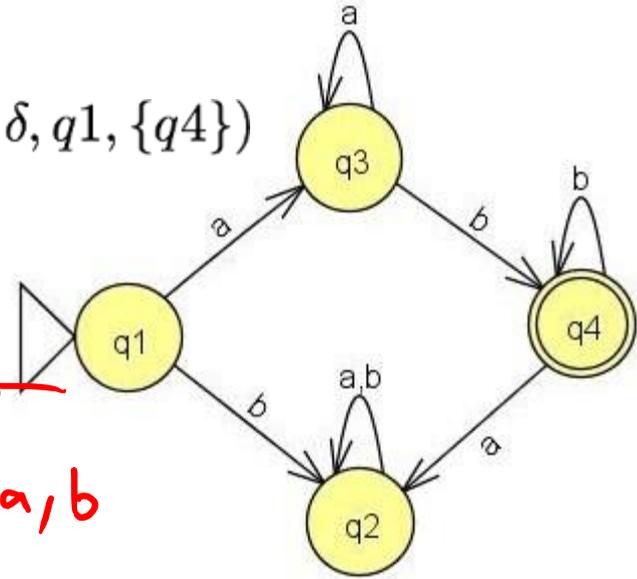
What's an example of a

~~length 1 string accepted by this DFA?~~

length 1 string rejected by this DFA? *a, b*

~~length 2 string accepted by this DFA?~~ *ab*

length 2 string rejected by this DFA? *aa, ba, bb*

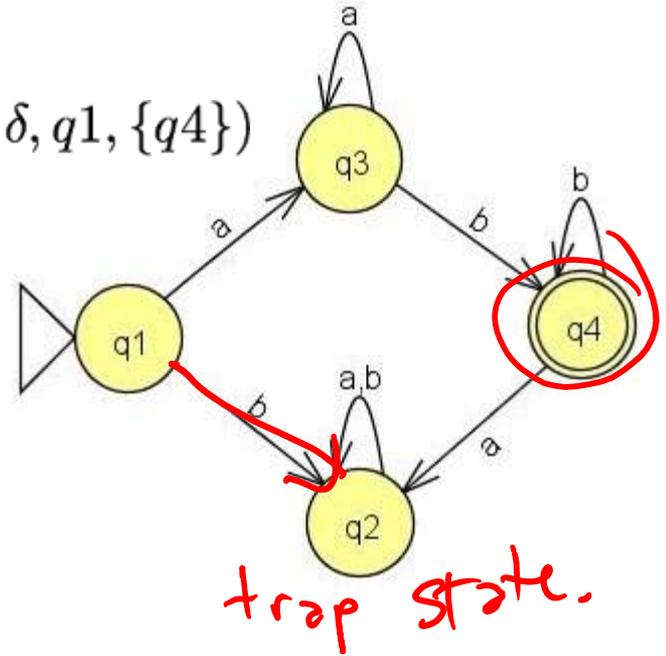


An example

$(\{q_1, q_2, q_3, q_4\}, \{a, b\}, \delta, q_1, \{q_4\})$

What's the best description of the language recognized by this DFA?

- A. Starts with b and ends with a or b
- B. Starts with a and ends with a or b
- C. a's followed by b's
- D. More than one of the above
- E. I don't know.



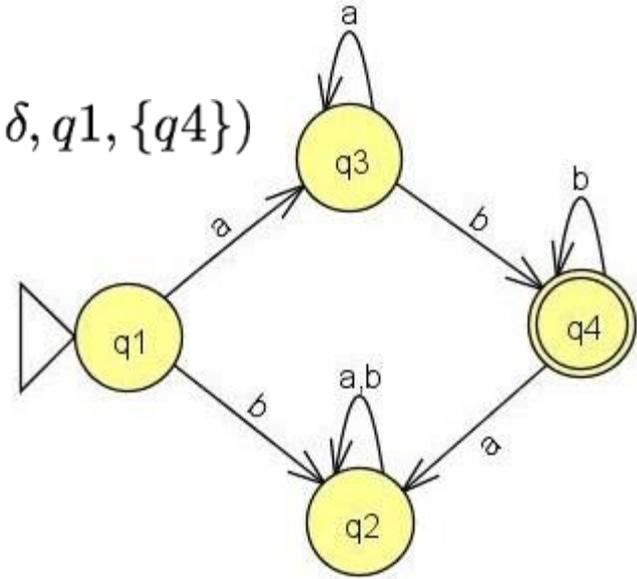
and using set notation?

An example

$(\{q_1, q_2, q_3, q_4\}, \{a, b\}, \delta, q_1, \{q_4\})$

This DFA recognizes
the language of all strings
of the form a's followed by b's

i.e. $\{ a^n b^k \mid n, k \geq 1 \}$



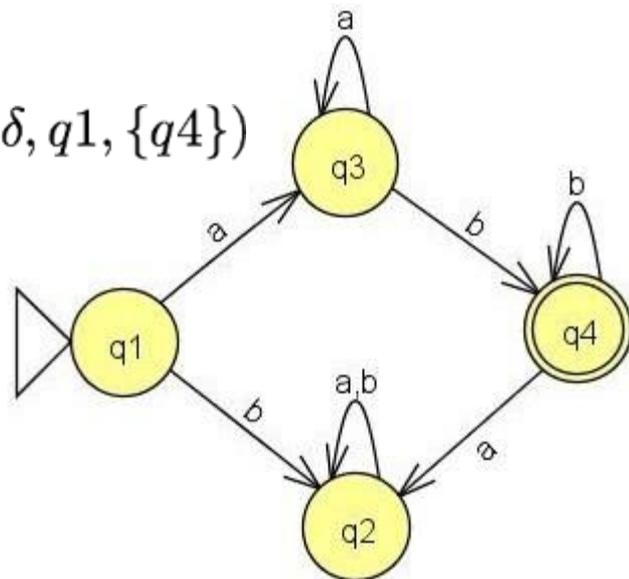
An example

$(\{q_1, q_2, q_3, q_4\}, \{a, b\}, \delta, q_1, \{q_4\})$

$\{a^n b^k \mid n, k \geq 1\}$

Is this the same as the language described by

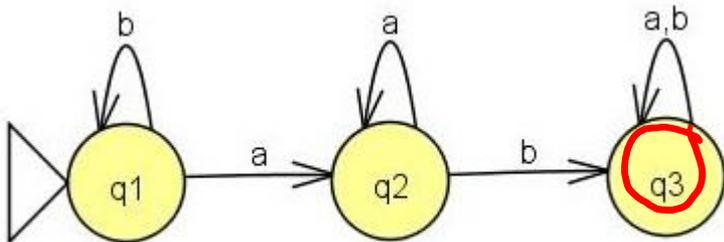
- A. $a^* b^*$
- B. $a(ba)^* b$
- C. $a^* \cup b^*$
- D. $(aaa)^*$
- E. $a(a)^* b(b)^*$



Specifying an automaton

($\{q_1, q_2, q_3\}$, $\{a, b\}$, δ , q_1 , ?)

Set of accept states.

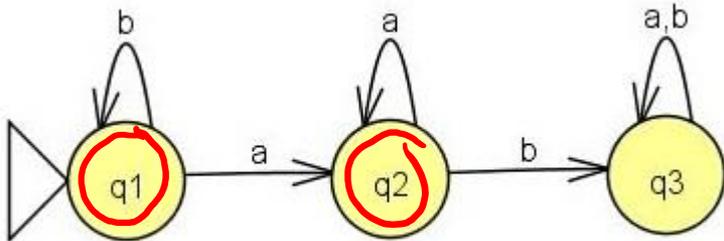


What state(s) should be in F so that the language of this machine is $\{ w \mid ab \text{ is a substring of } w \}$?

- A. $\{q_2\}$
- B. $\{q_3\}$
- C. $\{q_1, q_2\}$
- D. $\{q_1, q_3\}$
- E. I don't know.

Specifying an automaton

($\{q_1, q_2, q_3\}$, $\{a, b\}$, δ , q_1 , ?)



What state(s) should be in F so that the language of this machine is $\{ w \mid \text{b's never occur after a's in } w \}$?

- A. $\{q_2\}$
- B. $\{q_3\}$
- C. $\{q_1, q_2\}$
- D. $\{q_1, q_3\}$
- E. I don't know.

Building DFA



Typical questions

Define a DFA which recognizes the given language L .

or

Prove that the (given) language L is regular.

Building DFA

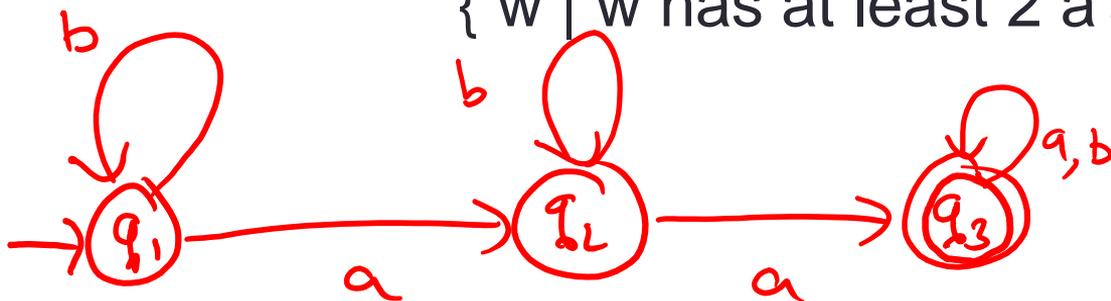
Example

$$\Sigma = \{a, b\}.$$



Define a DFA which recognizes

$\{w \mid w \text{ has at least 2 a's}\}$



Building DFA

Example

Define a DFA which recognizes

$\{ w \mid w \text{ has at most 2 a's} \}$



Building DFA



Remember

States are our only (computer) memory.

Design and pick states with specific roles / tasks in mind.

"Have not seen any of desired pattern yet"

"Trap state"

Justification?

To prove that the DFA we build, M , actually recognizes the language L

$$\text{WTS } L(M) = L$$

(1) Is every string accepted by M in L ?

(2) Is every string from L accepted by M ?

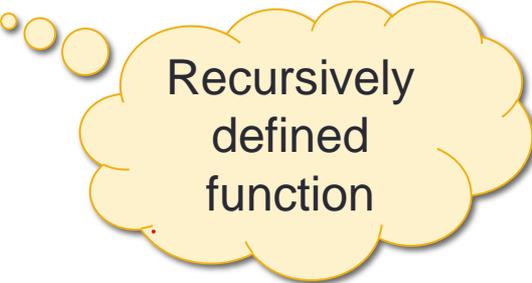
or contrapositive version: Is every string rejected by M not in L .

A useful (optional) bit of terminology

When is a string accepted by a DFA?

Computation of M on w : *where do we land when start at q_0 and read each symbol of w one-at-a time?*

$$\delta^*(q, w) = \begin{cases} q & \text{if } w = \epsilon \\ \delta^*(\delta(q, a), w') & \text{if } w = aw' \end{cases}$$



Recursively defined function

Regular languages

Sipser p. 35 Def 1.5

- DFA M over the alphabet Σ
 - For each string w over Σ , M either accepts w or rejects w
 - The **language recognized by M** is the set of strings M accepts
 - The **language of M** is the set of strings M accepts
 - **$L(M)$** = { w | w is a string over Σ and M accepts w }

A language is **regular** iff there is some finite automaton that recognizes **exactly** it.

Regular languages: bounds?

Is **every** finite language regular?

- A. No: some finite languages are regular, and some are not.
- B. No: there are no finite regular languages.
- C. Yes: every finite language is regular.
- D. I don't know.



Building DFA



Remember

States are our only (computer) memory.

Design and pick states with specific roles / tasks in mind.

"Have not seen any of desired pattern yet"

"Trap state"

Building DFA



DFA recognizing

$\{w \mid w \text{ contains the substring baba}\}$

DFA recognizing

$\{w \mid w \text{ doesn't contain the substring baba}\}$

Complementation

Claim: If A is a regular language over $\{0,1\}^*$, then so is \overline{A}

aka "the class of regular languages is closed under complementation"

Complementation

Claim: If A is a regular language over $\{0,1\}^*$, then so is \overline{A}
aka "the class of regular languages is closed under complementation"

Proof: Let A be a regular language. Then there is a DFA $M=(Q,\Sigma,\delta,q_0,F)$ such that $L(M) = A$. We want to build a DFA whose language is \overline{A} . Define

$M' =$



Claim of Correctness $L(M') = \overline{A}$

Proof of claim...



Why closure proofs?

- General technique of proving a new language is regular
- Stretch the power of the model
- Puzzle!

Set operations

Input set(s) \rightarrow OPERATION \rightarrow Output set

Complementation

Kleene star

Concatenation

Union

Intersection

Set difference

The regular operations

Sipser Def 1.23 p. 44

For A, B languages over same alphabet, define:

$$A \cup B = \{x \mid x \in A \text{ or } x \in B\}$$

$$A \circ B = \{xy \mid x \in A \text{ and } y \in B\}$$

$$A^* = \{x_1x_2 \dots x_k \mid k \geq 0 \text{ and each } x_i \in A\}$$



These are operations on sets!

Union

Sipser Theorem 1.25 p. 45

Theorem: The class of regular languages over fixed alphabet Σ is closed under the union operation.

Proof:

What are we proving here?

- A. For any set A , if A is regular then so is $A \cup A$.
- B. For any sets A and B , if $A \cup B$ is regular, then so is A .
- C. For two DFAs M_1 and M_2 , $M_1 \cup M_2$ is regular.
- D. None of the above.
- E. I don't know.

Union

Sipser Theorem 1.25 p. 45

Theorem: The class of regular languages over fixed alphabet Σ is closed under the union operation.

Proof: Let A_1, A_2 be any two regular languages over Σ .

WTS that $A_1 \cup A_2$ is regular.

Goal: build a machine that recognizes $A_1 \cup A_2$.

For next time

- Finish Individual Homework 0 **due Saturday**
- Review quiz 1 **due Sunday** (for credit)
- Read Individual Homework 1 **due Tuesday**

Pre class-reading for Wednesday:

Theorem 1.25, Theorem 1.26