

# CSE 105

## THEORY OF COMPUTATION

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\* all HW solutions available  
on Piazza

Winter 2018 review class

\* Review Quiz 10

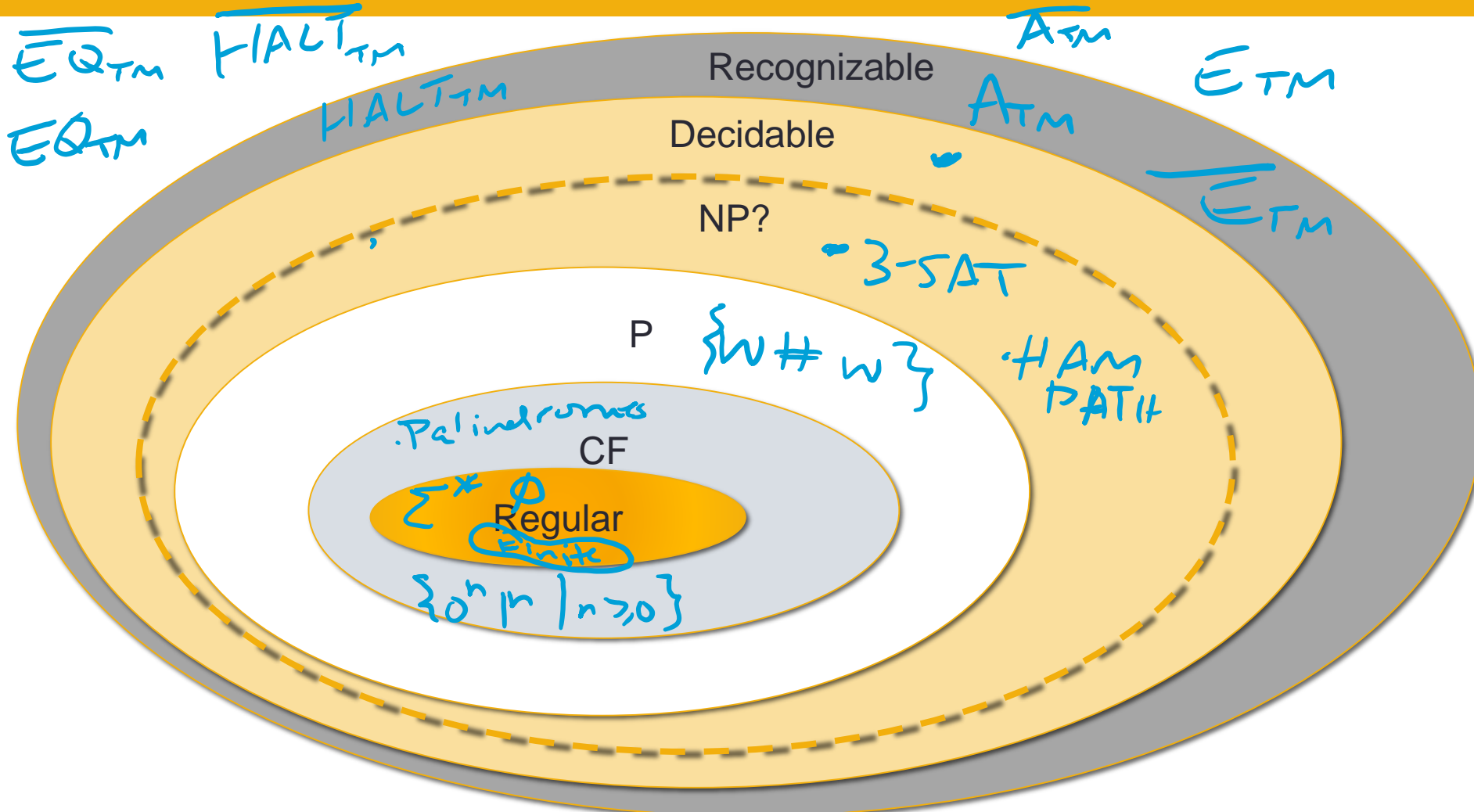
\* new individual report today

# Today's learning goals

- Summarize key concepts, ideas, themes from CSE 105.
- Approach your final exam studying with confidence.
- Identify areas to focus on while studying for the exam.

## *Reminders*

- CAPE and TA evaluations open
- Final exam **Saturday March 17 11:30am-2:29pm**
- Seat map & study guide on Piazza.
  - Discussion tomorrow will go over some of study guide.



<b>Model of computation</b> <i>Formal definition?</i> <i>Design?</i> <i>Describe language?</i>	<b>Class of languages</b> <i>Closure properties?</i> <i>Which languages not in class?</i>
<b>Finite automata</b> -- DFA -- NFA equiv to <b>Regular expressions</b>	<b>Regular languages</b>  To show not in class: Pumping lemma
<b>Push-down automata</b> <i>state diagram</i> <b>CFGs</b> <i>informal</i>	<b>Context-free languages</b> <i>(stack)</i>
TMs that always halt in polynomial time	<b>P</b>
Nondeterministic TMs that halt in polynomial time	<b>NP</b>
TMs that always halt aka <b>Deciders</b> <i>enumerators</i> <i>nondet TM</i> <i>2-tape TM</i>	<b>Decidable languages</b> To show not in class: Diagonalization, reduction
* Turing Machines (in general; may not halt)	<b>Recognizable languages</b>

# Roadmap of examples

- ~~A.~~ Regular language design
- B. Undecidability via reduction
- C. Closure proofs
- D. Determining the language of a PDA /CFG
- ~~E.~~ Using Pumping Lemma

# Given $L$ , prove it is regular

## Construction

**Strategy 1:** Construct DFA

**Strategy 2:** Construct NFA

**Strategy 3:** Construct regular expression

## Proof of correctness

**WTS 1** if  $w$  is in  $L$  then  $w$  is accepted by ....

**WTS 2** if  $w$  is not in  $L$  then  $w$  is rejected by ...

Ex:  $L = \{ w \text{ in } \{0,1\}^* \mid w \text{ has odd \# of 1s OR starts with 0} \}$

NFA:

Regular expression:

To show a language is **not** regular, we can

- ~~A.~~ Show there is a CFG generating A.
- B. Use the pumping lemma for regular languages.
- C. Show A is undecidable.
- D. More than one of the above.
- E. I don't know.



# To show a language $L$ is ...

## Recognizable

- Show there is a TM  $M$  with  $L(M) = L$ .
- Use closure properties.

## Not recognizable

- Prove that  $L$  is not decidable and that the complement of  $L$  is recognizable.
- *Use closure properties.*

# To show a language $L$ is ...

## Decidable

- Show there is a TM  $D$  that always halts and  $L(D) = L$ .
- Find a decidable problem  $L'$  and show  $L$  reduces to  $L'$
- Use closure properties.

## Not decidable

- Use diagonalization
- Find an undecidable problem  $L'$  and show  $L'$  reduces to  $L$ .
- *Use closure properties.*

# Undecidability via reduction

**Theorem:** Problem  $T$  is undecidable.

**Proof** Common pattern for many of these proofs.

Assume (towards a contradiction) that  $T$  is decidable by TM  $M_T$ . Goal: use  $M_T$  to build a machine which will decide  $A_{TM}$ .

Define  $M_{ATM} =$  "On input  $\langle M, w \rangle$ :

1. Using the parameters  $M$  and  $w$ , construct a different TM  $X$  such that if  $M$  accepts  $w$ , then  $\langle X \rangle$  is in  $T$ ; if  $M$  does not accept  $w$ , then  $\langle X \rangle$  is not in  $T$ .
2. Run  $M_T$  on  $\langle X \rangle$  and accept if  $M_T$  accepts, reject if  $M_T$  rejects."

**Claim:**  $M_{ATM}$  is decider and  $L(M_{ATM}) = A_{TM}$ , Then  $A_{TM}$  is decidable, contradicting the known fact that  $A_{TM}$  is undecidable.

$\alpha \in_{TM} HALT_{TM} ?_{TM}$

reduction

$T = \{ \langle M \rangle \mid M \text{ is TM and } |L(M)| = 1 \}$

**Theorem:** Problem  $T$  is undecidable.

**Proof**

Assume (towards a contradiction) that  $T$  is decidable by TM  $M_T$ .

Goal: use  $M_T$  to build a machine which will decide  $A_{TM}$ .

Define  $M_{ATM} =$  "On input  $\langle M, w \rangle$ :

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2. Run  $M_T$  on  $\langle X \rangle$  and accept if accepts, reject if rejects.

**Claim:**  $M_{ATM}$  is decider and  $L(M_{ATM}) = A_{TM}$ , Then  $A_{TM}$  is decidable, contradicting the known fact that  $A_{TM}$  is undecidable.

Goal: reduce HALT<sub>TM</sub> to T

Given Gen'l Decider for T

Want to solve HALT<sub>TM</sub> \*

" On input  $\langle M, w \rangle$

1. Build  $X = \text{"On input } x$

1. If  $x = 101$ , accept.

2. If  $x \neq 101$ , Run  $M$  on  $w$

if  $M$  on  $w$  halts & accepts, accept

if  $M$  on  $w$  halts & rejects, accept

2. Ask  $G$  about  $\langle X \rangle$ .

if yes, reject; if no, accept "

if  $\langle M, w \rangle \in \text{HALT}_{TM}$   
then  $L(X) = \Sigma^*$   
if  $\langle M, w \rangle \notin \text{HALT}_{TM}$   
then  $L(X) = \{101\}$

# Undecidability via reduction

**Theorem:** Problem  $T$  is undecidable.

**Proof** Common pattern for many of these proofs.

Assume (towards a contradiction) that  $T$  is decidable by TM  $M_T$ .

Goal: use  $M_T$  to build a decider for ATM.

Define  $M_{ATM} = \langle \dots \rangle$ .

- Using the part of  $M_T$  that accepts  $w$ , we can build a machine  $X$  such that if  $M_T$  accepts  $w$ , then  $X$  accepts  $w$ .
- Run  $M_T$  on  $\langle w \rangle$ .

**Claim:**  $M_{ATM}$  is decidable, contradiction.

In reduction proofs,

- ~~A.~~ We always need to build a new TM  $X$ .
- ~~B.~~ The auxiliary machine  $X$  must be run as part of our algorithm.
- ~~C.~~ The auxiliary machine  $X$  runs only on  $w$ .
- D. None of the above.
- E. I don't know.

# Countable and uncountable

## Countable

- Find bijection with  $\mathbb{N}$
- Find a countable superset

## Examples

Any language over  $\Sigma$

Set of all regular languages

Set of rational numbers

Set of integers

## Uncountable

- Diagonalization
- Find an uncountable subset

## Examples

Set of all subsets of  $\Sigma^*$

Set of infinite binary sequences

Set of real numbers

$[0,1]$

# Closure properties

high level descriptions

	Regular Languages	CFL	Decidable Languages	Recognizable Languages
Union <i>NFA</i>	✓	✓	✓	✓
Intersection	✓	X	✓	✓
Complement	✓	X	✓	X
Star <i>NFA</i>	✓	✓	✓	✓
Concatenation <i>NFA</i>	✓	✓	✓	✓

*Handwritten annotations:*

- A red bracket groups the 'Regular Languages' column for Union, Intersection, and Complement, with 'DFA' written next to it.
- The 'CFL' checkmark for Union is circled in red.
- The 'CFL' checkmarks for Star and Concatenation are enclosed in a red box, with 'CFG' written next to it.
- A large red box encloses the 'Decidable Languages' and 'Recognizable Languages' columns for all five operations.



# Proving closure

**Goal:** "The class of \_\_\_\_\_ languages is closed under \_\_\_\_\_"

**In other words** Given a language in specific class, is the result of applying the operation \_\_\_\_\_ to this language still guaranteed to be in the class?

# Proving closure

**Given:** What does it mean for  $L$  to be in class?

e.g.  $L$  a regular language, so given a DFA  $M_L = (Q_L, \Sigma_L, \delta_L, q_L, F_L)$

with  $L(M_L) = L$ . Name each of the pieces!

**WTS:** The result of applying the operation to  $L$  is still in this class.

**Construction:** Build a machine that recognizes the result of applying the operation to  $L$ . Start with description in English!

e.g. Let  $M = (Q, \Sigma, \delta, q_0, F)$  where  $Q = \dots$   $\Sigma = \dots$   $\delta = \dots$   $q_0 = \dots$   $F = \dots$   
 $M$  could be DFA or NFA

**Correctness:** Prove  $L(M) =$  result of applying operation to  $L$

WTS1 if  $w$  is in set then  $w$  is accepted by  $M$

WTS2 if  $w$  is not in the set then  $w$  rejected by  $M$ .

**Claim:** The class of recognizable languages is closed under concatenation

**Given**

**WTS**

**Construction**

**Correctness**

## **Claim:** The class of recognizable languages is closed under concatenation

**Given** Two recognizable languages  $A, B$  and TMs that recognize them:  $M_A$  with  $L(M_A) = A$  and  $M_B$  with  $L(M_B) = B$ .

**WTS** The language  $AB$  is recognizable.

**Construction** Define the TM  $M$  as "On input  $w$ ,

1. Nondeterministically split  $w$  into  $w = xy$ .
2. Simulate running  $M_A$  on  $x$ . If rejects, reject; if accepts go to 3.
3. Simulate running  $M_B$  on  $y$ . If rejects, reject; if accepts, accept."

**Correctness**

**Construction** Define the TM  $M$  as "On input  $w$ ,

1. Nondeterministically split  $w$  into  $w = xy$ .
2. Simulate running  $M_A$  on  $x$ . If rejects, reject; if accepts go to 3.
3. Simulate running  $M_B$  on  $y$ . If rejects, reject; if accepts, accept.

**Correctness** Claim that  $w$  is in  $AB$  iff  $w$  is in  $L(M)$ .

**Part 1:** Assume  $w$  is in  $AB$ . Then there are strings  $x,y$  such that  $w = xy$  and  $x$  is in  $A$ ,  $y$  is in  $B$ . Running  $M$  on  $w$ , one of the nondeterministic ways we split  $w$  will be into these  $x,y$ . In step 2, the computation of  $M_A$  on  $x$  will halt and accept (because  $L(M_A) = A$ ) so we go to step 3. In that step, the computation of  $M_B$  on  $y$  will halt and accept (because  $L(M_B) = B$  so  $M$  accepts  $w$ ).

**Construction** Define the TM  $M$  as "On input  $w$ ,

1. Nondeterministically split  $w$  into  $w = xy$ .
2. Simulate running  $M_A$  on  $x$ . If rejects, reject; if accepts go to 3.
3. Simulate running  $M_B$  on  $y$ . If rejects, reject; if accepts, accept.

**Correctness** Claim that  $w$  is in  $AB$  iff  $w$  is in  $L(M)$ .

**Part 2:** Assume  $w$  is not in  $AB$ . Then there are no strings  $x, y$  such that  $w = xy$  and  $x$  is in  $A$ ,  $y$  is in  $B$ . In other words, for each way of splitting  $w$  into  $xy$ , at least one of the following is true:  $M_A$  running on  $x$  will reject or loop,  $M_B$  running on  $y$  will reject or loop. Tracing the computation of  $M$  on  $w$ , in each one of the nondeterministic computation paths, there is some split  $w=xy$ . For each of these splits, in step 2, the computation of  $M_A$  on  $x$  either loops (in which case  $M$  loops on  $w$ , so  $w$  is not in  $L(M)$ ) or rejects (in which case  $M$  rejects  $w$ ) or accepts (in which case  $M$  goes to step 3). If the computation of  $M$  enters step 3, this means that  $x$  is in  $L(M_A)$  so by our assumption,  $y$  is not in  $L(M_B)$  so  $M_B$  on  $y$  must either loop or reject. In either case,  $M$  rejects. Thus  $w$  is not in  $L(M)$ .

# Proving closure

**Given:** What does it mean for  $L$  to be in class?

e.g.  $L$  a regular language, so given a DFA  $M_L = (Q_L, \Sigma_L, \delta_L, q_L, F_L)$

**WTS:** The result is closed under \_\_\_\_\_ the constructions may involve building a

**Construction:** Build a \_\_\_\_\_ the operation

- e.g.  $L$   $M$  could be
- A. Two-tape Turing machine.
  - B. Nondeterministic decider.
  - C. Enumerator.
  - D. All of the above.
  - E. I don't know.

**Correctness:** Prove that if  $w$  is in the set then  $w$  is accepted by  $M$ .

WTS1

WTS2

if  $w$  is not in the set then  $w$  is rejected by  $M$ .

**Claim:** The class of decidable languages is closed under reversal

**Given**

**WTS**

**Construction**

**Correctness**



## Claim: The class of decidable languages is closed under reversal

**Given** A decidable language  $L$ , with a decider TM  $D$ :  $L(D)=L$

**WTS** There is a decider that decides  $L^R = \{w \mid w^R \text{ is in } L\}$

**Construction** Define the TM  $M$  as "On input  $w$ :

1. Make a copy of  $w$  in reverse.
2. Simulate running  $D$  on this copy.
3. If  $D$  accepts, accept. If  $D$  rejects, reject.

**Correctness** If  $w$  is in  $L^R$  then in step 1,  $M$  builds  $w^R$  and in step 2, the computation of  $D$  on  $w^R$  will accept (because  $L(D) = L$ ), so in step 3,  $M$  accepts  $w$ . If  $w$  is not in  $L^R$  then in step 1,  $M$  builds  $w^R$  and in step 2, the computation of  $D$  on  $w^R$  will reject (because  $L(D) = L$ ), so in step 3,  $M$  rejects  $w$ .

## Claim: The class of decidable languages is closed under reversal

**Given** A decidable language  $L$ , with a decider TM  $D$ :  $L(D)=L$

**WTS** There is a decider that decides  $L^R = \{w \mid w^R \text{ is in } L\}$

**Construction** Def

1. Make a copy of  $w$
2. Simulate running  $D$  on  $w^R$
3. If  $D$  accepts, accept

**Correctness** If  $w \in L$ , then the computation of  $D$  on  $w^R$  will accept. In step 3,  $M$  accepts and in step 2, the computation of  $D$  on  $w^R$  will accept. Since  $L(D) = L$ , so in step 3,  $M$  accepts.

Is this how we proved that the class of regular languages is closed under reversal?

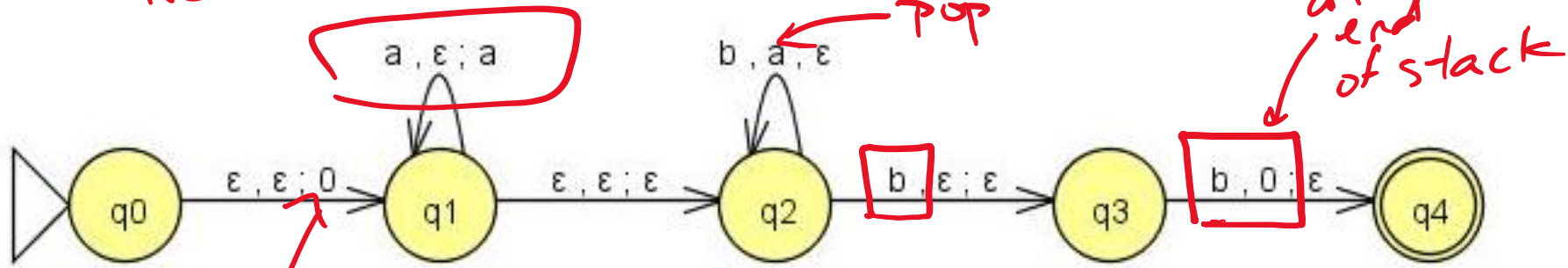
A. Yes.

B. No – but we could modify our earlier proof to make a copy of  $w^R$  and then run the DFA on it.

C. No – and this strategy won't work for automata.

D. I don't know.

Read a's ; push onto stack



end of stack symbol

What is the language of this PDA?

- A.  $\{w \mid \# \text{ of } b\text{'s in } w \geq \# \text{ of } a\text{'s in } w\}$
- B.  $\{w \mid w = a^n b^{n+1} \text{ for some } n \geq 0\}$
- C.  $\{w \mid w = a^n b^{n+2} \text{ for some } n \geq 0\}$**
- D.  $\{w \mid w = a^n b^{2n} \text{ for some } n \geq 0\}$
- E.  $\{w \mid w = 0a^n b^{2n}0 \text{ for some } n \geq 0\}$

# What is the language of CFG

with rules

$$S \rightarrow aSb \mid bY \mid Ya$$

$$Y \rightarrow bY \mid Ya \mid \varepsilon$$

# (Using) Pumping Lemma

**Theorem:**  $L = \{w w^R \mid w \text{ is in } \{0,1\}^*\}$  is not regular.

**Proof** (by contradiction): Assume, towards a contradiction, that  $L$  is regular. Then by the Pumping Lemma, there is a pumping length,  $p$ , for  $L$ . Choose  $s$  to be the string \_\_\_\_\_ . The Pumping Lemma guarantees that  $s$  can be divided into parts  $s=xyz$  such that  $|xy| \leq p$ ,  $|y|>0$ , and for any  $i \geq 0$ ,  $xy^iz$  is in  $L$ . But, if we let  $i=$ \_\_\_\_\_, we get the string \_\_\_\_\_ which is not in  $L$ , a contradiction. Thus  $L$  is not regular.

## (Using) Pumping

**Theorem:**  $L = \{w \mid w = 0^i 1^i, i \geq 0\}$

**Proof** (by contradiction) that  $L$  is regular. pumping length, \_\_\_\_\_.

The Pumping Lemma guarantees that  $s$  can be divided into parts  $s=xyz$  such that  $|xy| \leq p$ ,  $|y| > 0$ , and for any  $i \geq 0$ ,  $xy^i z$  is in  $L$ . But, if we let  $i = \underline{\hspace{2cm}}$ , we get the string \_\_\_\_\_ which is not in  $L$ , a contradiction. Thus  $L$  is not regular.

~~A.  $s = 000000111111, i=6$~~

~~B.  $s = 0^p 0^p, i=2$~~

C.  $s = 0^p 110^p, i=2$

D. More than one of the above.

E. I don't know.

# P and NP

**P:** Languages decidable in polynomial time on deterministic Turing machines.

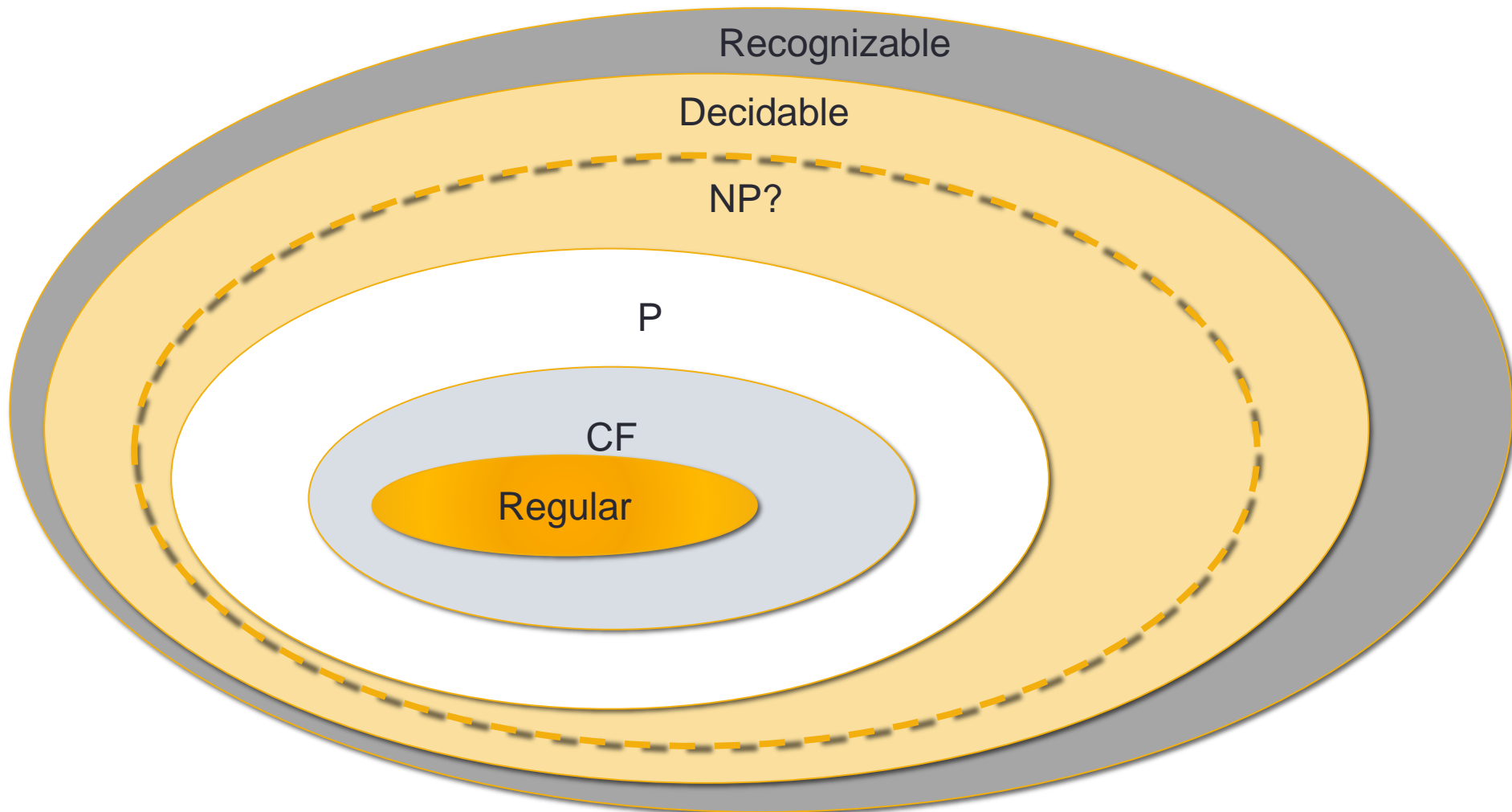
**e.g.** PATH, Simple arithmetic, CFL's, etc.

**NP:** Languages decidable in polynomial time on nondeterministic Turing machines.

**e.g.** TSP, SAT, CLIQUE, etc.

**Know**  $P \subseteq NP$  and

if an NP-complete problem is in P, then  $P=NP$ .



Recognizable

Decidable

NP?

P

CF

Regular



