

# CSE 20

# DISCRETE MATH

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WINTER 2016

<http://cseweb.ucsd.edu/classes/wi16/cse20-ab/>

# Today's learning goals

- Explain the steps in a proof by (strong) mathematical induction
- Use (strong) mathematical induction to prove
  - correctness of identities and inequalities
  - properties of algorithms
  - properties of geometric constructions
- Represent functions in multiple ways
- Define and prove properties of: domain of a function, image of a function, composition of functions
- Determine and prove whether a function is one-to-one, onto, bijective
- Apply the definition and properties of floor function, ceiling function, factorial function
- Define and compute the cardinality of a set: Finite sets, countable sets, uncountable sets
- Use functions to compare the sizes of sets
- Use functions to define sequences: arithmetic progressions, geometric progressions
- Use and prove properties of recursively defined functions and recurrence relations (using induction)
- Use and interpret Sigma notation

# Nim

Two players take turns removing **any positive # of jellybeans** at a time from one of two piles in front of them. **The piles start out with equal #s.**

The player who removes the **last jellybean wins** the game.



- A. The first player has a strategy to always win.
- B. The second player has a strategy to always win.
- C. One of the players has a strategy to always win, but which player depends on how many jellybeans there are.
- D. Who wins is random.
- E. None of the above.

# Nim

Two players take turns removing **any positive # of jellybeans** at a time from one of two piles in front of them. **The piles start out with equal #s.** The player who removes the **last jellybean wins** the game.

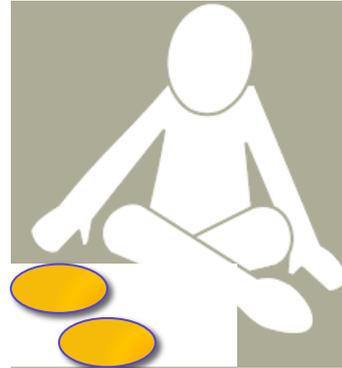


$n=1$

*Who wins?*

# Nim

Two players take turns removing **any positive # of jellybeans** at a time from one of two piles in front of them. **The piles start out with equal #s.** The player who removes the **last jellybean wins** the game.



$n=2$

*Who wins?*

# Nim

Two players take turns removing **any positive # of jellybeans** at a time from one of two piles in front of them. **The piles start out with equal #s.** The player who removes the **last jellybean wins** the game.



Idea: 2<sup>nd</sup> player takes the same amount 1<sup>st</sup> player took but from opposite pile.  
*...Game reduces to same setup but with fewer jellybeans.*

# Strong induction

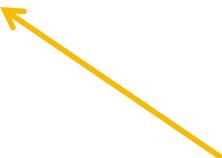
*Rosen p. 334*

To show that some statement  $P(n)$  is true about **all** positive integers  $n$ ,

1. Verify that  $P(1)$  is true.
2. Let  $k$  be an arbitrary positive integer. Show that

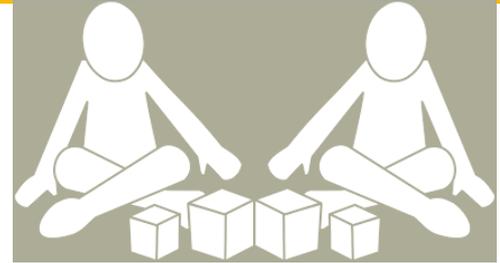
$$[P(1) \wedge P(2) \wedge \cdots \wedge P(k)] \rightarrow P(k + 1)$$

is true.



**Strong induction hypothesis**

# Nim



Two players take turns removing **any positive # of jellybeans** at a time from one of two piles in front of them. **The piles start out with equal #s.**

The player who removes the **last jellybean wins** the game.

**Theorem: the second player can always guarantee a win.**

**Proof: By Strong Mathematical Induction, on # jellybeans in each pile.**

1. **Basis step** WTS if piles each have 1, then 2<sup>nd</sup> player can win.
2. **Strong Induction hypothesis** Let  $k$  be a positive integer. Assume that 2<sup>nd</sup> player can win whenever there are  $j$  jellybeans in each pile, for each  $j$  between 1 and  $k$  (inclusive).
3. **Induction step** WTS 2<sup>nd</sup> player has winning strategy when start with  $k+1$  jellybeans in each pile.

# Fibonacci numbers

*Rosen p. 158, 347*

$$f_0 = 1, f_1 = 1, f_n = f_{n-1} + f_{n-2}$$

# Fibonacci numbers

Rosen p. 158, 347

$$f_0 = 1, f_1 = 1, f_n = f_{n-1} + f_{n-2}$$

**Theorem:** For each integer  $n \geq 2$ ,  $f_n \geq 1.5^{n-2}$

**Proof:** By Strong Mathematical Induction, on  $n \geq 2$ .

1. **Basis step** WTS  $f_2 \geq 1.5^{2-2}$ .
2. **Strong Induction hypothesis** Let  $k$  be an integer,  $k \geq 2$ . Assume inequality is true for each **integer  $j$ ,  $2 \leq j \leq k$** .
3. **Induction step** WTS statement is true about  $f_{k+1}$ .

# Fibonacci numbers

*Rosen p. 158, 347*

$$f_0 = 1, f_1 = 1, f_n = f_{n-1} + f_{n-2}$$

1. **Basis step** WTS  $f_2 \geq 1.5^{2-2}$ .

$$\text{LHS} = f_2 = 1 + 1 = 2.$$

$$\text{RHS} = 1.5^{2-2} = 1.5^0 = 1.$$

Since  $2 > 1$ ,  $\text{LHS} > \text{RHS}$  so, in particular,  $\text{LHS} \geq \text{RHS}$  😊

# Fibonacci numbers

Rosen p. 158, 347

$$f_0 = 1, f_1 = 1, f_n = f_{n-1} + f_{n-2}$$

**Induction step** Let  $k$  be an integer with  $k \geq 2$ .

Assume as the **strong induction hypothesis** that

$$f_j \geq 1.5^{j-2}$$

for each integer  $j$  with  $2 \leq j \leq k$ .

**WTS** that  $f_{k+1} \geq 1.5^{(k+1)-2}$

By definition of Fibonacci numbers, since  $k+1 > 1$ ,  $f_{k+1} = f_k + f_{k-1}$ .

Therefore, LHS =  $f_{k+1} = f_k + f_{k-1}$ .

*Idea: apply strong induction hypothesis to  $k$  and  $k-1$ . Can we do it?*

# Fibonacci numbers

Rosen p. 158, 347

...

Case 1:  $k=2$  and WTS that  $f_3 \geq 1.5^{(3)-2}$

Case 2:  $k>2$  and WTS that  $f_{k+1} \geq 1.5^{(k+1)-2}$  and strong IH applies to  $k, k-1$  (because both  $k, k-1$  are greater than or equal to 2 and less than  $k+1$ ).

*So let's prove each of these cases in turn:*

Case 1:  $k=2$  and WTS that  $f_3 \geq 1.5^{(3)-2}$

By definition of Fibonacci numbers,  $LHS = f_3 = f_2 + f_1 = 2 + 1 = 3$ .

By algebra,  $RHS = 1.5^{3-2} = 1.5$  Since  $3 > 1.5$ ,  $LHS > RHS$  😊

# Fibonacci numbers

Rosen p. 158, 347

...

Case 2:  $k > 2$  and WTS that  $f_{k+1} \geq 1.5^{(k+1)-2}$  and strong IH applies to  $k, k-1$  (because both  $k, k-1$  are greater than or equal to 2 and less than  $k+1$ ).

$$\begin{aligned} \text{LHS} = f_{k+1} &= f_k + f_{k-1} \geq 1.5^{k-2} + 1.5^{(k-1)-2} = 1.5^{k-3}(1.5+1) = 1.5^{k-3}(2.5) \\ &> 1.5^{k-3}(2.25) = 1.5^{k-3}1.5^2 = 1.5^{k-1} = 1.5^{(k+1)-2} = \text{RHS}. \end{aligned}$$

Def of Fibonacci numbers

Strong induction hypothesis

# Fulfilling promises

- We now have all the tools we need to rigorously prove
  - Correctness of **greedy change-making algorithm** with quarters, dimes, nickels, and pennies *Proof by contradiction, Rosen p. 199*
  - The **division algorithm** is correct *Strong induction, Rosen p. 341*
  - **Russian peasant multiplication** is correct *Induction*
  - Largest **n-bit binary** number is  $2^n - 1$  *Induction, Rosen p. 318*
  - Correctness of **base b conversion** (Algorithm 1 of 4.2), *Strong induction*
  - Size of the **power set** of a finite set with n elements is  $2^n$  *Induction, Rosen p. 323*
  - Any int greater than 1 can be written as **product of primes** *Strong induction, Rosen p. 323*
  - There are infinitely many **primes** *Proof by contradiction, Rosen p. 260*
  - **Sum** of geometric progressions  $\sum_{j=0}^n ar^j = \frac{ar^{n+1} - a}{r - 1}$  when  $r \neq 1$ , *Induction, Rosen p. 318*

# Cautionary tales

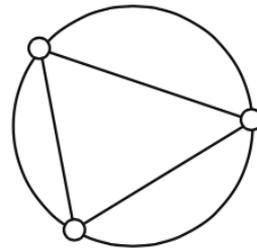
- The **basis step** is absolutely necessary ... and might need more than one!
- Make sure to stay in the **domain**.

*Recommended practice*

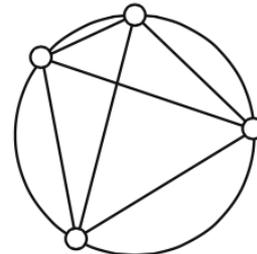
Section 5.1 #49, 50, 51

Section 5.2 #32

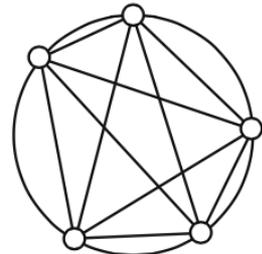
- A few **examples** do not guarantee a pattern:  
cake cutting conundrum. Join  
all pairs of points among  $N$  marked  
on circumference of cake.



$N=3$



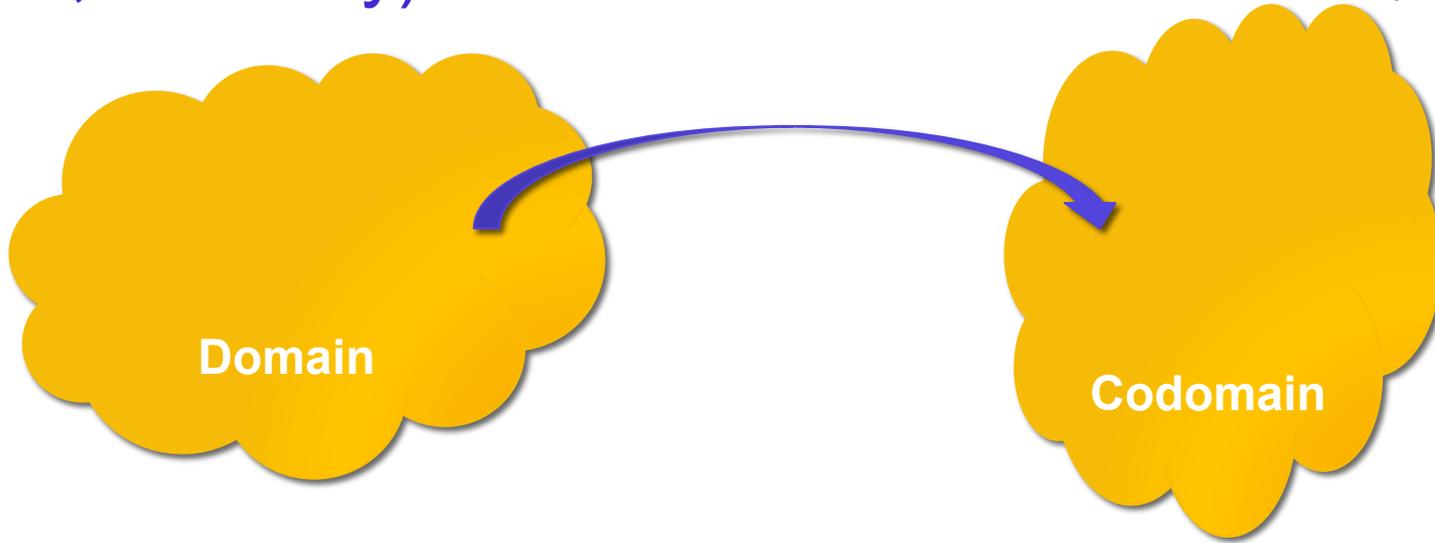
$N=4$



$N=5$

And now for something completely different ...  
(well, not really)

*Rosen p. 138*



**Function**

**Mapping**

**Transformation**

$$\forall a(a \in D \rightarrow \exists! b(b \in C \wedge f(a) = b))$$

# To specify a function

(1) Domain

(2) Codomain

(3) Assignment

All examples below have domain = codomain =  $\mathbf{N}$

- Formula
- Recursive definition

$$f(n) = n^2, \quad f(n) = \lfloor \sqrt{n} \rfloor, \quad f(n) = \lceil \log_2(n+1) \rceil$$

$$f(n) = \begin{cases} 0 & \text{if } n = 0 \\ n f(n-1) & \text{if } n > 0 \end{cases}, \quad f(n) = \begin{cases} n/2 & \text{if } n \bmod 2 = 0 \\ 3n+1 & \text{if } n \bmod 2 = 1 \end{cases}$$

With this basis step,  $f(n)$  is the constant zero function. If we change the output at input 0 to be 1, get the factorial function.

# To specify a function

(1) Domain

(2) Codomain

(3) Assignment

If domain and codomain happen to be finite...

- Formula
- Table / diagram of values
- Relation: set of (input,output pairs)

# To specify a function

(1)  $D = \{1,2,3\}$

(2)  $C = \{0,1\}$

(3) Assignment

Which of the following specifications of a function is not equal to the rest?

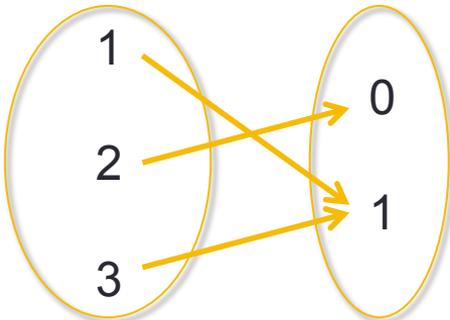
A.  $f(x) = x \bmod 2$

C.  $f(x) = 2-x$

B.

D.  $\{(1,1), (2,0), (3,1)\}$

E. None of the above (they're all equal)



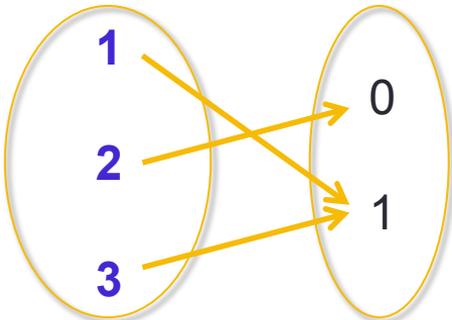
# Properties of functions

- A function  $f$  is **well-defined** means **exactly one image for every input**

$$\forall a(a \in D \rightarrow \exists! b(b \in C \wedge f(a) = b))$$

- Two functions  $f_1, f_2$  are **equal** means (1) they have the same domain, and (2) they have the same codomain, and (3)

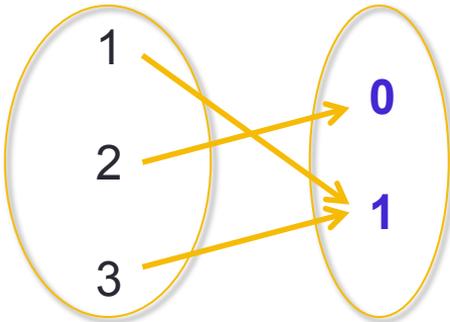
$$\forall a(a \in D \rightarrow f_1(a) = f_2(a))$$



# Properties of functions

possible

- A function  $f$  is ..... means **at least one input for every output**



How can we formalize this?

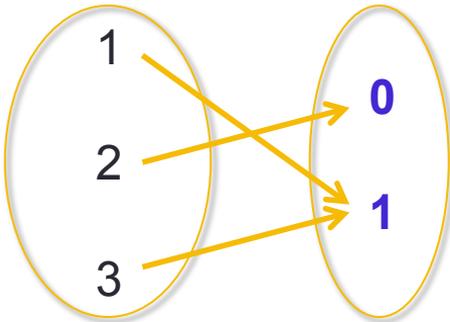
- A.  $\forall a(a \in D \rightarrow \exists!b (b \in C \wedge f(a) = b))$
- B.  $\forall b(b \in C \rightarrow \exists!a (a \in D \wedge f(a) = b))$
- C.  $\forall a\forall b((a \in D \wedge b \in C) \rightarrow f(a) = b)$
- D.  $\forall b(b \in C \rightarrow \exists a (a \in D \wedge f(a) = b))$
- E. None of the above

# Properties of functions

Rosen p. 143

possible

- A function  $f$  is **onto** means **at least one input for every output** (surjective)

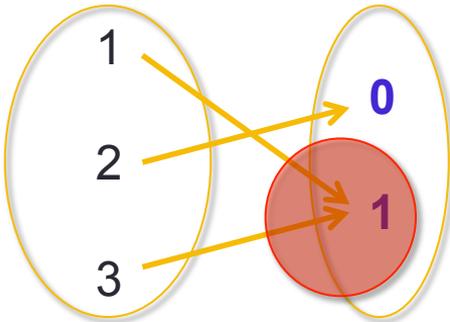


$$\forall b(b \in C \rightarrow \exists a(a \in D \wedge f(a) = b))$$

# Properties of functions

Rosen p. 141

- A function  $f$  is **one-to-one** means **no duplicate images** (injective)



How can we formalize this?

A.  $\forall a \forall b ((a \in D \wedge b \in D) \rightarrow f(a) \neq f(b))$

B.  $\forall a \forall b ((a \in D \wedge b \in D) \rightarrow (f(a) = f(b) \rightarrow a = b))$

C.  $\forall a \forall b ((a \in C \wedge b \in C) \rightarrow a \neq b)$

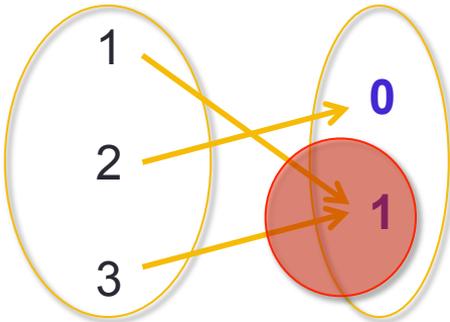
D.  $\forall a \forall b ((a \in C \wedge b \in C) \rightarrow f(a) \neq f(b))$

E. None of the above

# Properties of functions

Rosen p. 141

- A function  $f$  is **one-to-one** means **no duplicate images** (injective)

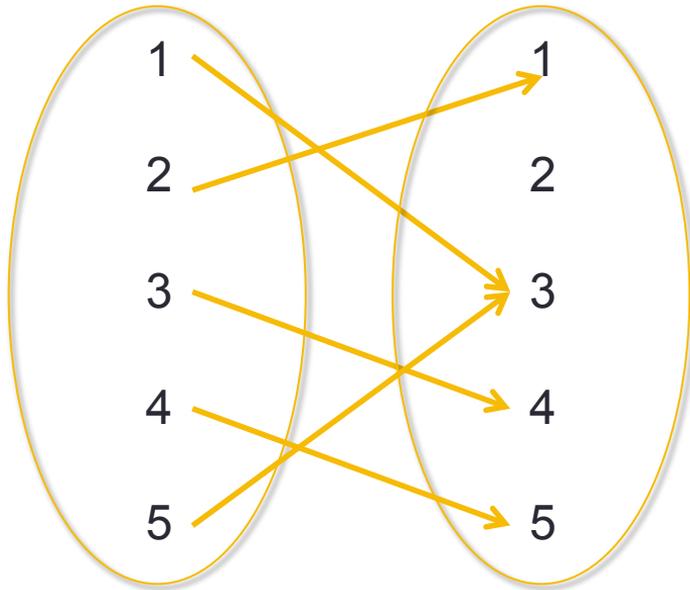


$$\forall a \forall b ((a \in D \wedge b \in D) \rightarrow (f(a) = f(b) \rightarrow a = b))$$

$$\forall a \forall b ((a \in D \wedge b \in D) \rightarrow (a \neq b \rightarrow f(a) \neq f(b)))$$

## Onto? One-to-one?

Consider the function over domain and codomain  $\{1,2,3,4,5\}$  defined by



This function is

- A. Well defined, onto, and one-to-one.
- B. Well defined, but neither onto nor one-to-one.
- C. Well defined, onto, but not one-to-one.
- D. Not well-defined, not onto, not one-to-one.
- E. None of the above.

## Onto? One-to-one?

Consider the function over domain and codomain  $\mathbf{R}^{\geq 0}$  defined by

$$f(x) = x^2$$

This function is

- A. Well defined, onto, and one-to-one.
- B. Well defined, but neither onto nor one-to-one.
- C. Well defined, onto, but not one-to-one.
- D. Not well-defined, not onto, not one-to-one.
- E. None of the above.

# Proving a function is ...

Define  $f:\{0,1\}^* \rightarrow \mathbf{N}$  by  $f(w) = |w|$ , or formally,  $f$  is defined recursively by

$$\begin{cases} f(\lambda) = 0 \\ f(w0) = f(w) + 1 \\ f(w1) = f(w) + 1 \end{cases}$$

**Fact:** This function is onto.

# Proving a function is ...

Define  $f:\{0,1\}^* \rightarrow \mathbf{N}$  by  $f(w) = |w|$ , or formally,  $f$  is defined recursively by

$$\begin{cases} f(\lambda) = 0 \\ f(w0) = f(w) + 1 \\ f(w1) = f(w) + 1 \end{cases}$$

**Fact:** This function is not one-to-one.

# Proving a function is ...

Let  $A = \{1,2,3\}$  and  $B = \{2,4,6\}$ .

Define a function from the power set of  $A$  to the power set of  $B$  by:

$$f : \mathcal{P}(A) \rightarrow \mathcal{P}(B)$$

$$f(X) = X \cap B$$

**Well-defined?**

**Onto?**

**One-to-one?**

# Reminder

- Exam 2 is next class: **Tuesday Feb 23**
  - Review sessions this weekend.
  - Extra office hours available.
  - Practice exam available on class website.
  - One note sheet allowed.
  - Seat map will be posted on class website.