

CSE 202: Design and Analysis of Algorithms

Lecture 8

Next: Network Flows

Oil Through Pipelines

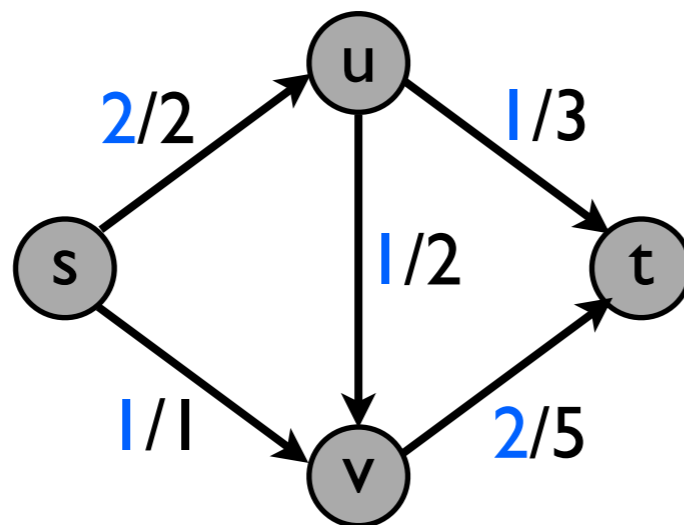
Problem: Given directed graph $G=(V,E)$, source s , sink t , edge capacities $c(e)$, how much oil can we ship from s to t ?

An s - t flow is a function: $E \rightarrow \mathbb{R}$ such that:

- $0 \leq f(e) \leq c(e)$, for all edges e
- flow into node v = flow out of node v , for all nodes v except s and t ,

$$\sum_{e \text{ into } v} f(e) = \sum_{e \text{ out of } v} f(e)$$

Size of flow f = Total flow out of s = total flow into t

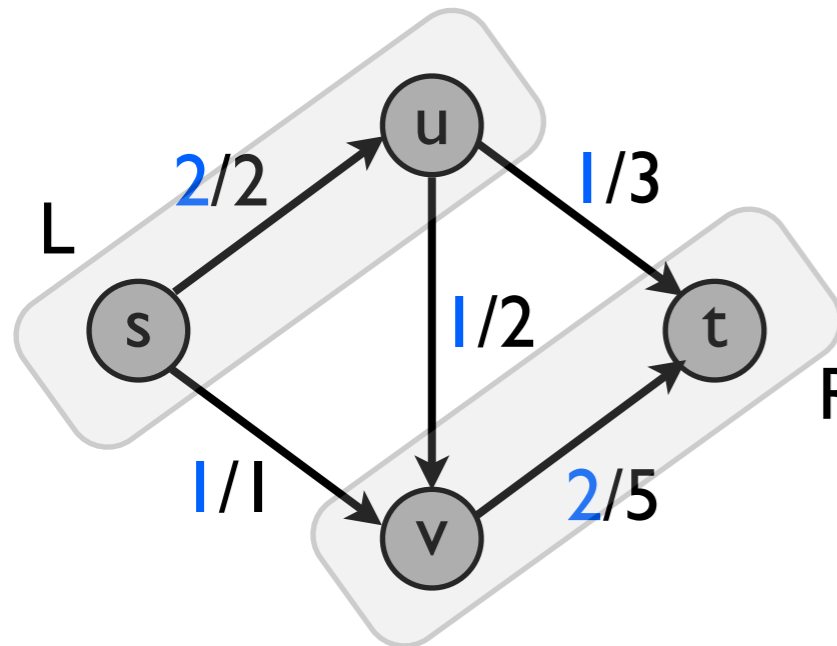


Size of f = 3

The Max Flow Problem: Given directed graph $G=(V,E)$, source s , sink t , edge capacities $c(e)$, find an s - t flow of maximum size

Flows and Cuts

The Max Flow Problem: Given directed graph $G=(V,E)$, source s , sink t , edge capacities $c(e)$, find an s - t flow of maximum size



Size of $f = 3$

An s - t **Cut** partitions nodes into groups = (L, R)
s.t. s in L , t in R

R Capacity of a cut $(L, R) = \sum_{(u,v) \in E, u \in L, v \in R} c(u, v)$

Flow across $(L, R) = \sum_{(u,v) \in E, u \in L, v \in R} f(u, v) - \sum_{(v,u) \in E, u \in L, v \in R} f(v, u)$

Property: For any flow f , any s - t cut (L, R) , $\text{size}(f) \leq \text{capacity}(L, R)$

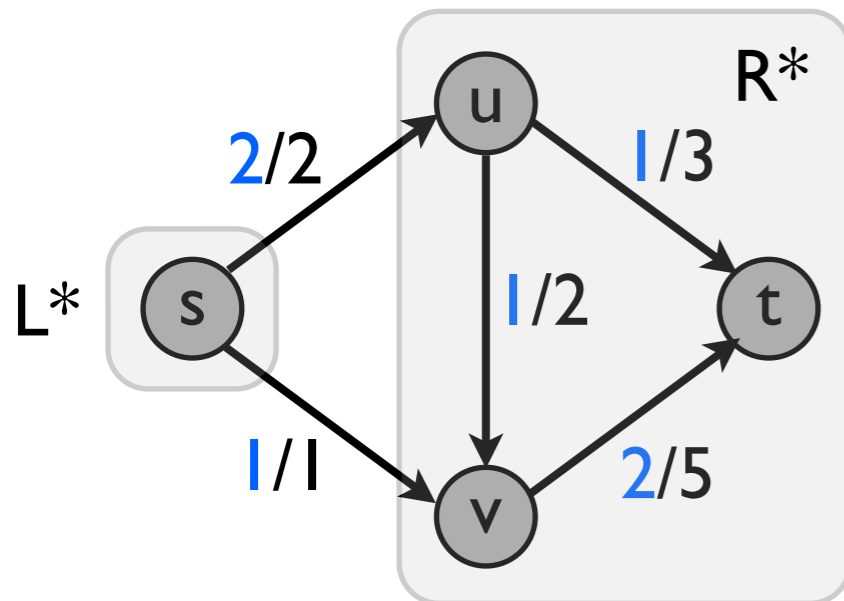
Proof: For any cut (L, R) , Flow Across (L, R) cannot exceed $\text{capacity}(L, R)$

From flow conservation constraints, $\text{size}(f) = \text{flow across}(L, R) \leq \text{capacity}(L, R)$

Max-Flow \leq Min-Cut

Flows and Cuts

The Max Flow Problem: Given directed graph $G=(V,E)$, source s , sink t , edge capacities $c(e)$, find an s - t flow of maximum size



Size of $f = 3$

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$$\text{Capacity of a cut } (L, R) = \sum_{(u,v) \in E, u \in L, v \in R} c(u, v)$$

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Property: For any flow f , any s - t cut (L, R) , $\text{size}(f) \leq \text{capacity}(L, R)$

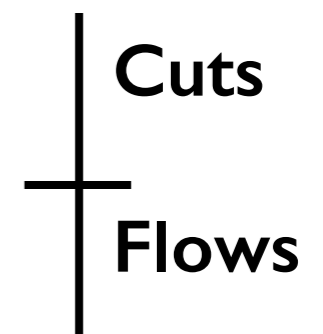
Proof: For any cut (L, R) , Flow Across (L, R) cannot exceed $\text{capacity}(L, R)$

From flow conservation constraints, $\text{size}(f) = \text{flow across}(L, R) \leq \text{capacity}(L, R)$

Max-Flow \leq Min-Cut

In our example: Size of $f = 3$, Capacity of Cut $(s, V - s) = 3$.

Thus, a Min Cut is a **certificate of optimality** for a flow



Ford-Fulkerson algorithm

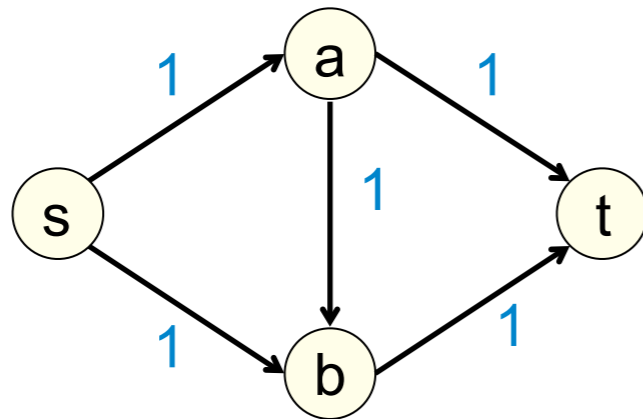
FF Algorithm: Start with zero flow

Repeat:

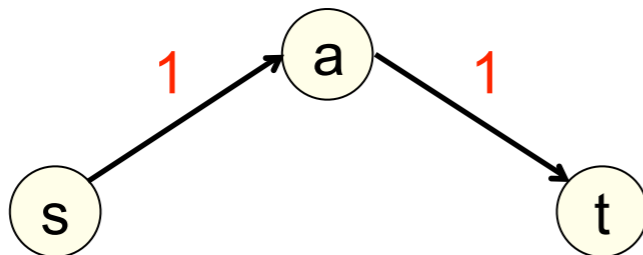
Find a path from s to t along which flow can be increased

Increase the flow along that path

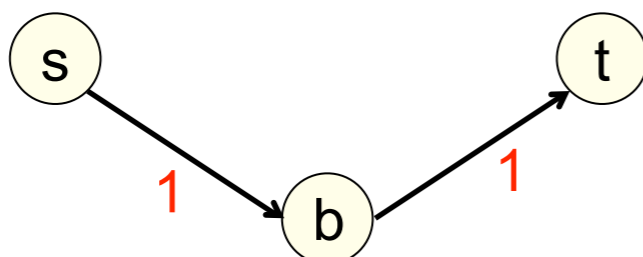
Example



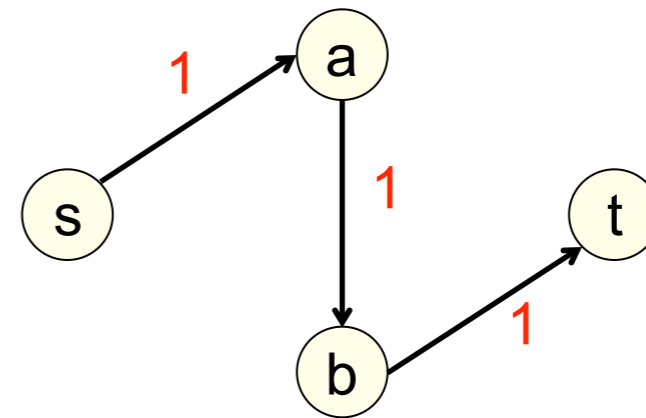
First choose:



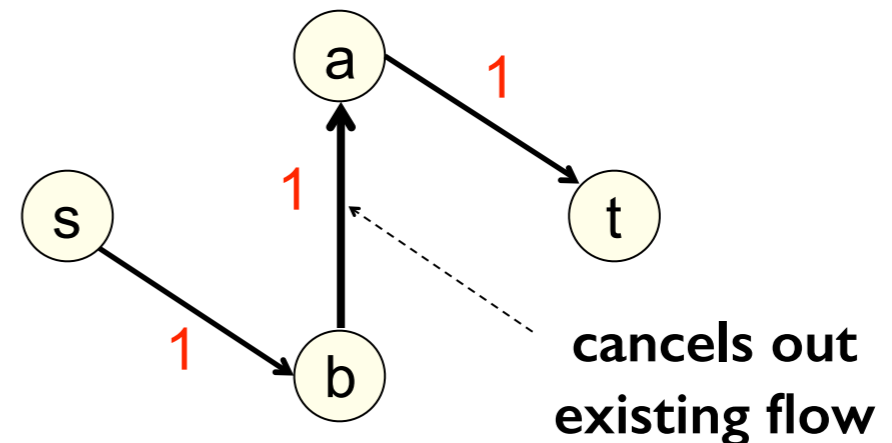
Next choose:



But what if we first chose:



Then we'd have to allow:



Ford-Fulkerson, continued

FF Algorithm: Start with zero flow

Repeat:

Find a path from s to t along which flow can be increased

Increase the flow along that path

In any iteration, we have some flow f and we are trying to improve it. How to do this?

1: Construct a residual graph G_f (“what’s left to take?”)

$G_f = (V, E_f)$ where $E_f \subseteq E \cup E^R$

For any (u,v) in E , $c_f(u,v) = c(u,v) - f(u,v)$

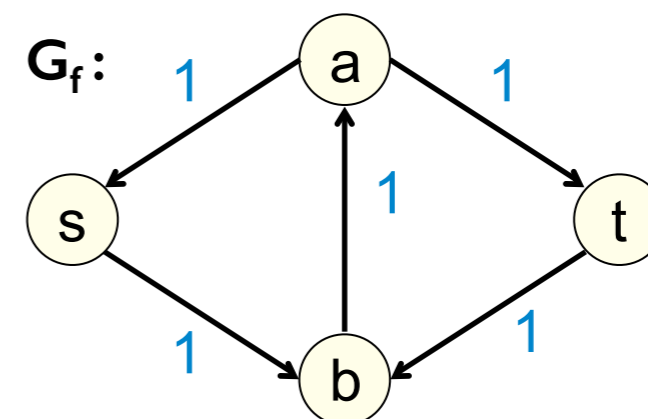
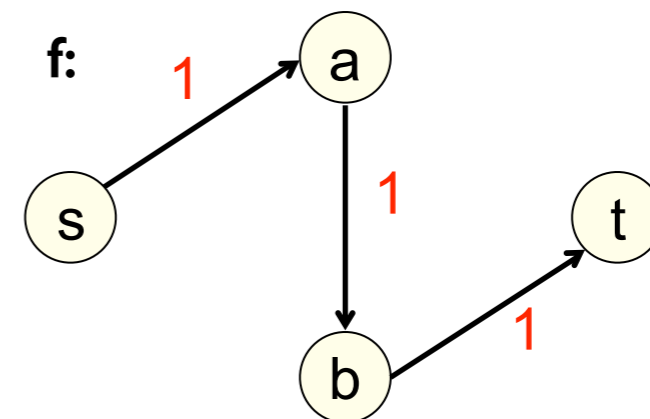
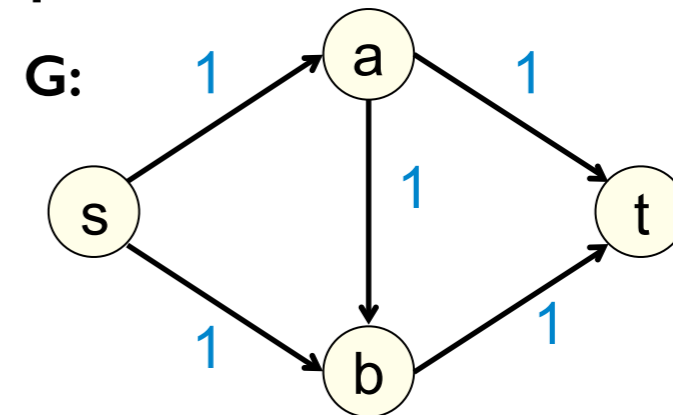
any (u,v) in E^R , $c_f(u,v) = f(v,u)$

[ignore edges with zero c_f : don’t put them in E_f]

2: Find a path from s to t in G_f

3: Increase flow along this path, as much as possible

Example



Example: Round I

Construct residual graph $G_f = (V, E_f)$

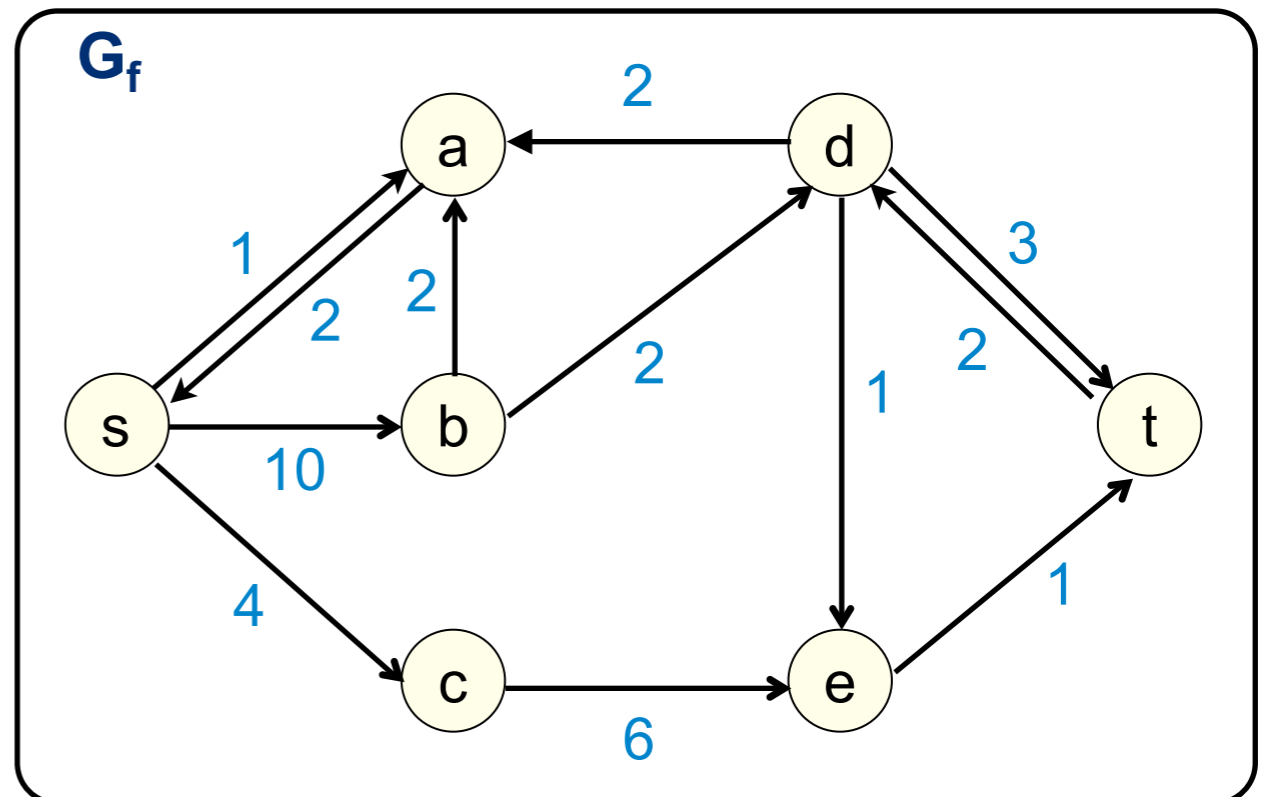
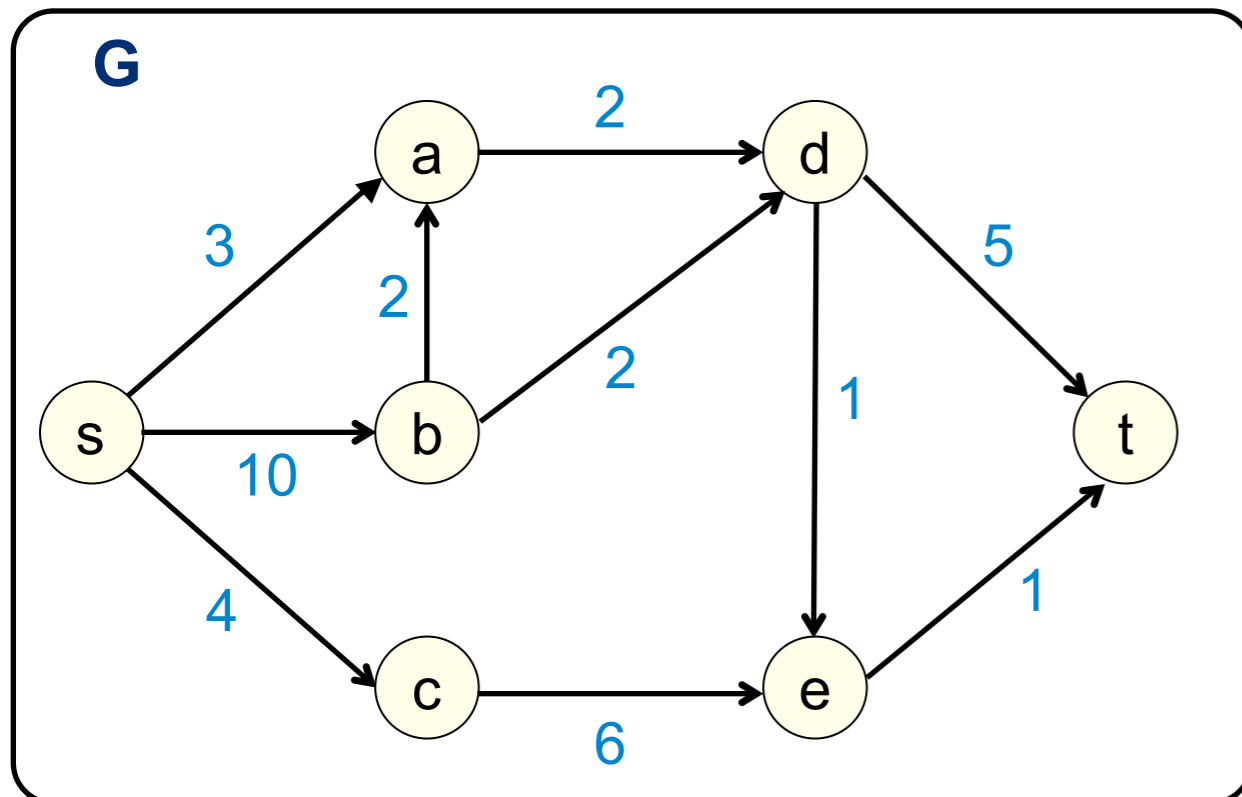
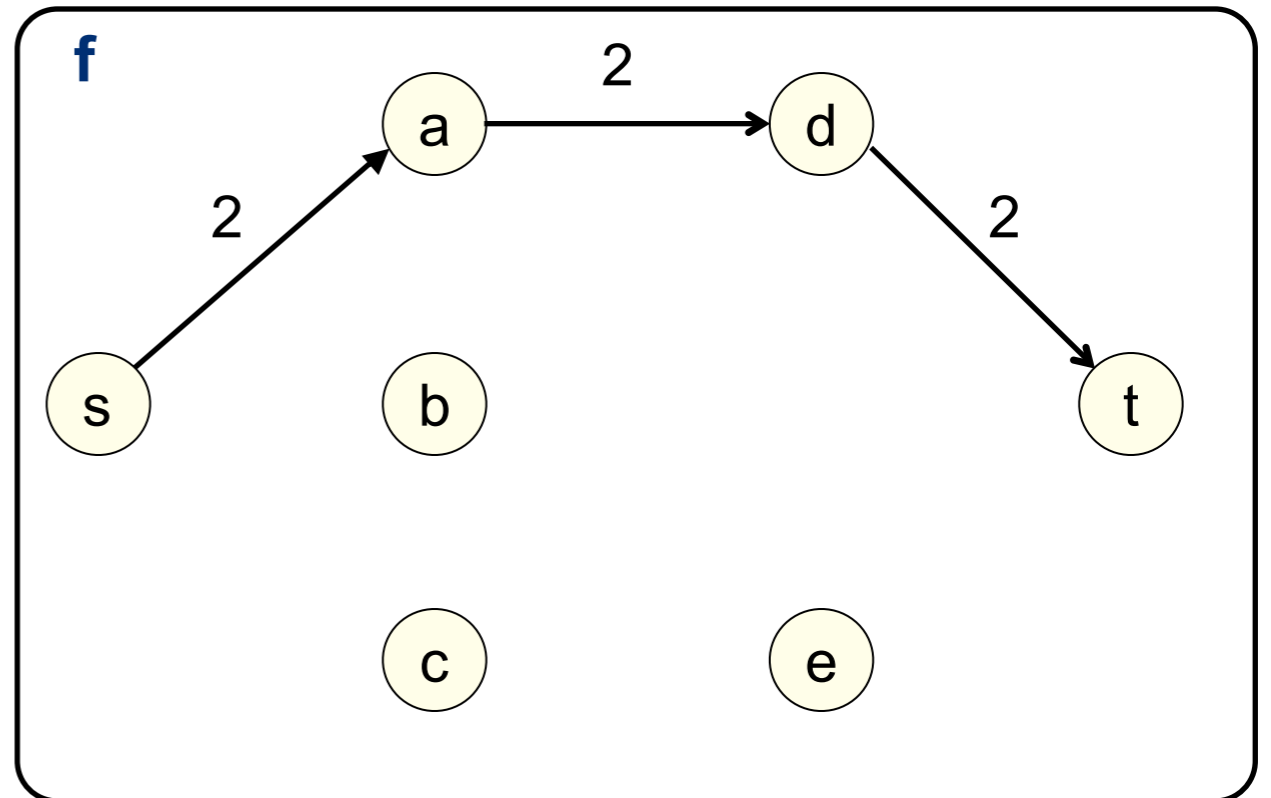
$$E_f \subseteq E \cup E^R$$

For any (u,v) in E or E^R ,

$$c_f(u,v) = c(u,v) - f(u,v) + f(v,u)$$

Find a path from s to t in G_f

Augment f along this path



Example: Round 2

Construct residual graph $G_f = (V, E_f)$

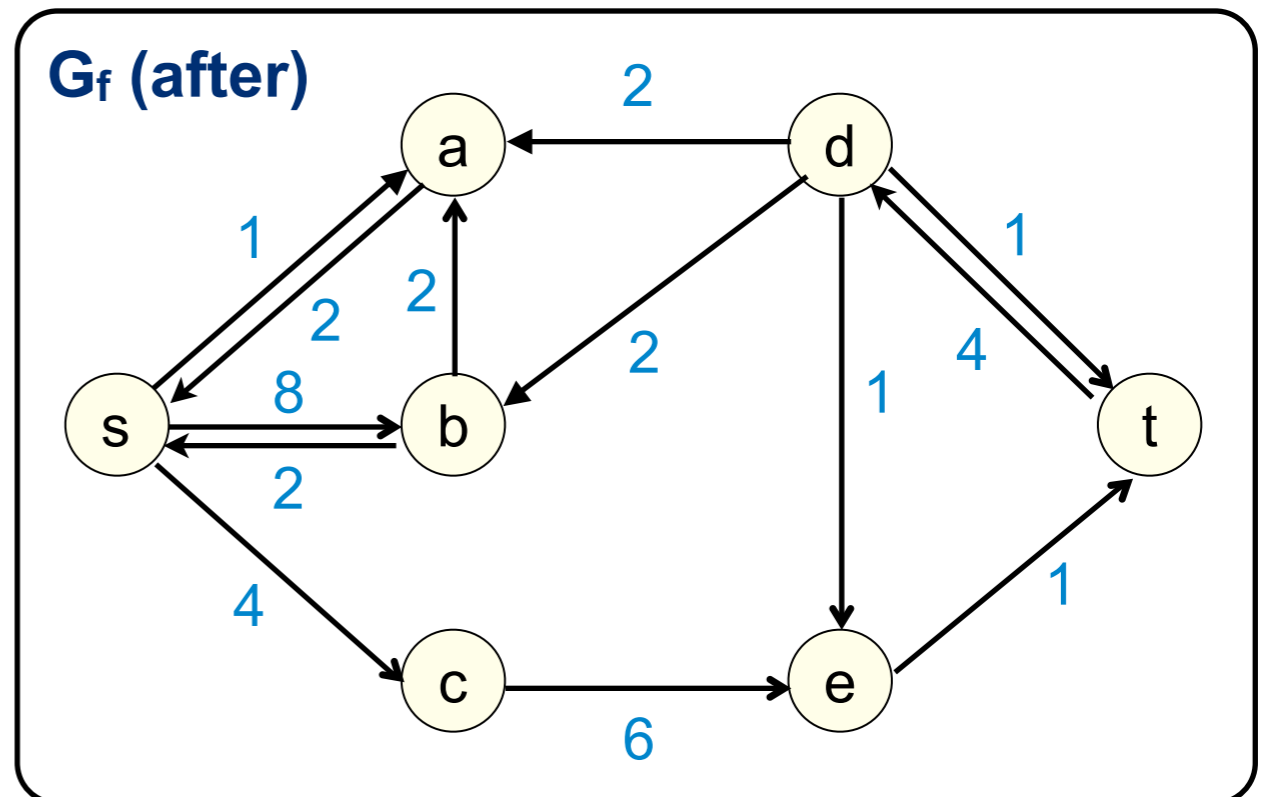
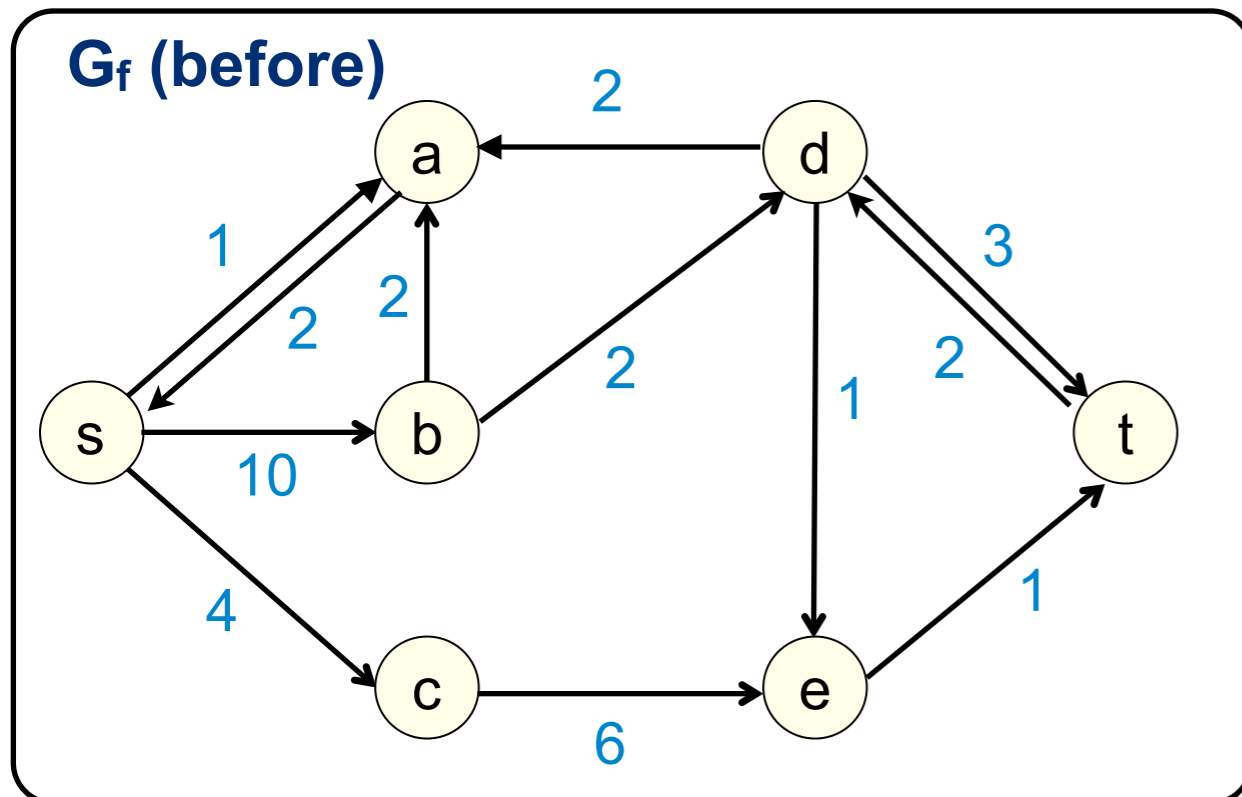
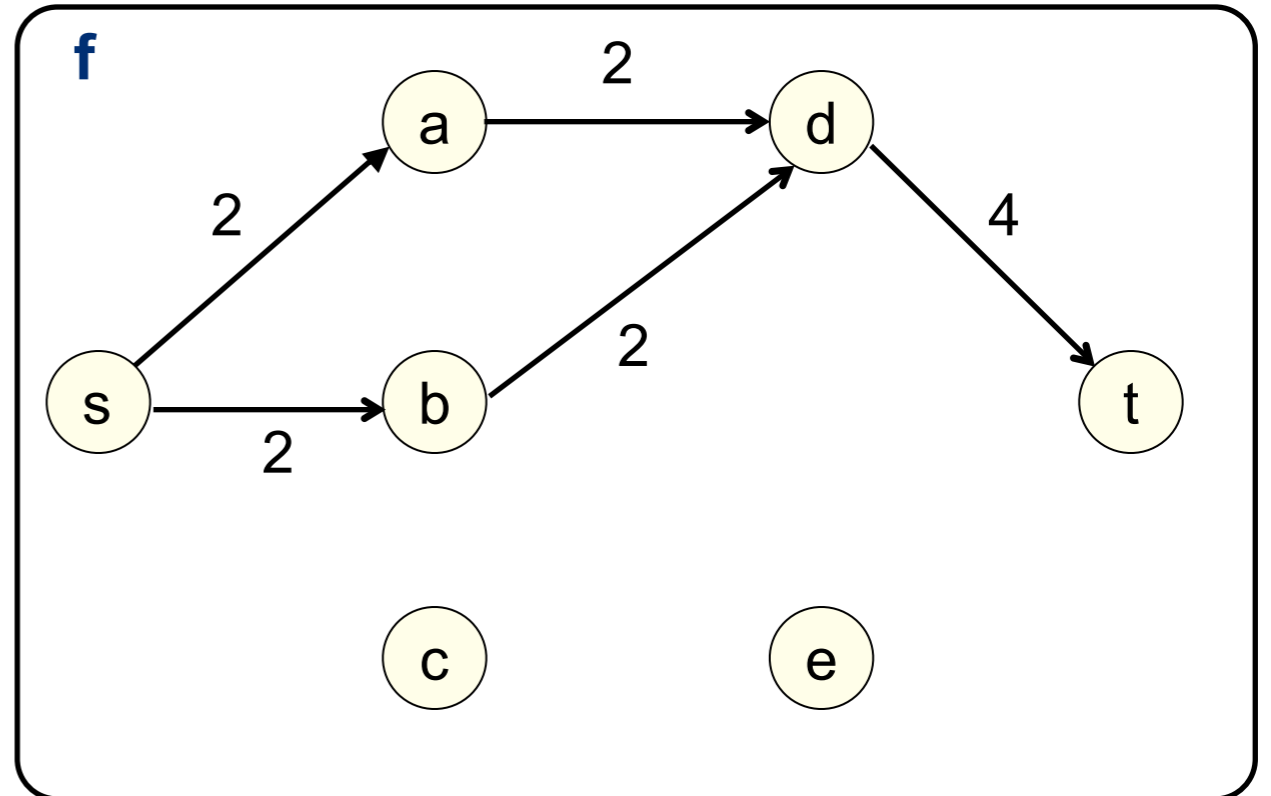
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Augment f along this path



Example: Round 3

Construct residual graph $G_f = (V, E_f)$

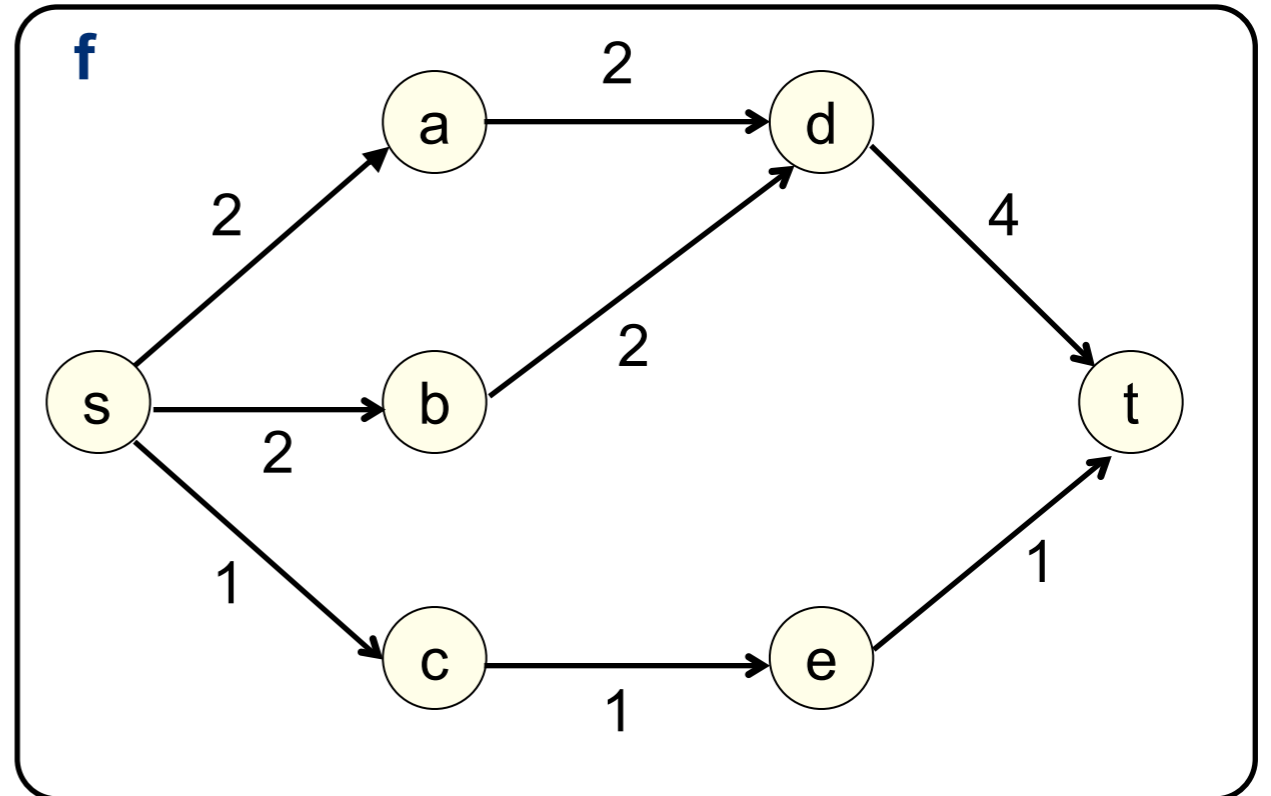
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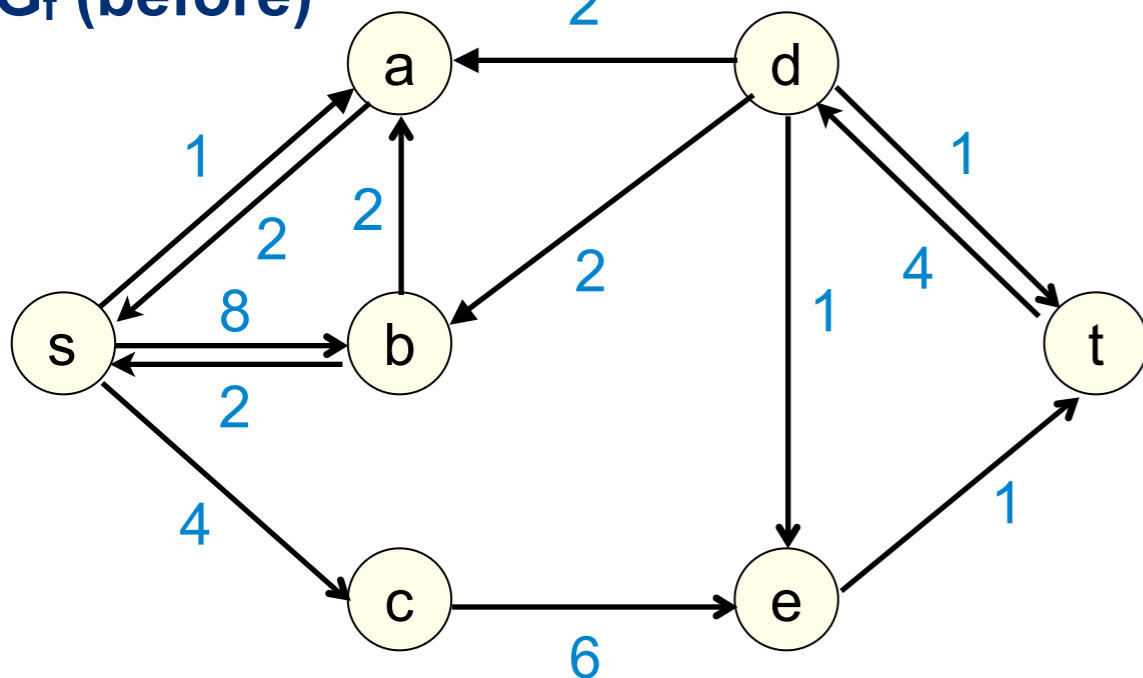
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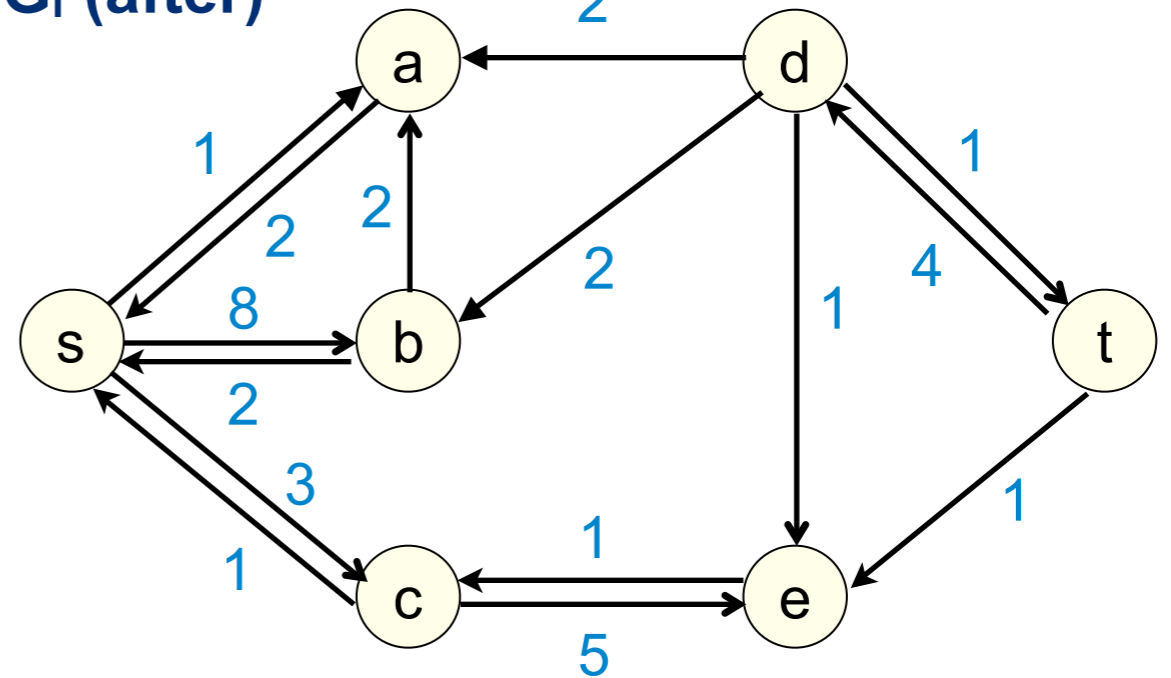
Augment f along this path



G_f (before)



G_f (after)



Example: Round 3

Construct residual graph $G_f = (V, E_f)$

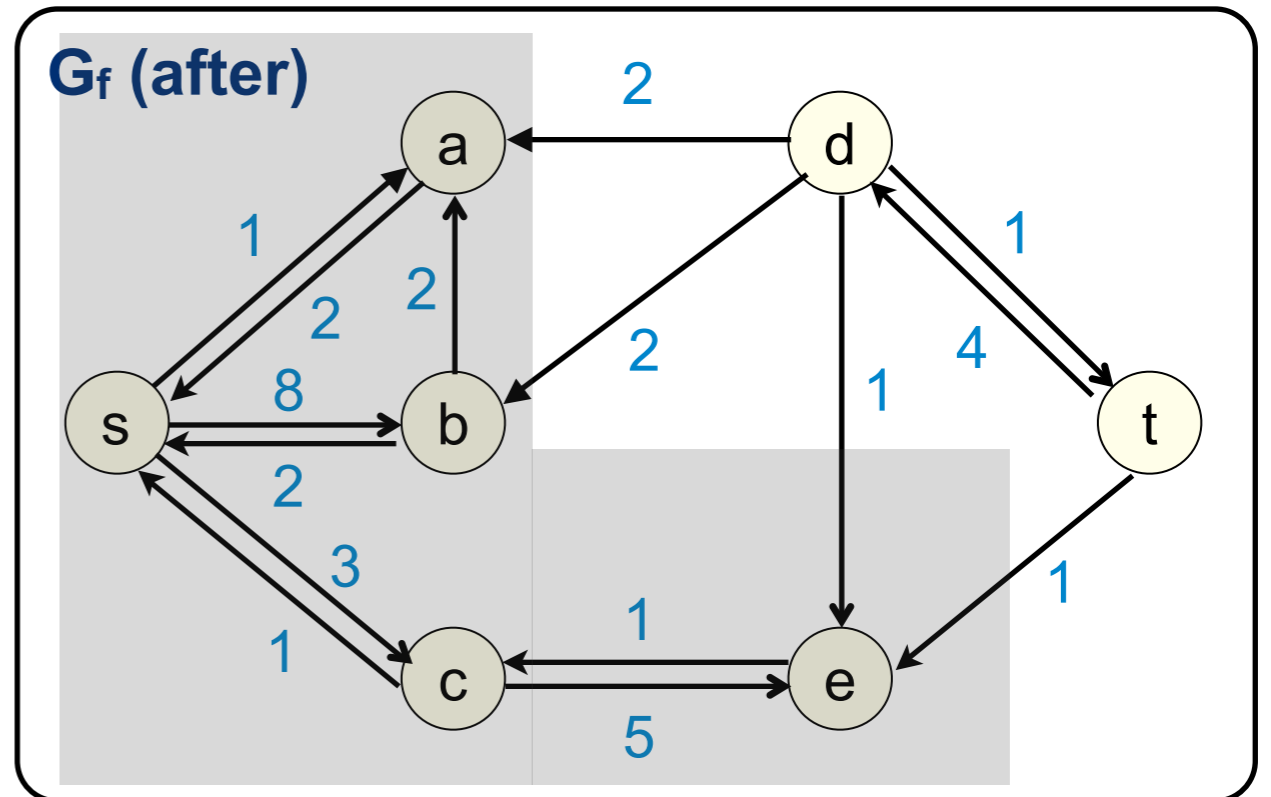
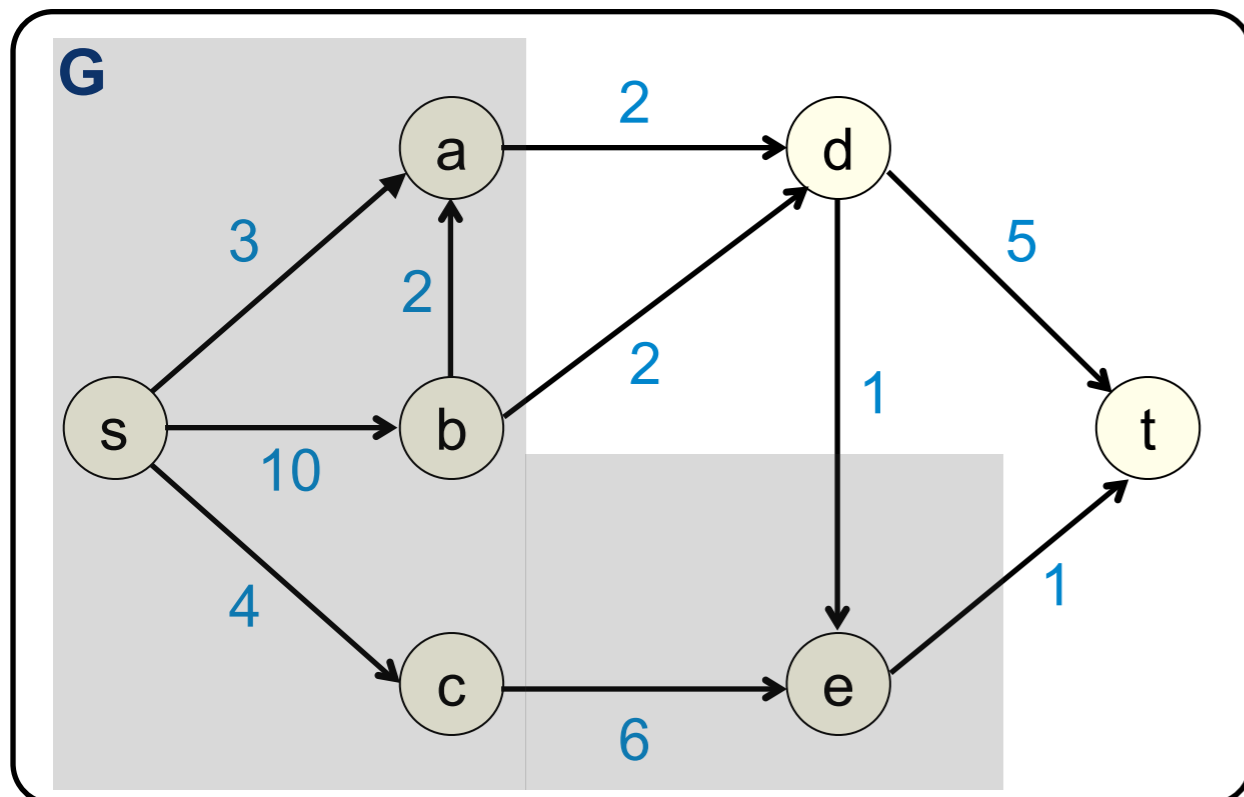
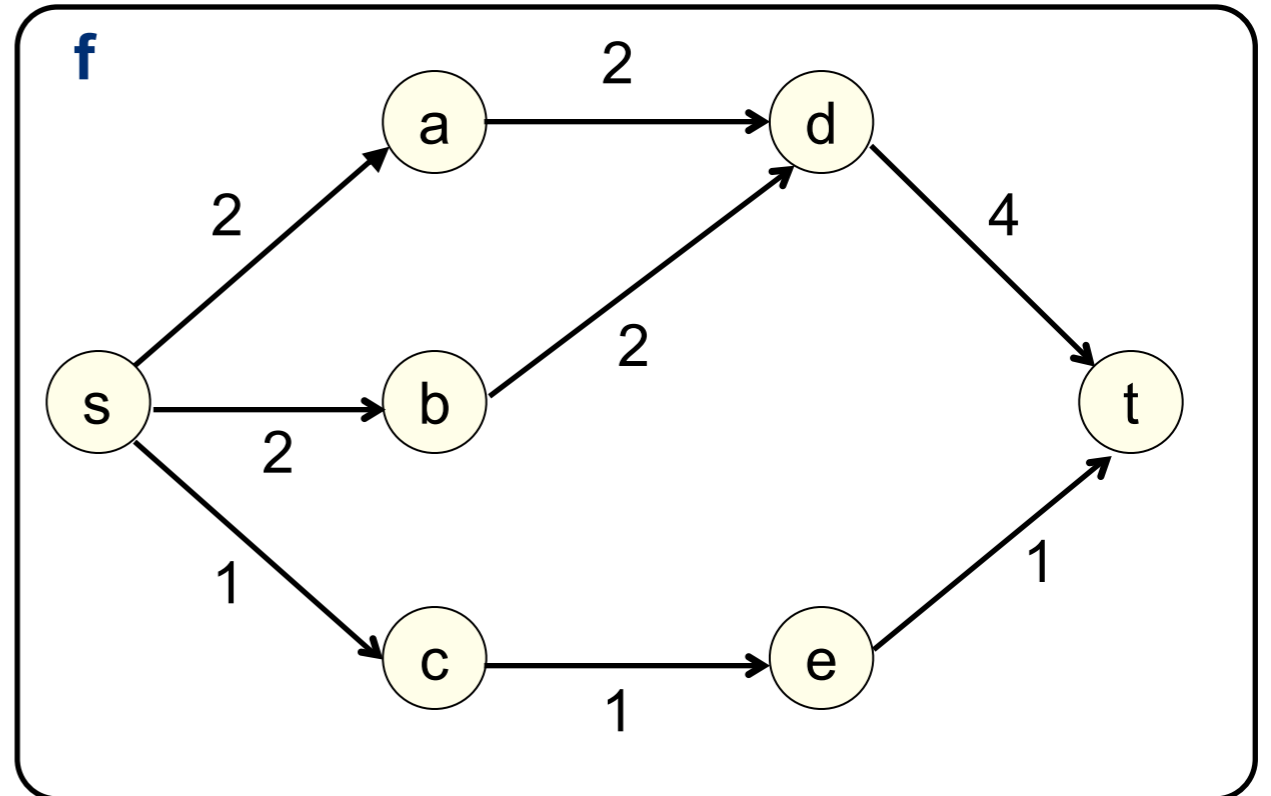
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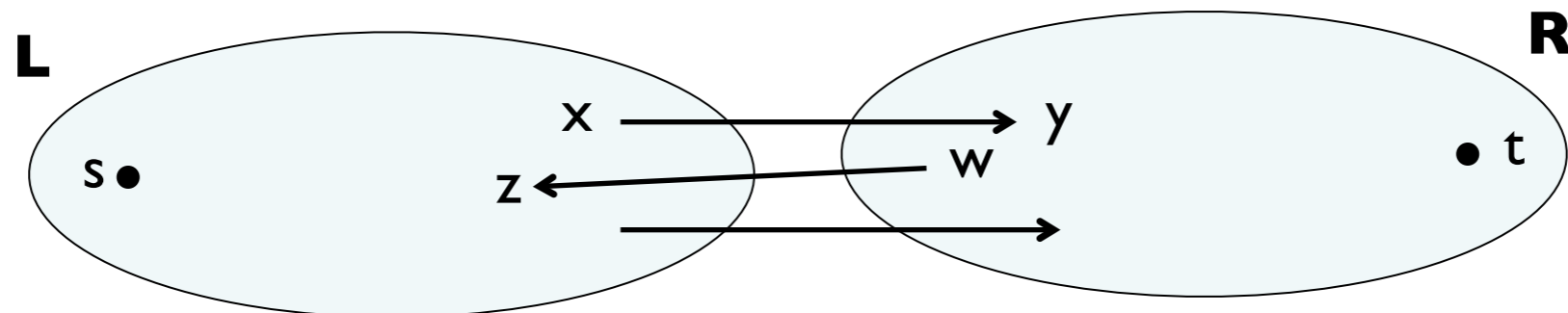
Analysis: Correctness

FF algorithm gives us a valid flow. But is it the **maximum** possible flow?

Consider final residual graph $G_f = (V, E_f)$

Let $L =$ nodes reachable from s in G_f and let $R =$ rest of nodes $= V - L$

So $s \in L$ and $t \in R$



Edges from L to R must be at full capacity

Edges from R to L must be empty

Therefore, flow across cut (L,R) is

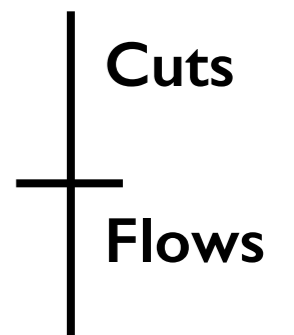
$$\sum_{(u,v) \in E, u \in L, v \in R} c(u, v)$$

Thus, $\text{size}(f) = \text{capacity}(L, R)$

Recall: for any flow and any cut,
 $\text{size}(\text{flow}) \leq \text{capacity}(\text{cut})$

Therefore f is the **max flow** and (L,R) is
the **min cut!**

Thus, **Max Flow = Min Cut**



Analysis: efficiency

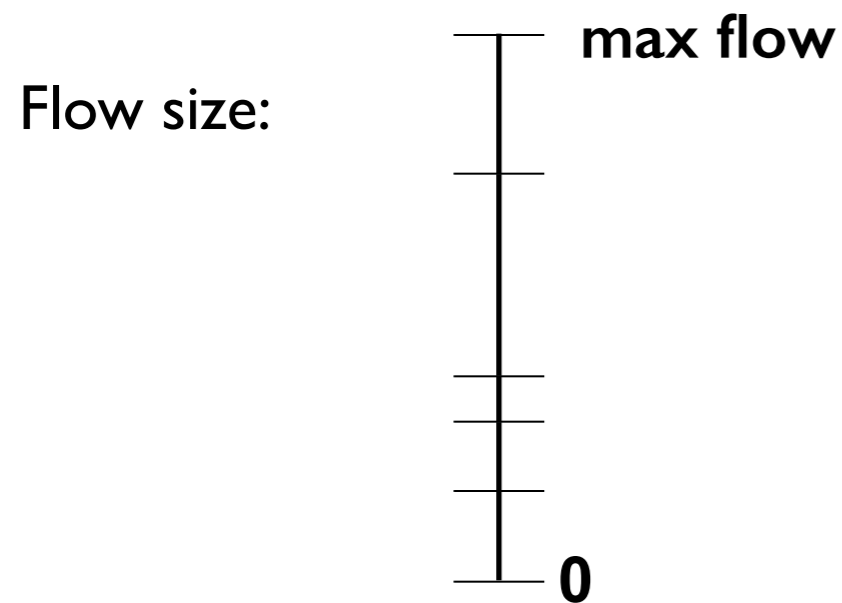
FF Algorithm: Start with zero flow

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Increase the flow along that path

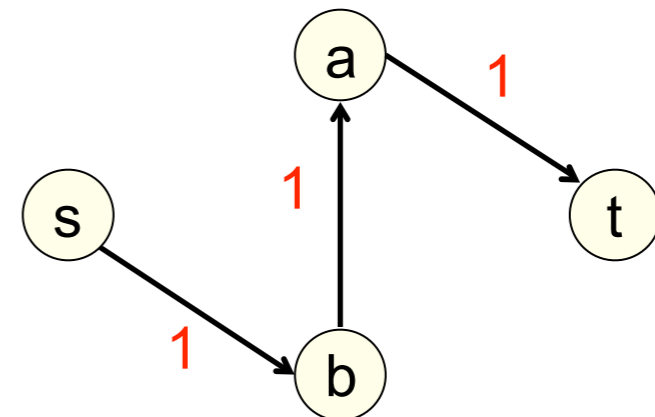
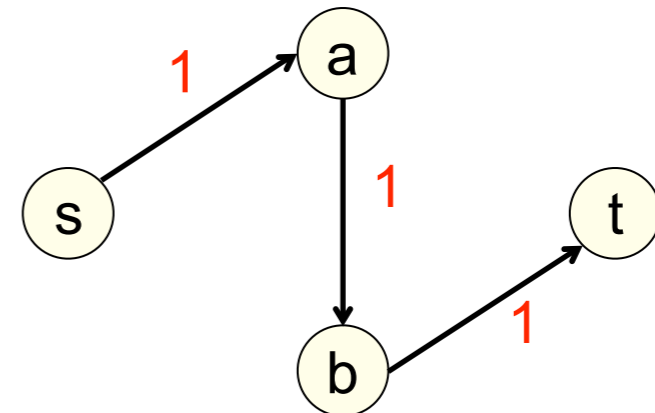
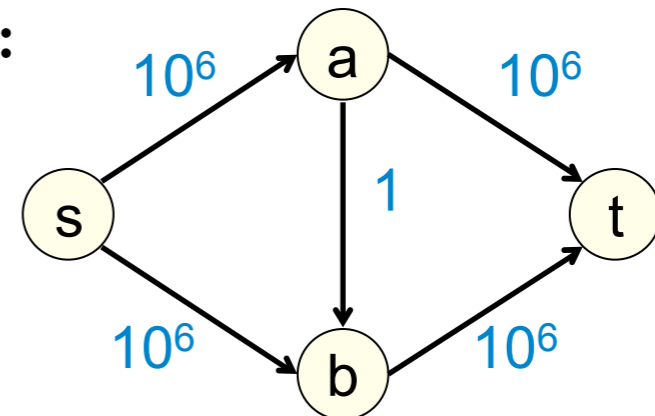
A hillclimbing procedure



Each iteration is fast ($O(|E|)$ time).

How many iterations are needed to reach the maximum flow?

Example:



#iterations can be Max Capacity

Analysis: efficiency

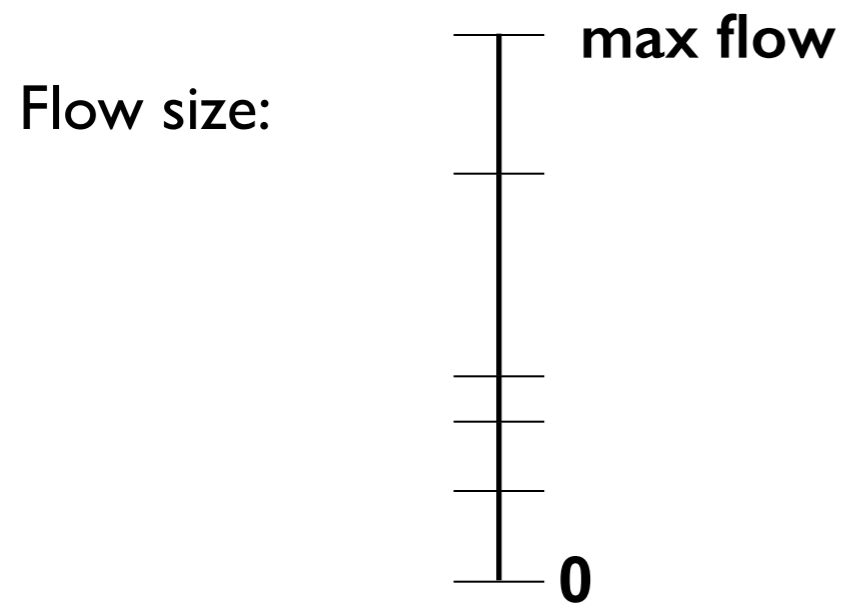
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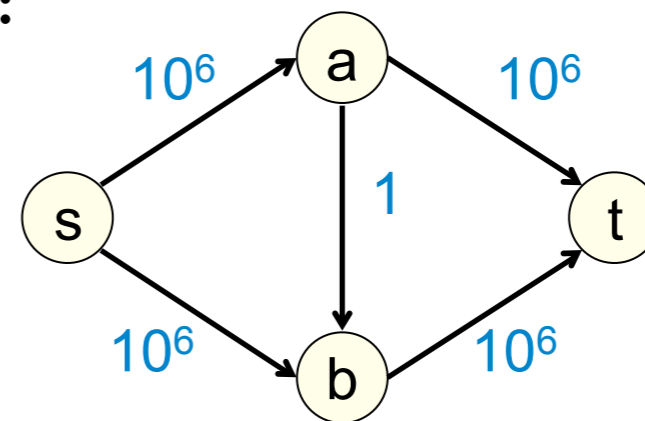
A hillclimbing procedure



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Example:

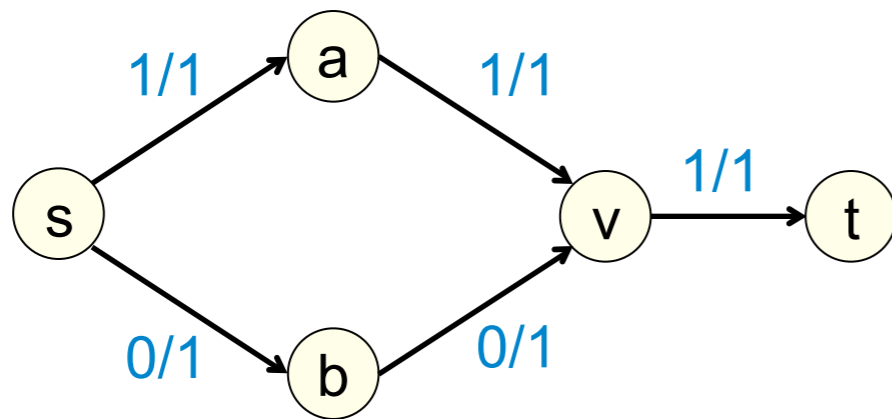


#iterations can be Max Capacity (with integer capacities)

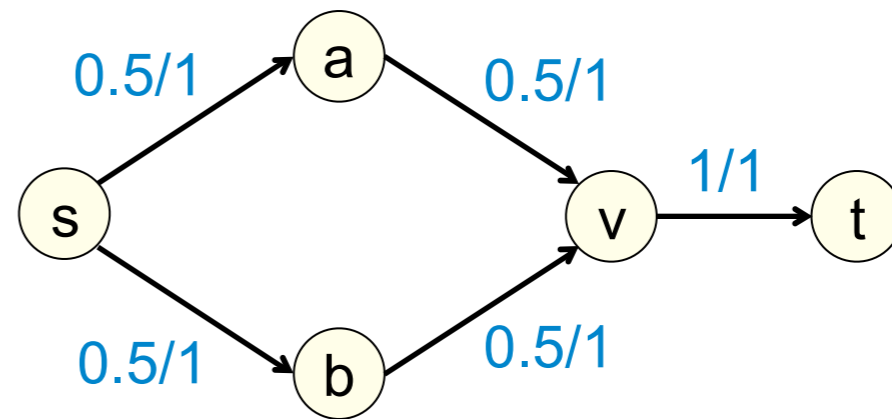
An Observation: Integrality

Integral Flows: A flow f is integral if $f(e)$ is an integer for all e

Example:



An Integral Flow



A Fractional Flow

Property: If all edge capacities are integers, then, there is a max flow f which is integral.

Proof: If the edge capacities are integers, then, the FF algorithm always finds an integral flow
The FF algorithm also always finds a max flow.

Note: All max flows are not necessarily integral flows!

How to improve the efficiency?

- Ford-Fulkerson Style Algorithms:
 - Edmonds Karp
 - Capacity Scaling
- Preflow-Push

Edmonds Karp

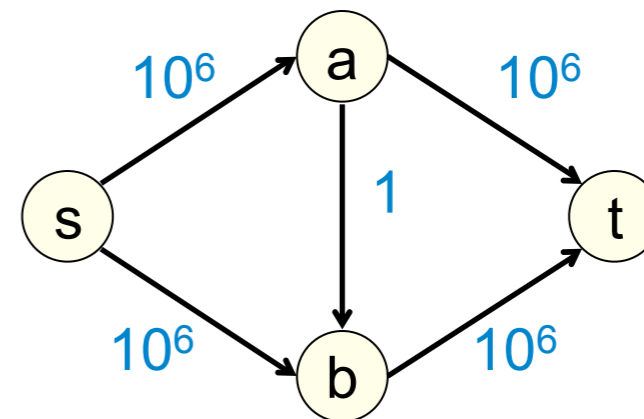
FF Algorithm: Start with zero flow

Repeat:

Find a path from s to t along which
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Increase the flow along that path

Bad Example for FF:



Bad Path Sequence:

$(s, a, b, t), (s, b, a, t), (s, a, b, t), \dots$

EK Path Selection: Find the **shortest path** along which flow can be increased
(shortest path = shortest in terms of #edges)

It can be shown that this requires only $O(|V||E|)$ iterations (Proof not in this class)

Running Time: $O(|V| |E|^2)$

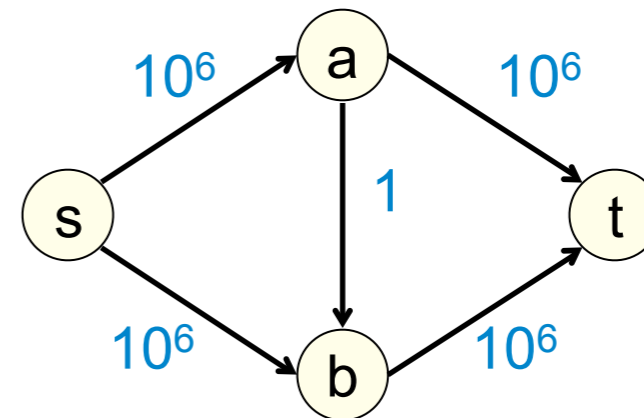
Edmonds Karp

EK Algorithm: Start with zero flow

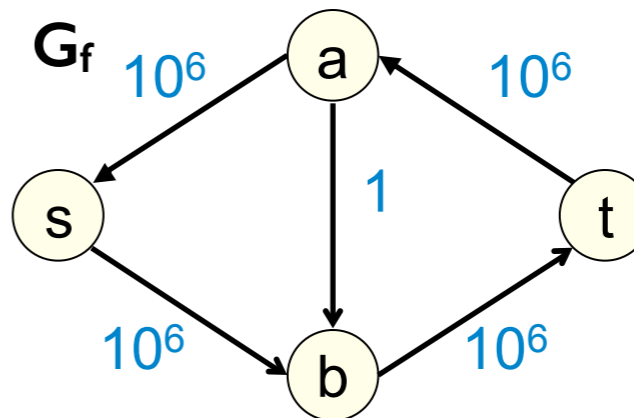
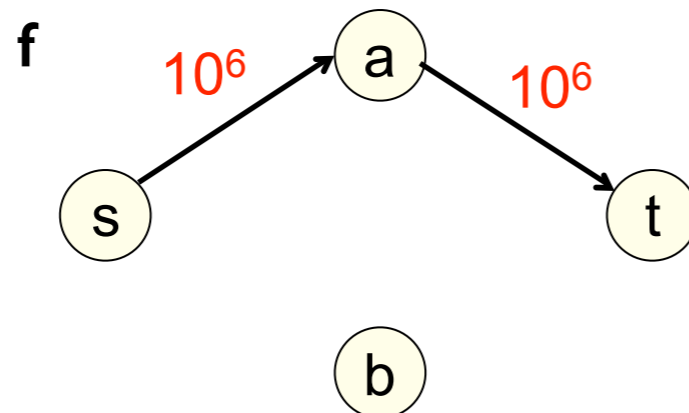
Repeat:

Find the **shortest path** from s to t
 along which flow can be increased
 Increase the flow along that path

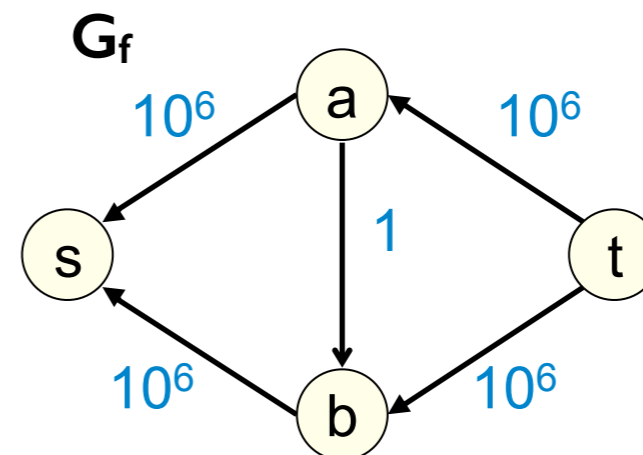
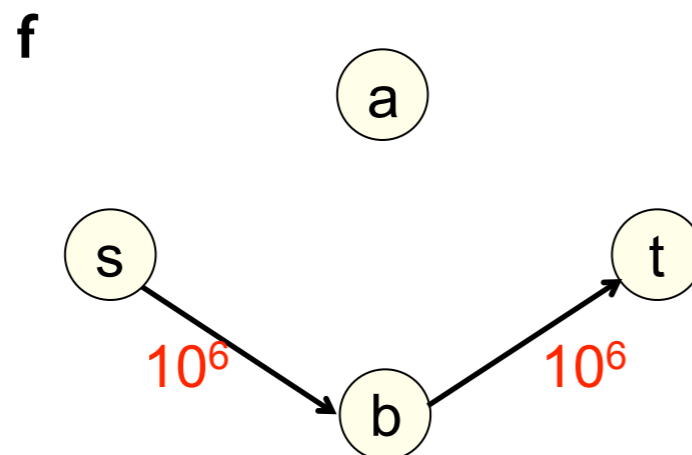
Bad Example for FF:



Iteration 1



Iteration 2



How to improve the efficiency?

- Ford-Fulkerson Style Algorithms:
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Capacity Scaling

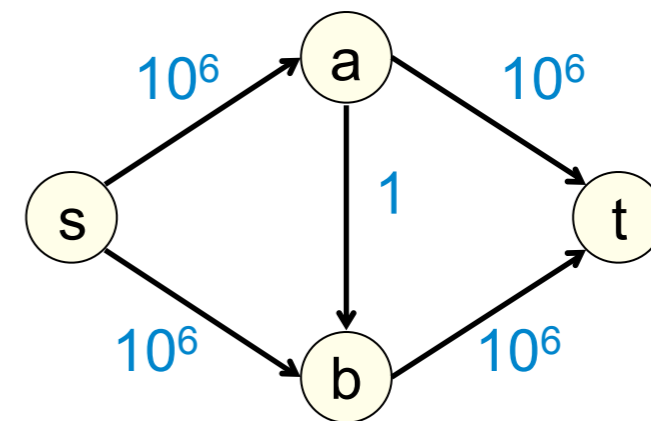
FF Algorithm: Start with zero flow

Repeat:

Find a path from s to t along which
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Increase the flow along that path

Bad Example:



Bad Path Sequence:

(s, a, b, t) , (s, b, a, t) , (s, a, b, t) ,...

Capacity Scaling: Find paths of high capacity first between s and t

Capacity Scaling

C_{\max} = max capacity edge. Start with $D = C_{\max}$

Start with zero flow

While $D \geq 1$, repeat:

$G_f(D)$ = D -residual graph

While there is a path from s to t in $G_f(D)$ along which flow can be increased

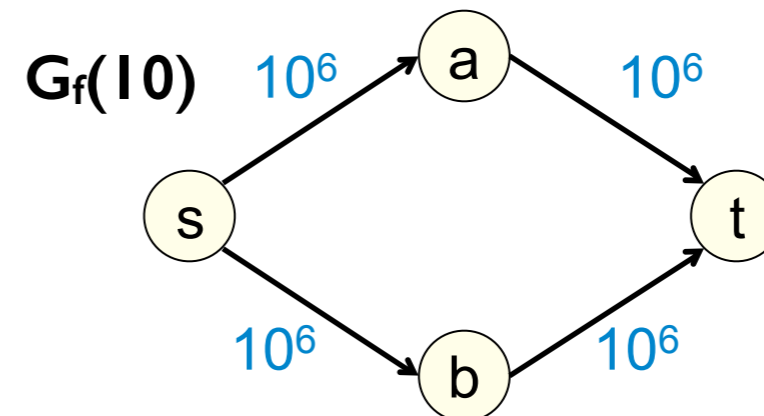
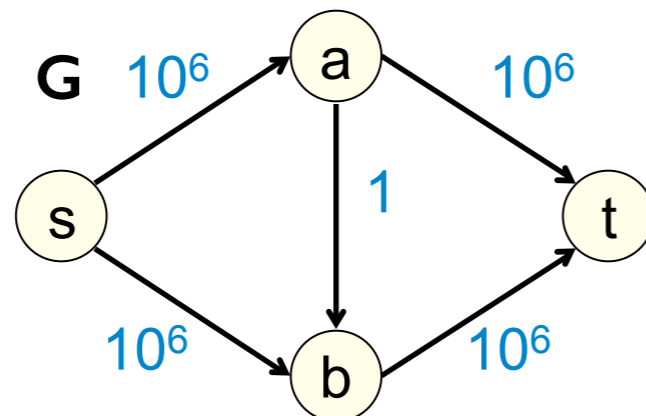
Increase flow along that path

Update $G_f(D)$

$D = D/2$

D-Residual Graph: Subgraph of residual graph with only edges with capacity $\geq D$

Example: For $f = 0$



Capacity Scaling: Correctness

C_{\max} = max capacity edge. Start with $D = C_{\max}$

Start with zero flow

While $D \geq 1$, repeat:

$G_f(D)$ = D -residual graph

While there is a path from s to t in $G_f(D)$ along which flow can be increased

Increase flow along that path

Update $G_f(D)$

$D = D/2$

D-Residual Graph: Subgraph of residual graph with only edges with capacity $\geq D$

Property: If all edge capacities are integers, algorithm outputs a max flow

Proof: At $D=1$, $G_f(D) = G_f$. So on termination, $G_f(D)$ has no more paths from s to t

Capacity Scaling: Running Time

C_{\max} = max capacity edge. Start with $D = C_{\max}$

Start with zero flow

1

While $D \geq 1$, repeat:

$G_f(D)$ = D -residual graph

D scaling phase

2

While there is a path from s to t in $G_f(D)$ along which flow can be increased

Increase flow along that path

Update $G_f(D)$

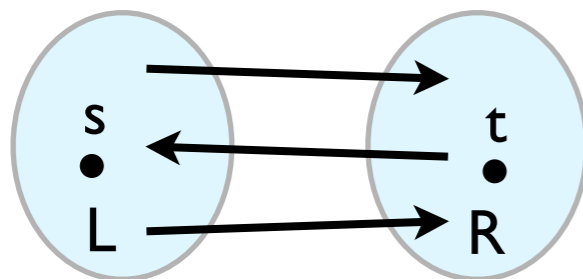
$D = D/2$

D-Residual Graph: Subgraph of residual graph with only edges with capacity $\geq D$

Property 1: While loop 1 is executed $1 + \log_2 C_{\max}$ times

Property 2: At the end of a D -scaling phase, $\text{size}(\text{max flow}) \leq \text{size}(\text{current flow}) + D|E|$

Proof: Let L = nodes reachable from s in $G_f(D)$ and let R = rest of nodes = $V - L$

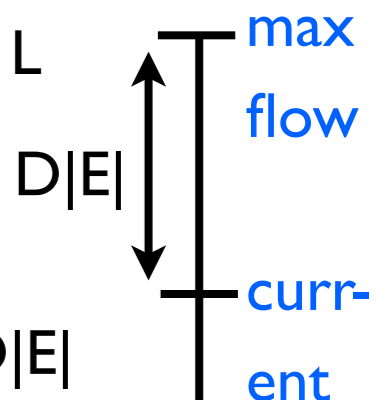


#edges in $G_f(D)$ in the (L, R) cut = 0

#edges in G_f in the (L, R) cut $\leq |E|$

Capacity of each such edge $< D$

Thus, $\text{size}(\text{max flow}) \leq \text{capacity}(L, R) \leq \text{size}(f) + D|E|$



Capacity Scaling: Running Time

C_{\max} = max capacity edge. Start with $D = C_{\max}$

Start with zero flow

1 While $D \geq 1$, repeat:

$G_f(D)$ = D -residual graph

D scaling phase

2 While there is a path from s to t in $G_f(D)$ along which flow can be increased

Increase flow along that path

Update $G_f(D)$

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D-Residual Graph: Subgraph of residual graph with only edges with capacity $\geq D$

Property 1: While loop 1 is executed $1 + \log_2 C_{\max}$ times

Property 2: At the end of a D -scaling phase, $\text{size}(\text{max flow}) \leq \text{size}(\text{current flow}) + D|E|$

Property 3: For any D , #iterations of loop 2 in the D -scaling phase $\leq 2|E|$

Total Running Time: $O(|E|^2(1 + \log_2 C_{\max}))$

(Recall: Time to find a flow path in a residual graph = $O(|E|)$)

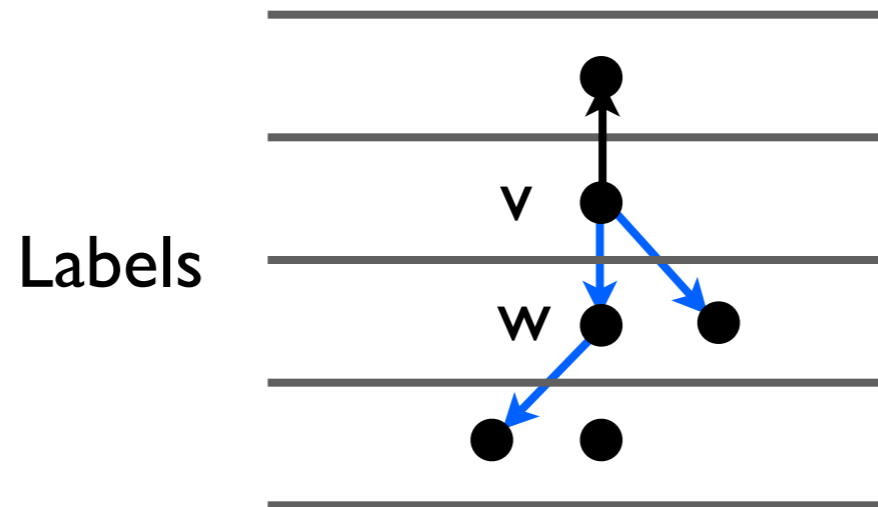
How to improve the efficiency?

- Ford-Fulkerson Style Algorithms:
 - Edmonds Karp
 - Capacity Scaling
- Preflow-Push

Preflow-Push

Main Idea:

- Each node has a label, which is a potential
- Route flow from high to low potential



Idea: Route flow along blue edges

Preflows

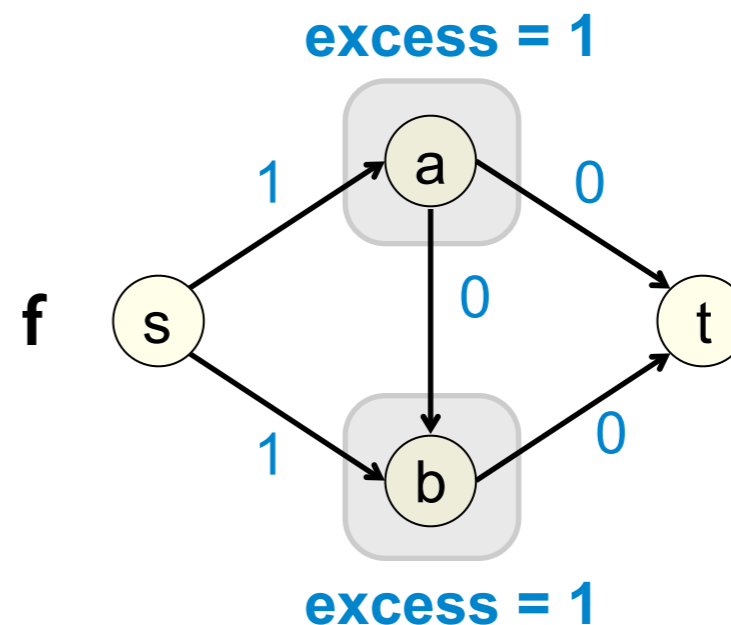
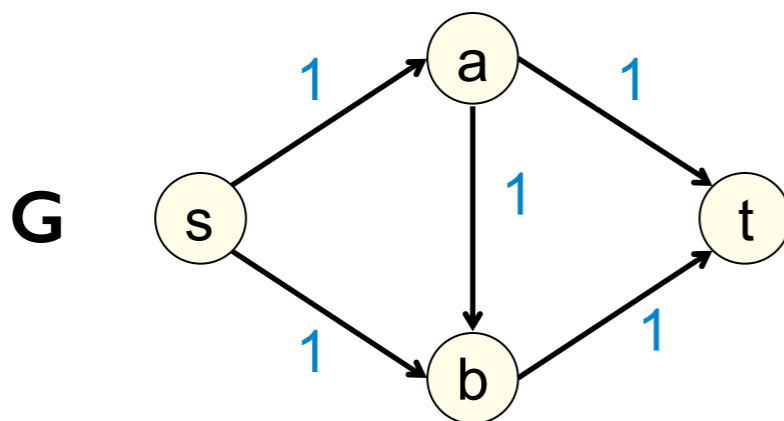
Preflow: A function $f: E \rightarrow \mathbb{R}$ is a preflow if:

1. **Capacity Constraints:** $0 \leq f(e) \leq c(e)$
2. Instead of conservation constraints:

$$\sum_{e \text{ into } v} f(e) - \sum_{e \text{ out of } v} f(e) \geq 0$$

$$\text{Excess}(v) = \sum_{e \text{ into } v} f(e) - \sum_{e \text{ out of } v} f(e)$$

Example



Preflow-Push: Two Operations

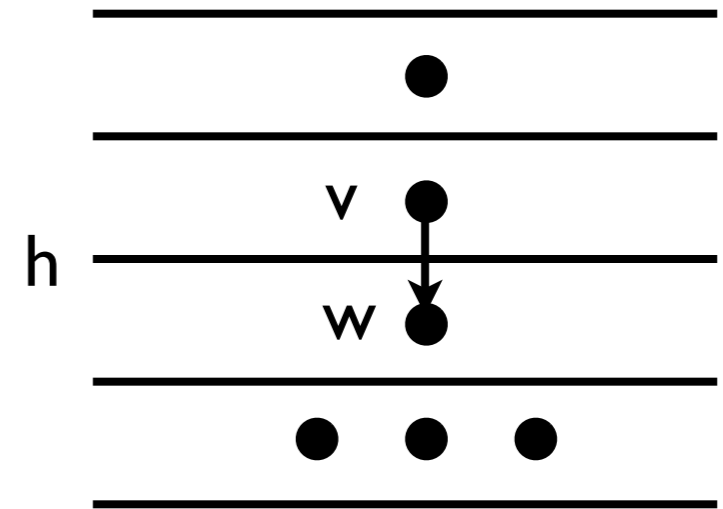
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$$\text{Excess}(v) = \sum_{e \text{ into } v} f(e) - \sum_{e \text{ out of } v} f(e)$$



Labeling h assigns a non-negative integer label $h(v)$ to all v in V

Push(v, w): Applies if $\text{excess}(v) > 0$, $h(w) < h(v)$, $(v, w) \in E_f$

$$q = \min(\text{excess}(v), c_f(v, w))$$

Add q to $f(v, w)$

Relabel(v): Applies if $\text{excess}(v) > 0$, for all w s.t. $(v, w) \in E_f$, $h(w) \geq h(v)$

Increase $h(v)$ by 1

Pre-Flow Push: The Algorithm

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for all other v

Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, for all other edges e

While there is a node (other than t) with positive excess

Pick a node v with $\text{excess}(v) > 0$

If there is an edge (v, w) in E_f such that $\text{push}(v, w)$ can be applied

Push(v, w)

Else

Relabel(v)

Push(v, w): Applies if $\text{excess}(v) > 0, h(w) < h(v), (v, w)$ in E_f

$q = \min(\text{excess}(v), c_f(v, w))$

Add q to $f(v, w)$

Relabel(v): Applies if $\text{excess}(v) > 0$, for all w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Pre-Flow Push: An Example

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, ow

While there is a node (other than t) with positive excess

Pick a node v with $excess(v) > 0$

If there is an edge (v, w) in E_f s. t. $push(v, w)$ applies

Push(v, w)

Else

Relabel(v)

Push(v, w):

Applies if $excess(v) > 0, h(w) < h(v)$

$q = \min(excess(v), c_f(v, w))$

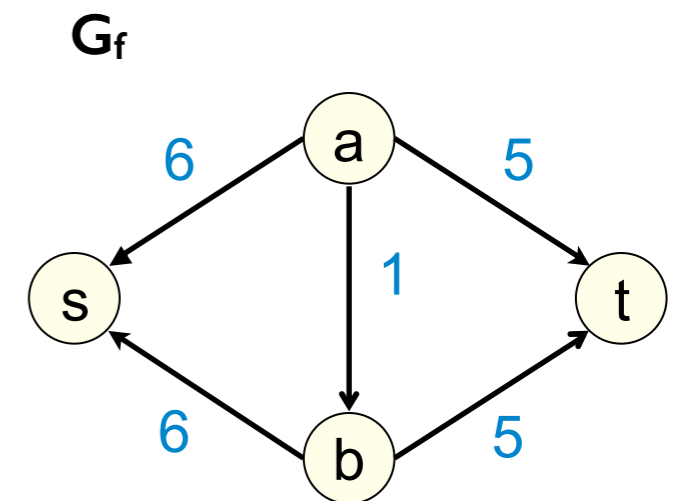
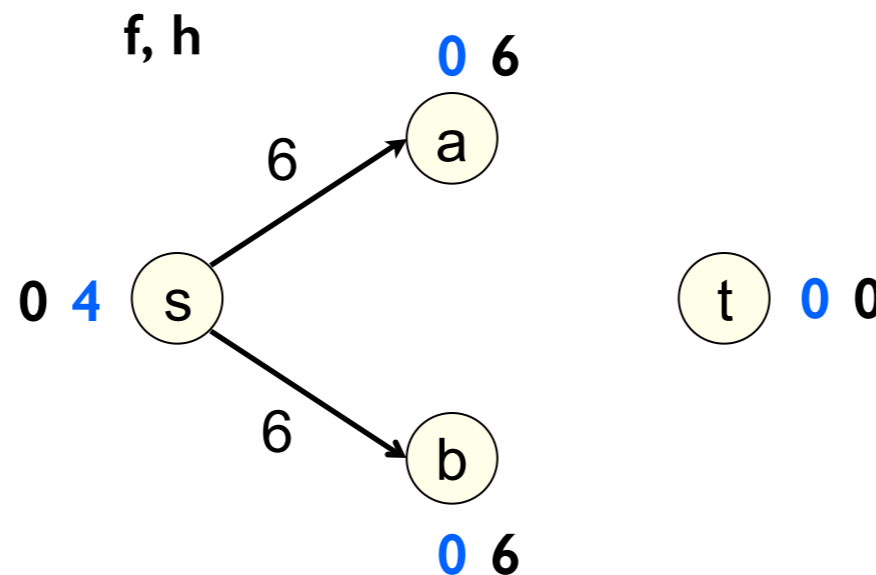
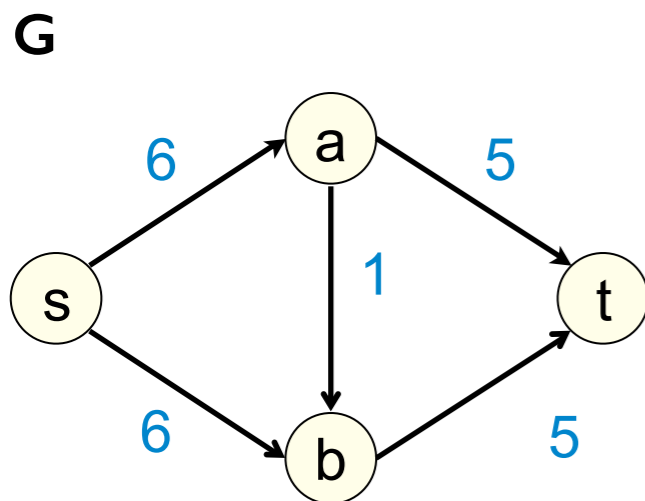
Add q to $f(v, w)$

Relabel(v):

Applies if $excess(v) > 0$ and for all

w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1



Labels

Excesses

Pre-Flow Push: An Example

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, ow

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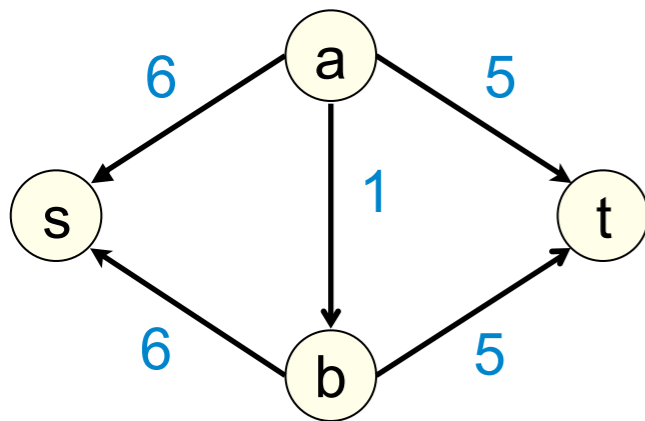
Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

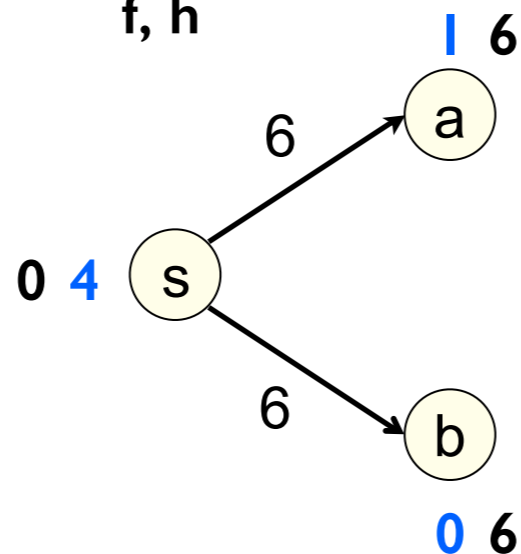
w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

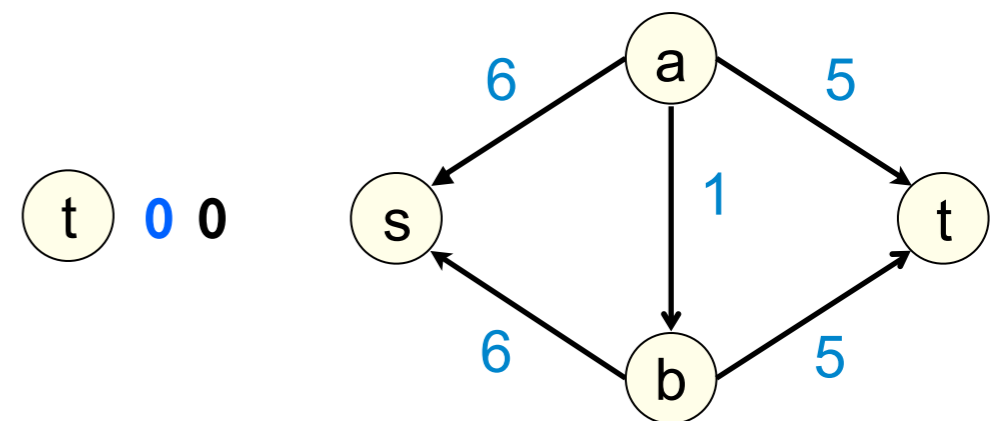
G_f (before)



f, h



G_f



Labels

Excesses

Pre-Flow Push: An Example

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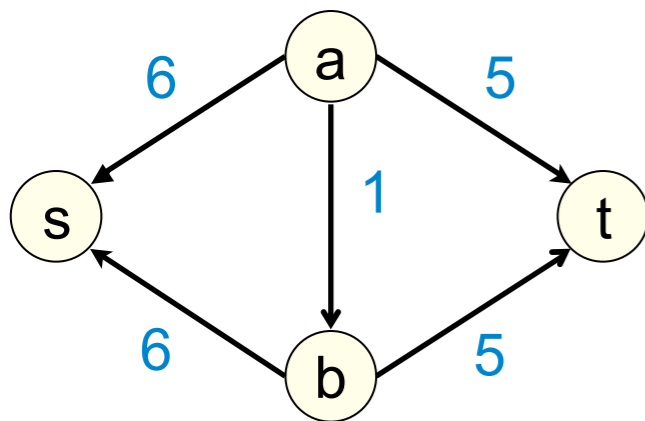
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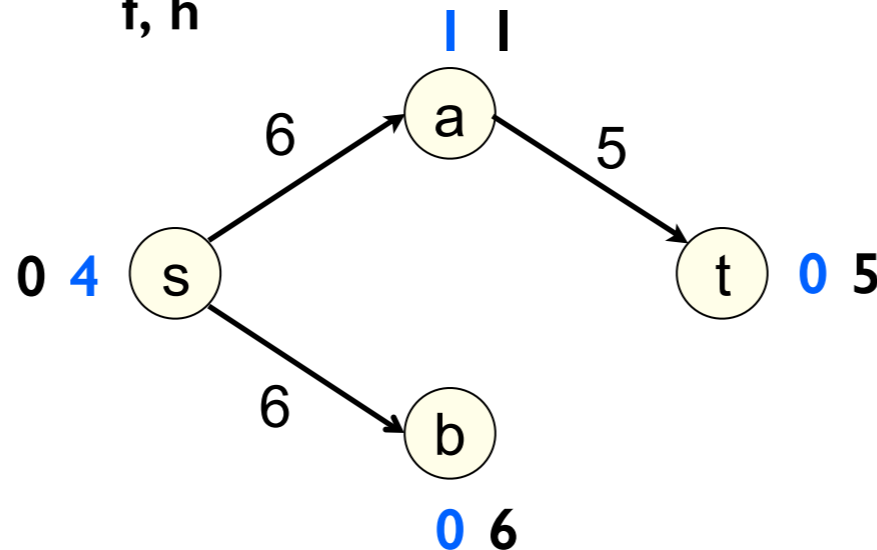
w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

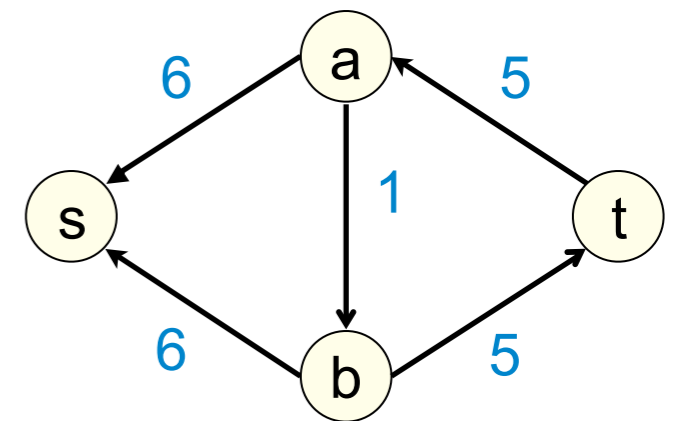
G_f (before)



f, h



G_f



Labels

Excesses

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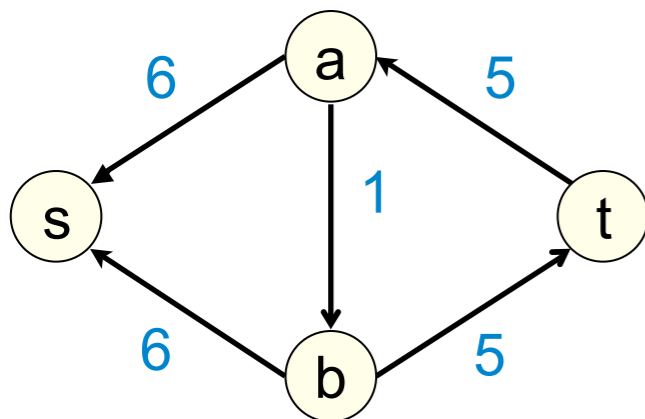
Relabel(v):

Applies if $excess(v) > 0$ and for all

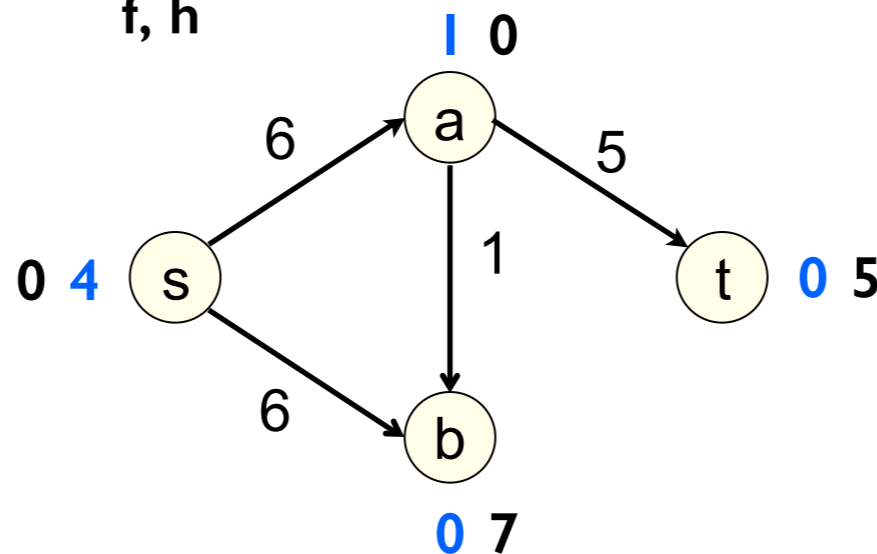
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Increase $h(v)$ by 1

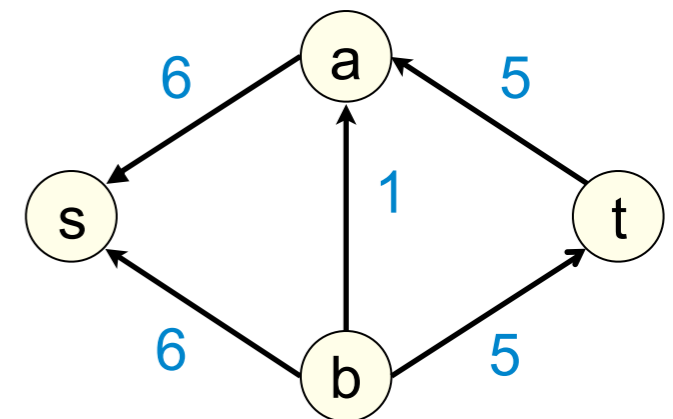
G_f (before)



f, h



G_f



Labels

Excesses

Pre-Flow Push: An Example

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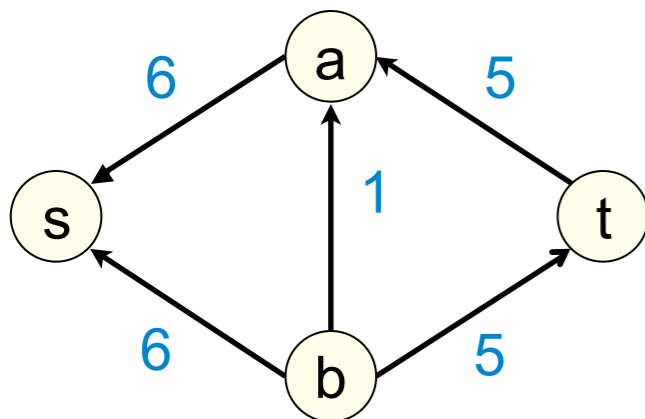
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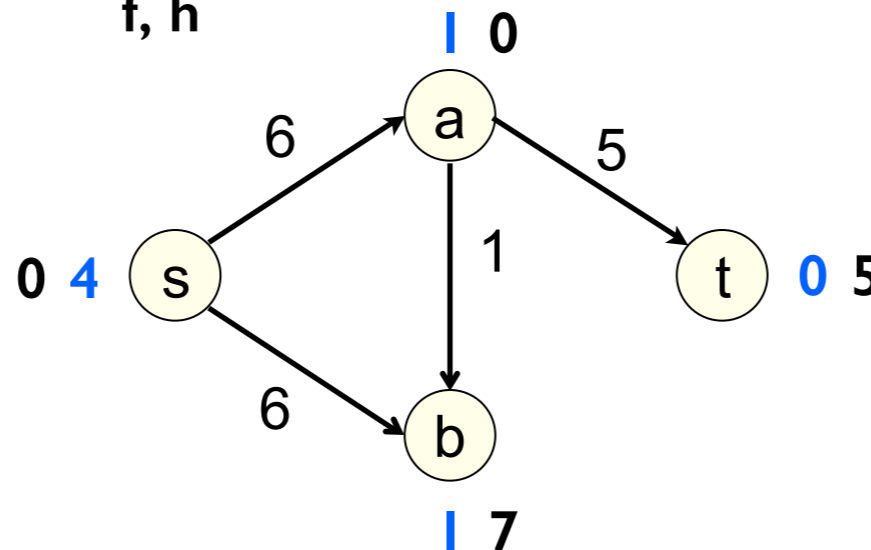
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Increase $h(v)$ by 1

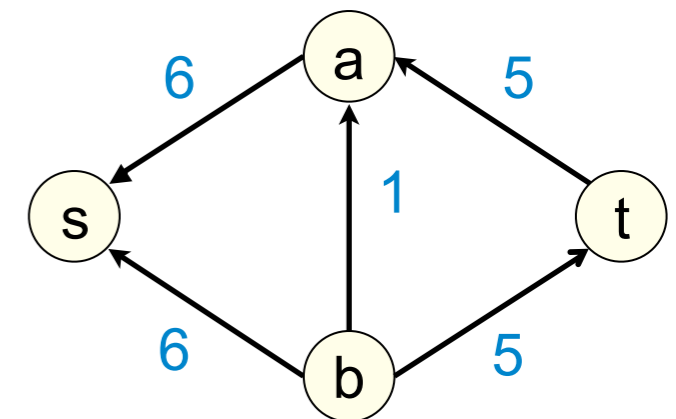
G_f (before)



f, h



G_f



Labels

Excesses

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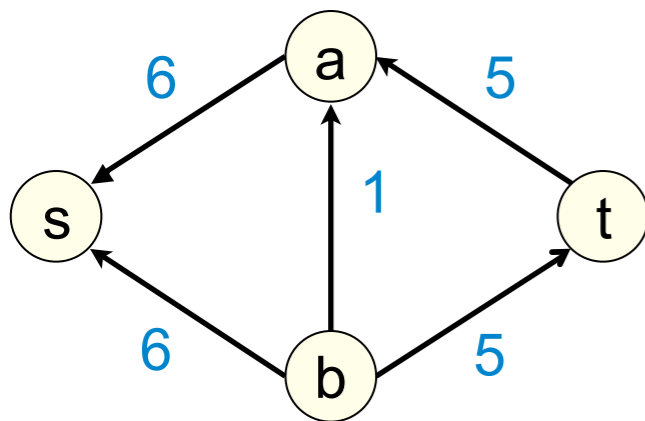
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Applies if $\text{excess}(v) > 0$ and for all

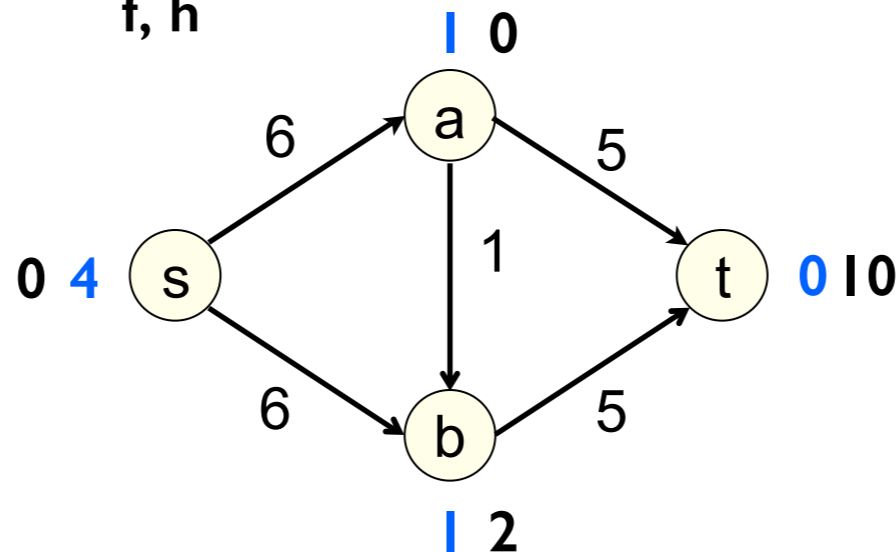
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Increase $h(v)$ by 1

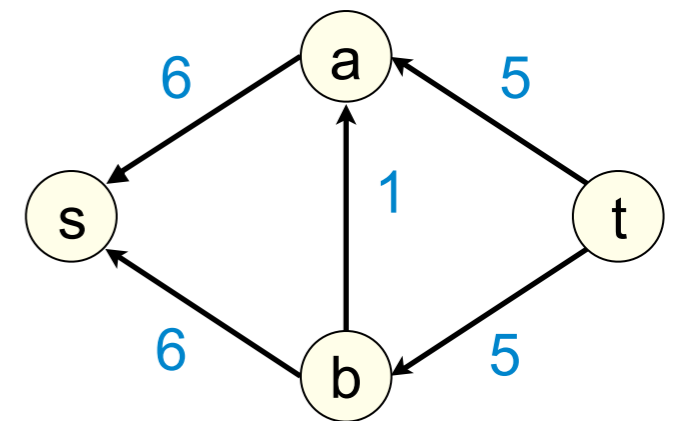
G_f (before)



f, h



G_f



Labels

Excesses

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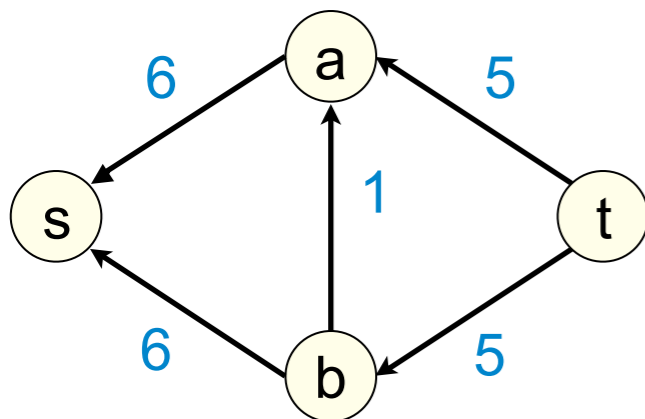
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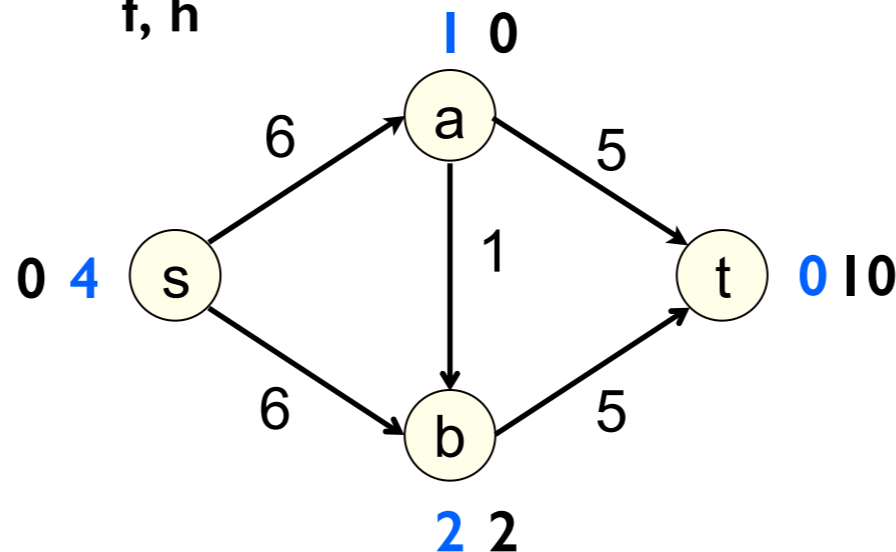
w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

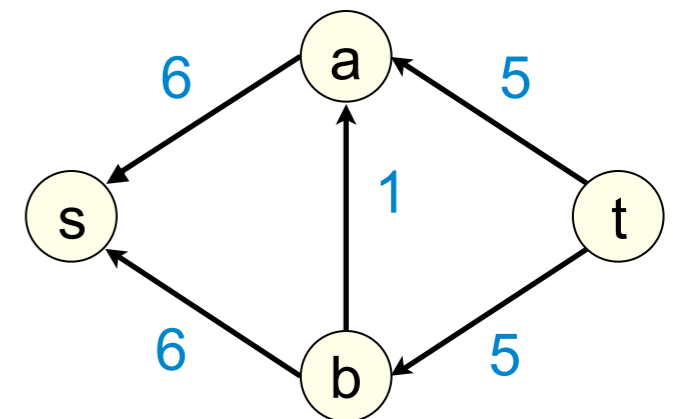
G_f (before)



f, h



G_f



Labels

Excesses

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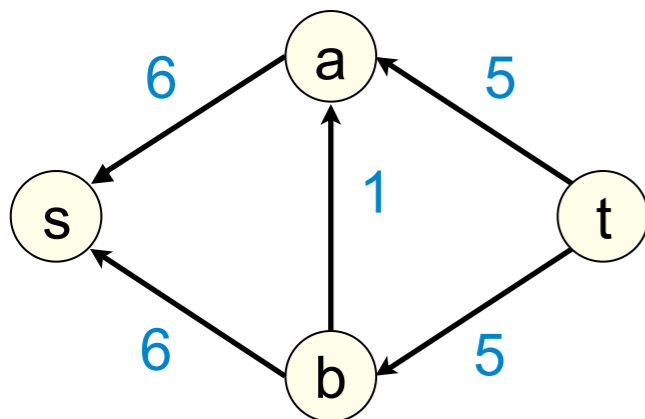
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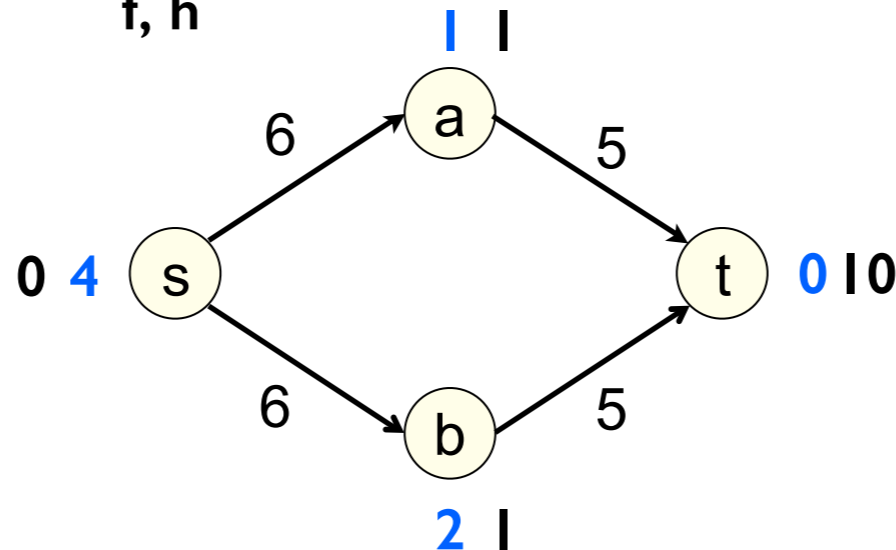
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Increase $h(v)$ by 1

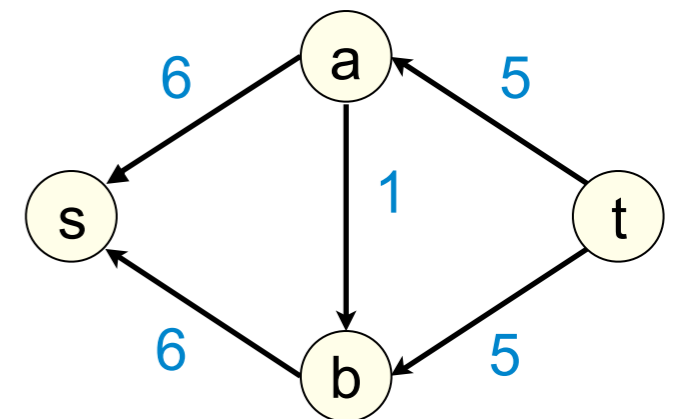
G_f (before)



f, h



G_f



Labels

Excesses

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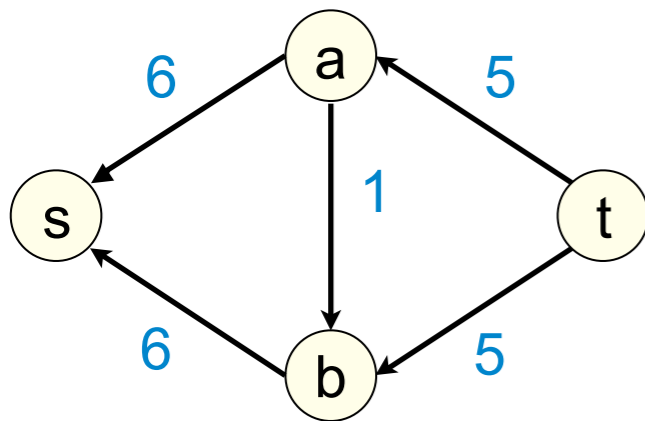
Relabel(v):

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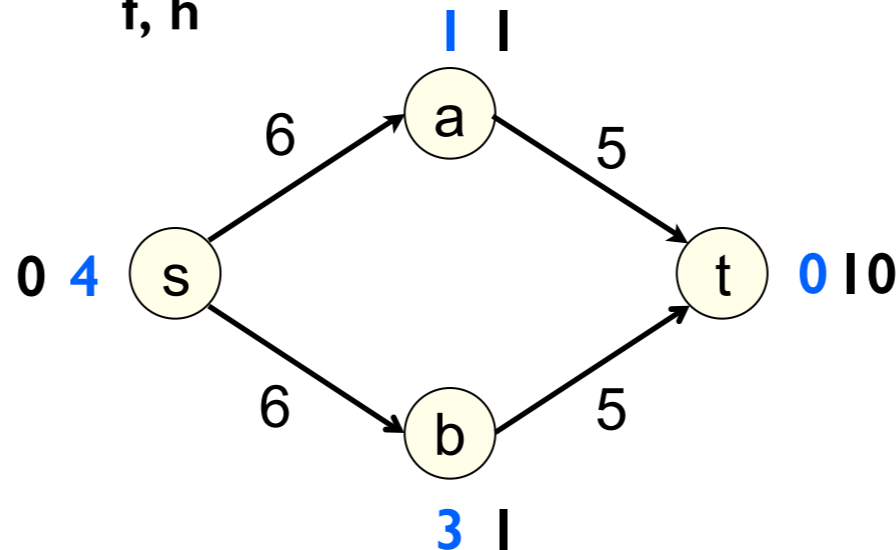
w s.t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

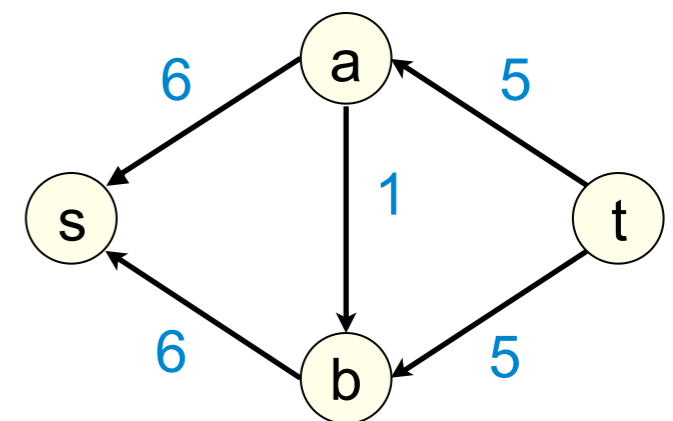
G_f (before)



f, h



G_f



Labels

Excesses

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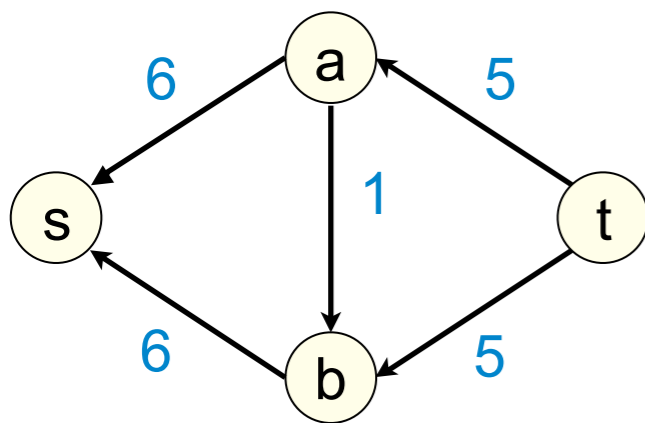
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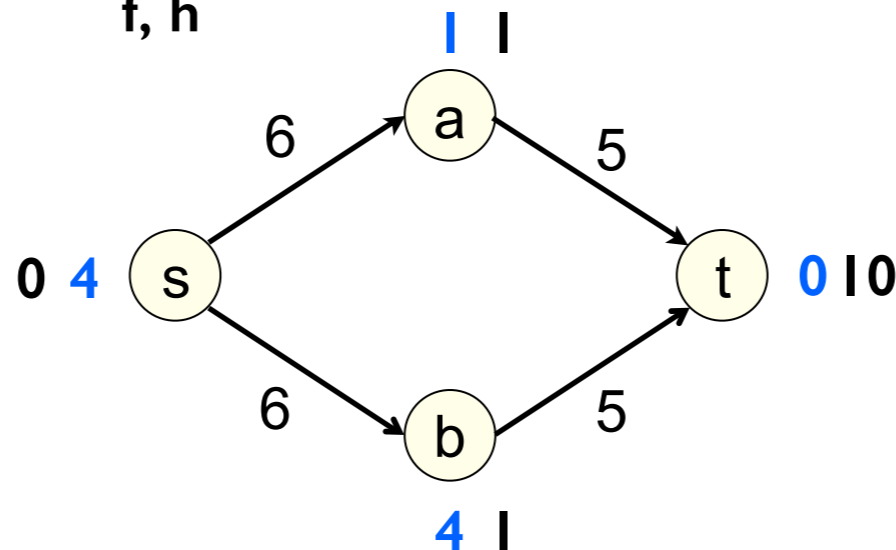
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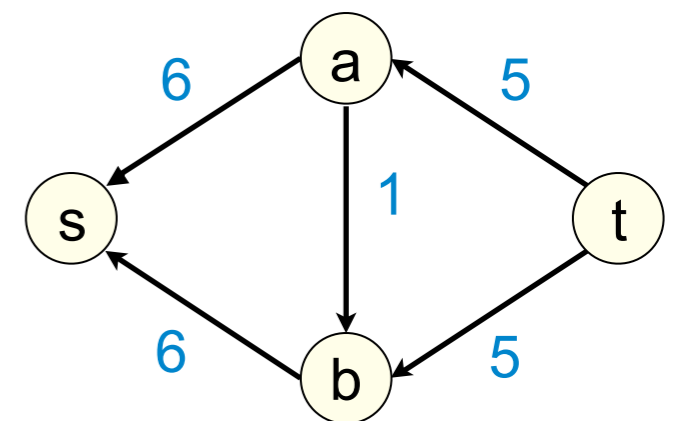
G_f (before)



f, h



G_f



Labels

Excesses

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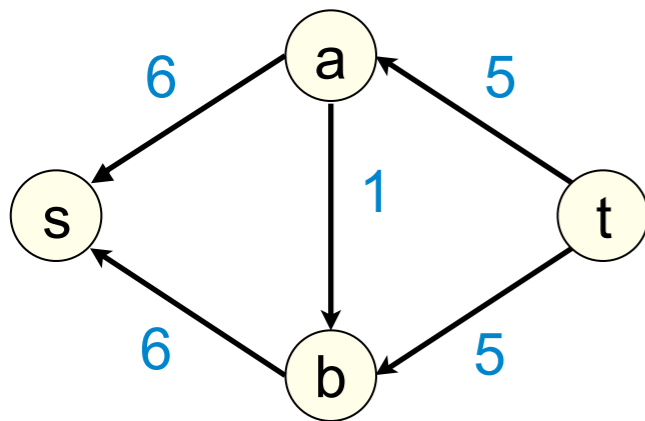
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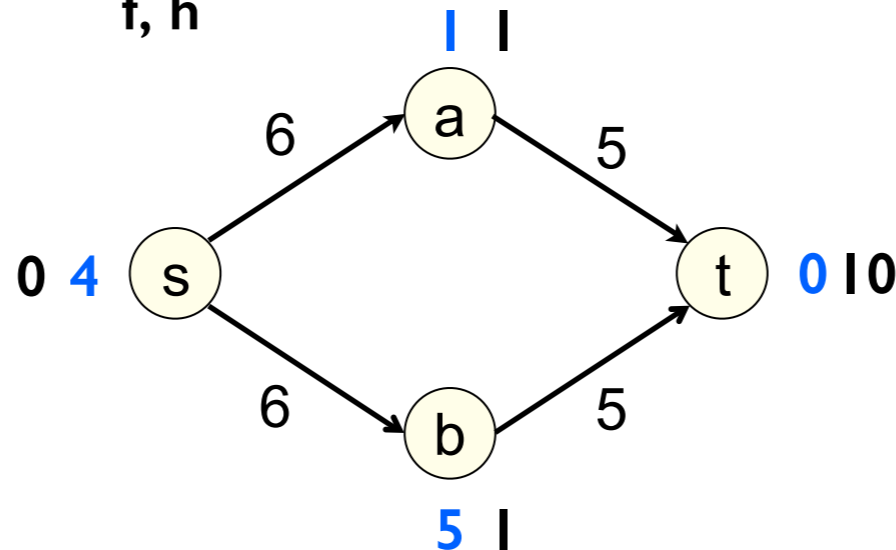
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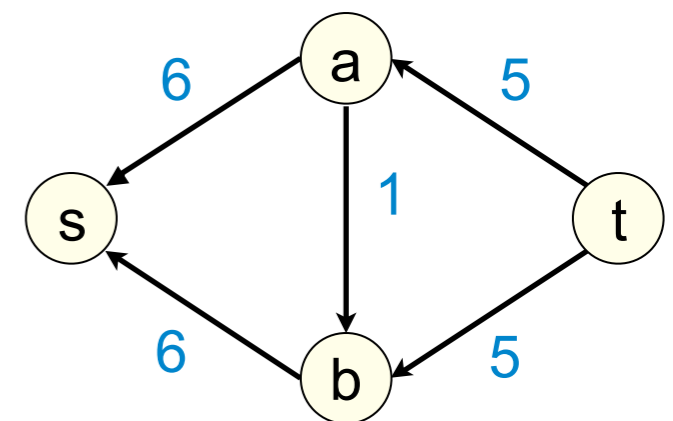
G_f (before)



f, h



G_f



Labels

Excesses

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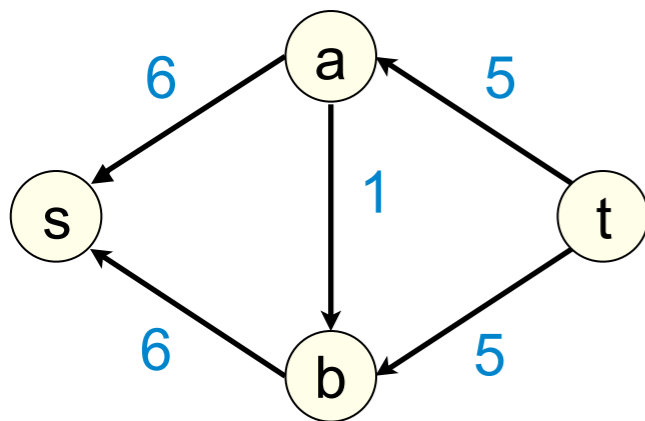
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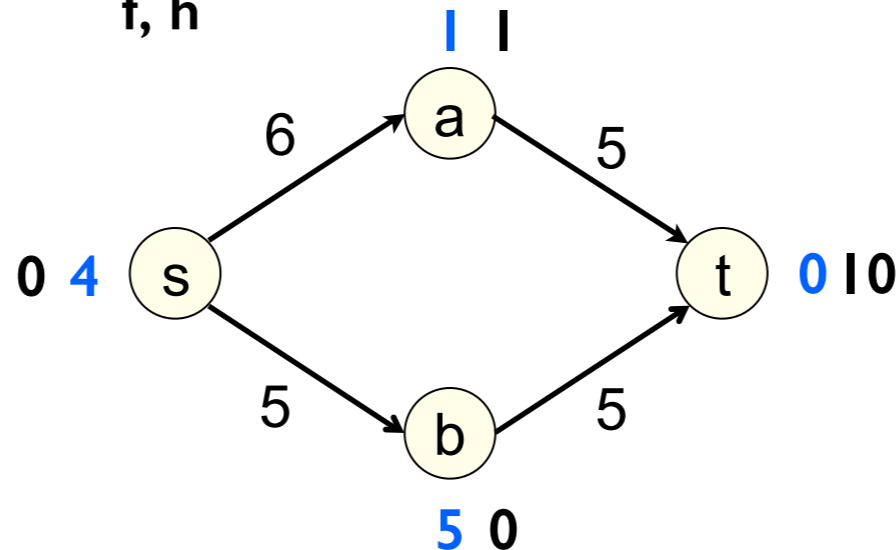
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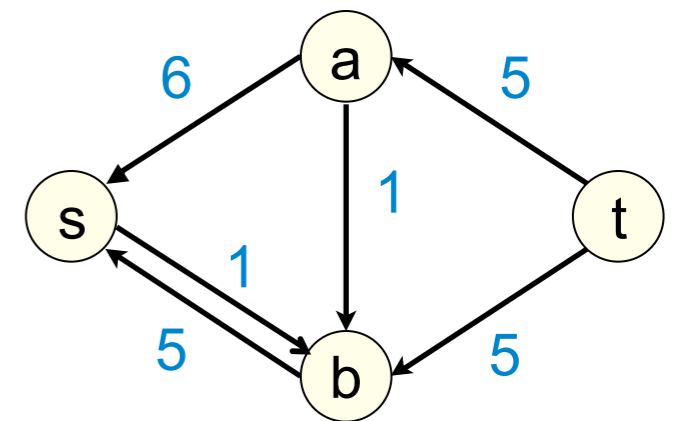
G_f (before)



f, h



G_f



Labels

Excesses

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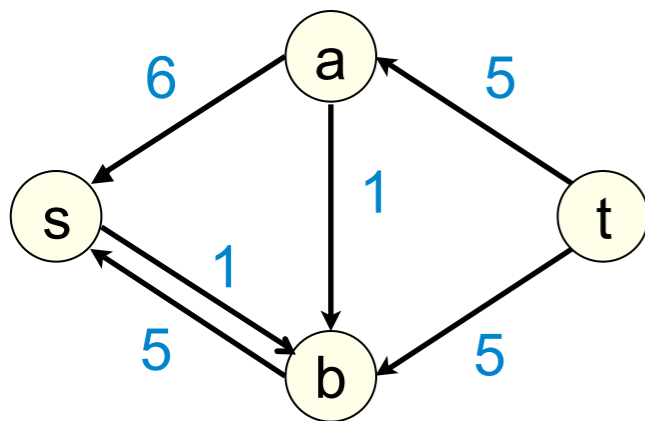
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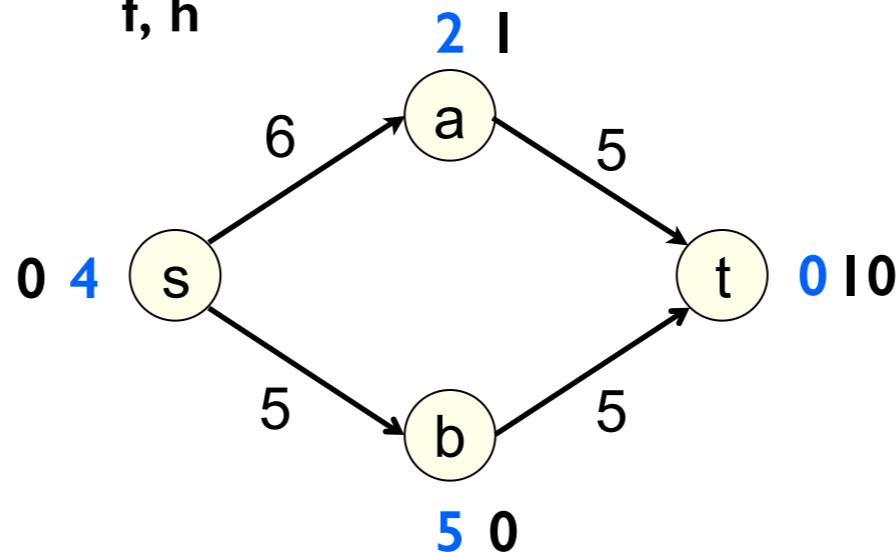
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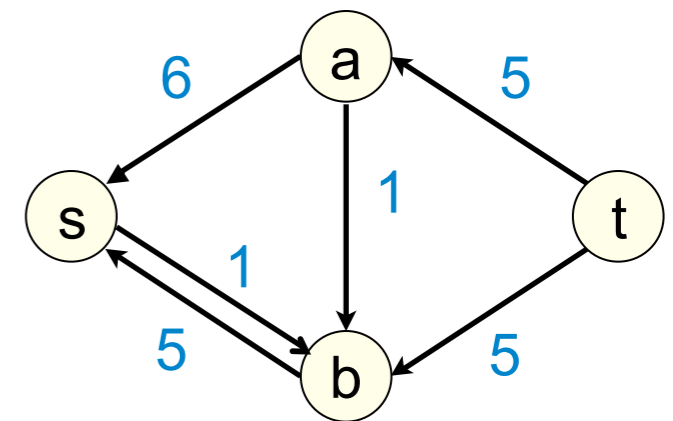
G_f (before)



f, h



G_f



Labels

Excesses

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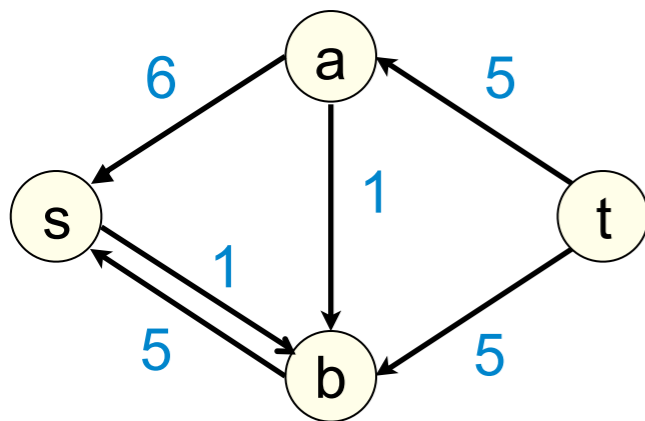
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Applies if $\text{excess}(v) > 0$ and for all

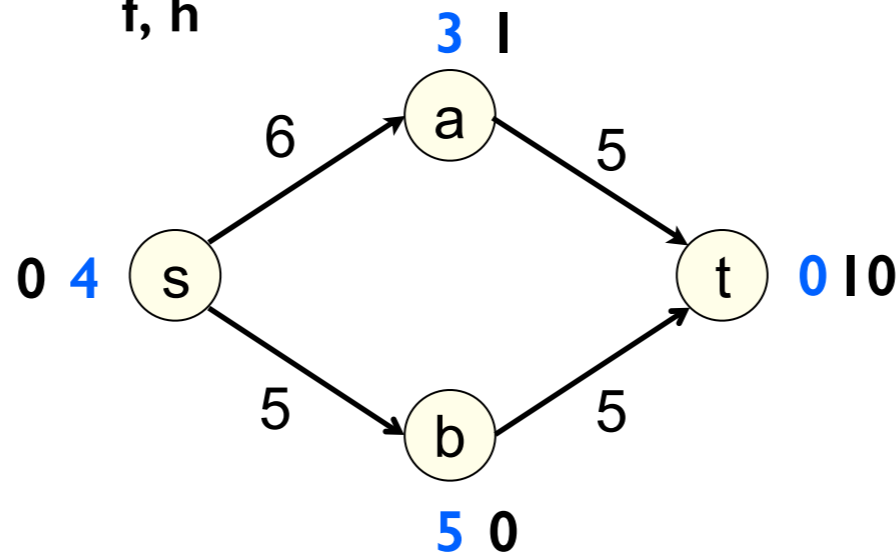
w s.t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

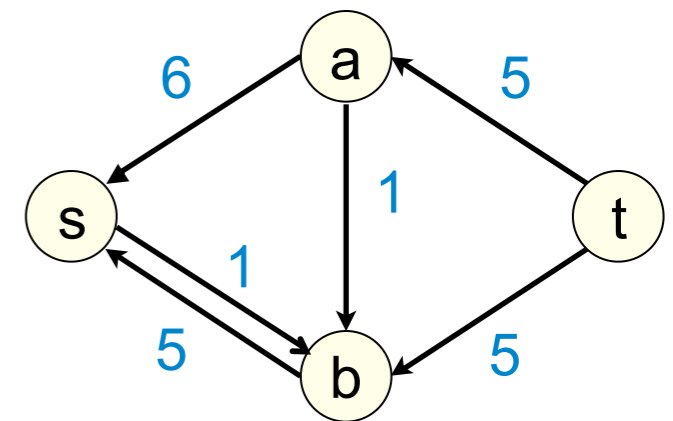
G_f (before)



f, h



G_f



Labels

Excesses

Pre-Flow Push: An Example

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

Pick a node v with $\text{excess}(v) > 0$

If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

 Push(v, w)

Else

 Relabel(v)

Push(v, w):

Applies if $\text{excess}(v) > 0, h(w) < h(v)$

$q = \min(\text{excess}(v), c_f(v, w))$

Add q to $f(v, w)$

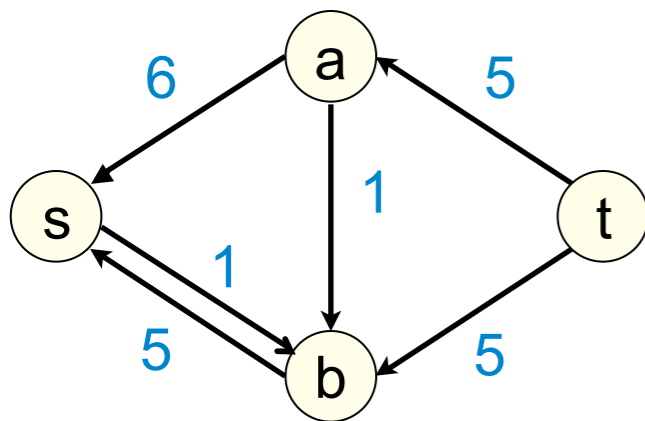
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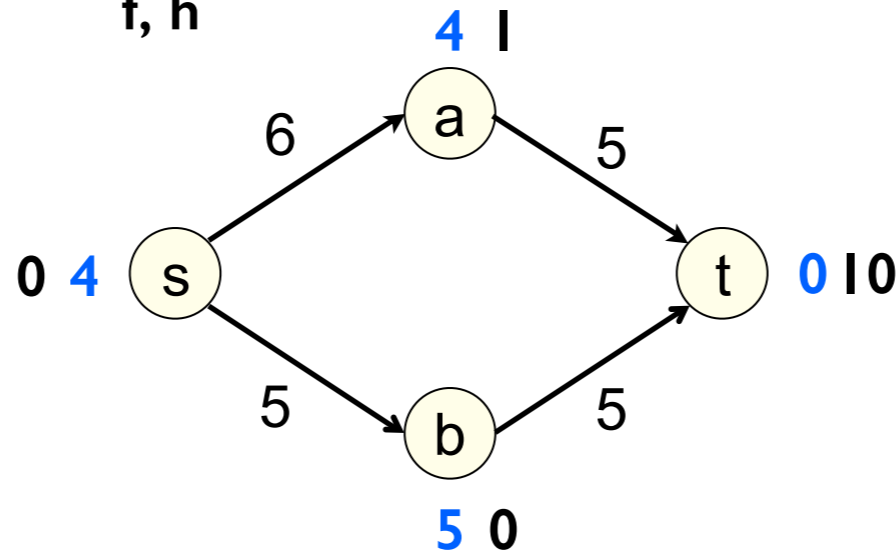
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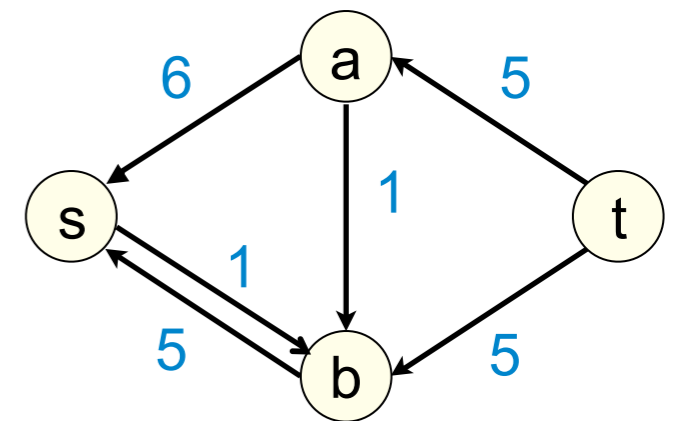
G_f (before)



f, h



G_f



Labels

Excesses

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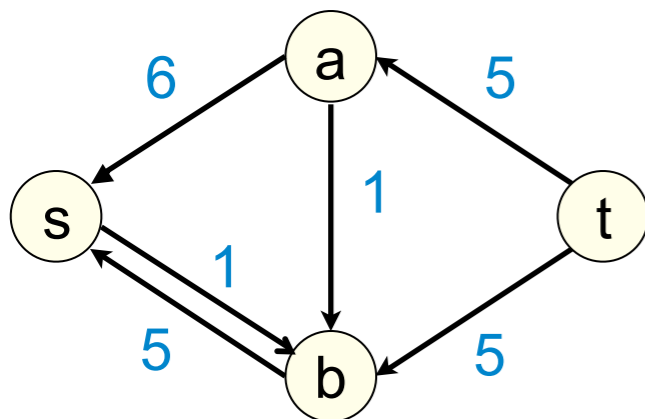
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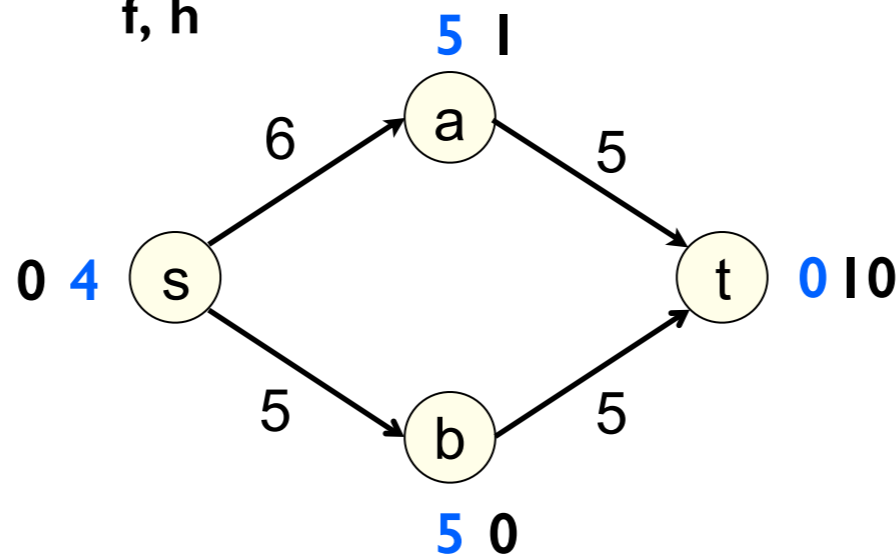
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Increase $h(v)$ by 1

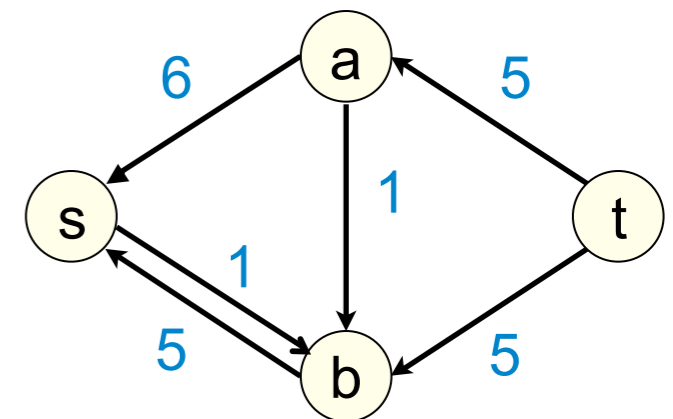
G_f (before)



f, h



G_f



Labels

Excesses

Pre-Flow Push: An Example

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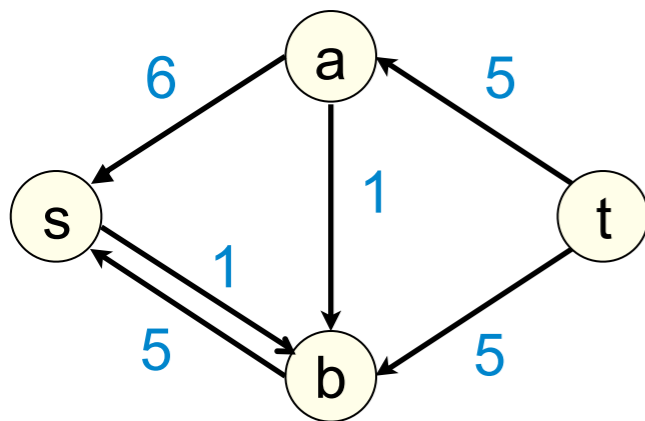
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Applies if $\text{excess}(v) > 0$ and for all

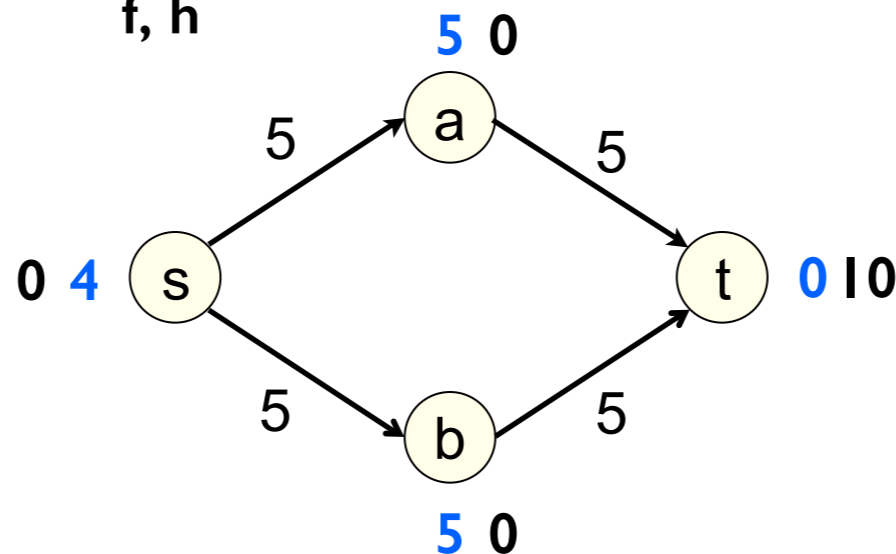
w s.t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

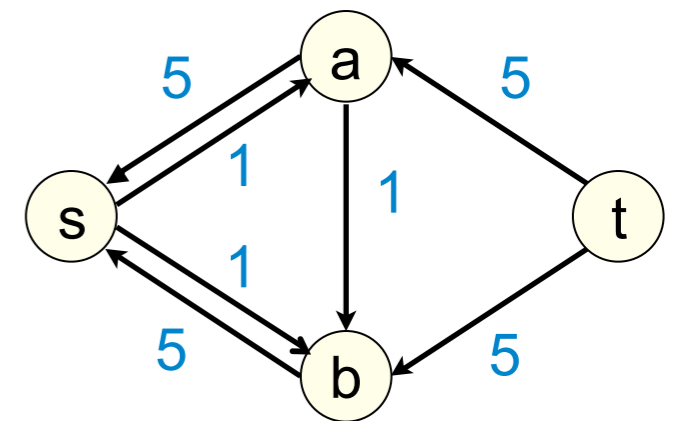
G_f (before)



f, h



G_f



Labels

Excesses

Pre-Flow Push

- Algorithm
- Correctness
- Running Time Analysis

Correctness: Proof Outline

Three Steps:

- **Compatibility:** Show that the preflow f and the labeling h maintained by the algorithm always obeys a **compatibility** property
- If a flow f is compatible with some labeling, then f is a max-flow
- Preflow-push outputs a flow on termination

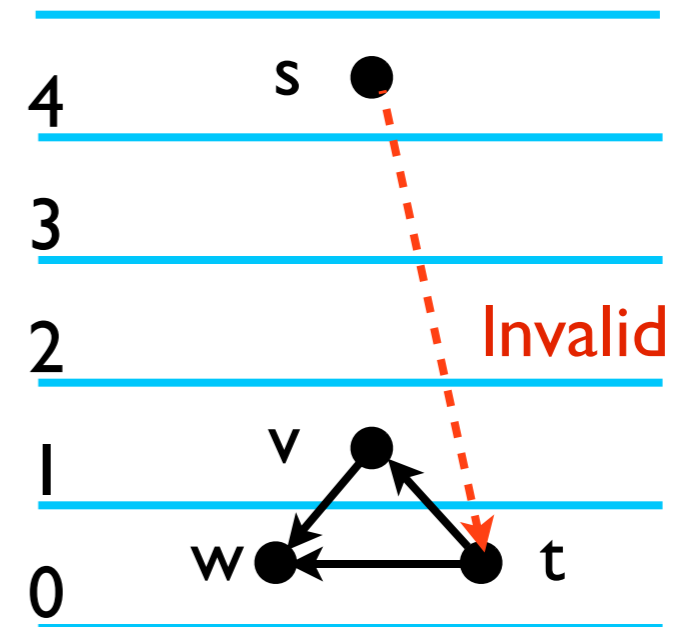
Correctness: Compatible Pre-Flows

Preflow: A function $f: E \rightarrow \mathbb{R}$ is a preflow if:

1. **Capacity Constraints:** $0 \leq f(e) \leq c(e)$
2. Instead of conservation constraints:

$$\sum_{e \text{ into } v} f(e) - \sum_{e \text{ out of } v} f(e) \geq 0$$

$$\text{Excess}(v) = \sum_{e \text{ into } v} f(e) - \sum_{e \text{ out of } v} f(e)$$



Preflow f and labeling h are compatible if:

1. $h(s) = n, h(t) = 0$
2. For all edges (v, w) in the residual graph G_f , $h(v) \leq h(w) + 1$

PreFlow Push: Correctness

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, on

While there is a node (other than t) with positive excess

Pick a node v with $\text{excess}(v) > 0$

If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

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Else

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Push(v, w):

Applies if $\text{excess}(v) > 0, h(w) < h(v)$

$q = \min(\text{excess}(v), c_f(v, w))$

Add q to $f(v, w)$

Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Preflow f and labeling l are **compatible** if:

1. $h(s) = n, h(t) = 0$

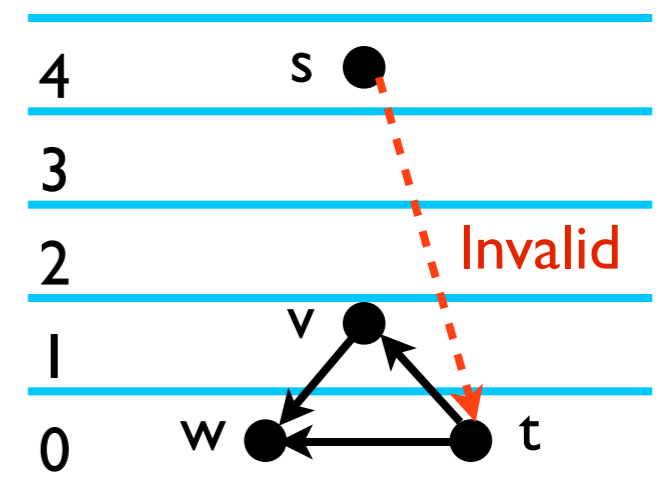
2. For all edges (v, w) in $G_f, h(v) \leq h(w) + 1$

Invariant: Preflow f and labeling h are always compatible over the Preflow-Push algorithm

Proof: By induction. Initially, compatible, as G_f has no (s, v) edges

Suppose f and h are compatible at time t . At time $t+1$:

- **Relabel:** Labels increase only if no downward edges in G_f
- **Push:** Edges in G_f may be reversed. If so, as we push from high to low h a downwards edge will become an upwards edge



Correctness: Proof Outline

Three Steps:

- Compatibility: Show that the preflow f and the labeling h maintained by the algorithm always obeys a **compatibility** property
- If a flow f is compatible with some labeling, then f is a max-flow
- Preflow-push outputs a flow on termination

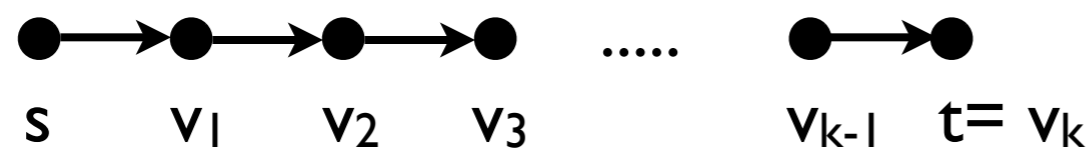
Properties of Compatible PreFlows

Preflow f and labeling h are compatible if:

1. $h(s) = n, h(t) = 0$
2. For all edges (v, w) in the residual graph $G_f, h(v) \leq h(w) + 1$

Property 1: If preflow f and labeling h are compatible, then there is no s - t path in G_f

Proof: Suppose there is an s - t path in G_f



Due to compatibility,

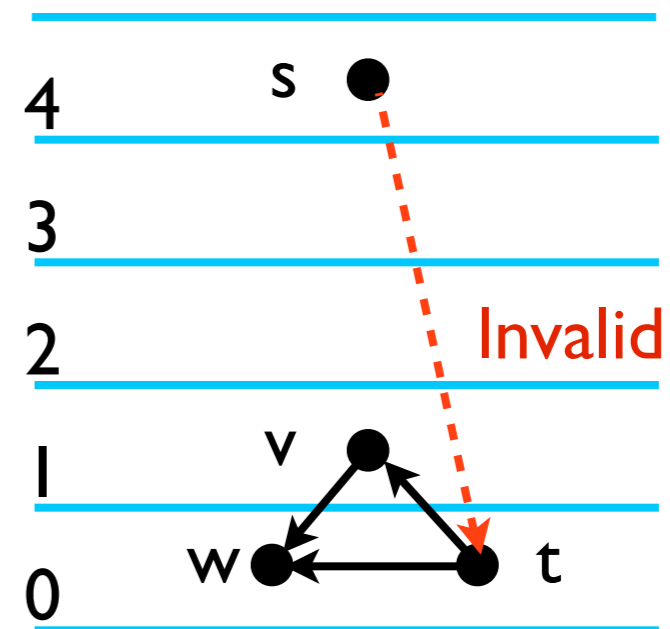
$$h(v_1) \geq h(s) - 1 = n - 1$$

$$h(v_2) \geq h(v_1) - 1 \geq n - 2$$

...

$$h(t) = h(v_k) - 1 \geq n - k > 0 \text{ (as } k < n \text{)}$$

Contradiction!



Properties of Compatible PreFlows

Preflow f and labeling h are compatible if:

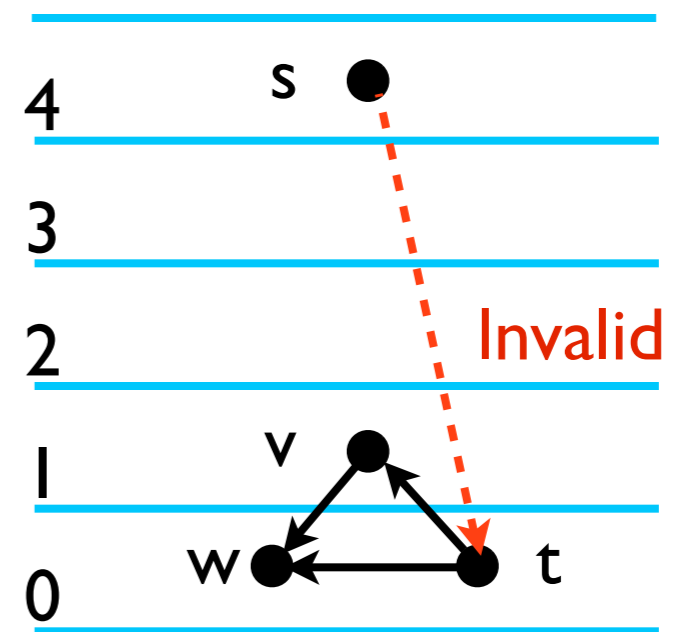
1. $h(s) = n, h(t) = 0$

2. For all edges (v, w) in the residual graph G_f , $h(v) \leq h(w) + 1$

Property 1: If preflow f and labeling h are compatible, then there is no s - t path in G_f

Property 2: If flow f and labeling h are compatible, then f is a max flow

Proof: From Property 1 and properties of max flow



Correctness: Proof Outline

Three Steps:

- Compatibility: Show that the preflow f and the labeling h maintained by the algorithm always obeys a **compatibility** property
- If a flow f is compatible with some labeling, then f is a max-flow
- Preflow-push outputs a flow on termination

PreFlow Push: Correctness

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
Start with preflow f : $f(e) = c(e)$ for $e = (s, v)$, $f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

Pick a node v with $\text{excess}(v) > 0$

If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

$\text{Push}(v, w)$

Else

$\text{Relabel}(v)$

Push(v, w):

Applies if $\text{excess}(v) > 0, h(w) < h(v)$

$q = \min(\text{excess}(v), c_f(v, w))$

Add q to $f(v, w)$

Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Invariant: Preflow f and labeling h are always compatible over the Preflow-Push algorithm

Fact: When Preflow-push stops, f is a **flow**

Proof: Why does Preflow-push stop?

- No valid push or relabel operation:

We can always relabel or push if $\text{excess}(v) > 0$ for some v

- No node v with $\text{excess}(v) > 0$:

Then f is a flow!

Preflow f and labeling h are compatible if:

1. $h(s) = n, h(t) = 0$

2. For all edges (v, w) in $G_f, h(v) \leq h(w) + 1$

Property 1: If preflow f and labeling h are compatible, then there is no s - t path in G_f

Property 2: If flow f and labeling h are compatible, then f is a max flow

PreFlow Push: Correctness

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
Start with preflow f : $f(e) = c(e)$ for $e = (s, v)$, $f(e) = 0$, ow

While there is a node (other than t) with positive excess

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Increase $h(v)$ by 1

Invariant: Preflow f and labeling h are always compatible over the Preflow-Push algorithm

Fact: When Preflow-push stops, f is a flow

From **Property 2** of compatible flows, and

Invariant, f is a max flow

Thus, Preflow-Push correctly outputs a maxflow

Preflow f and labeling h are compatible if:

1. $h(s) = n, h(t) = 0$

2. For all edges (v, w) in $G_f, h(v) \leq h(w) + 1$

Property 1: If preflow f and labeling h are compatible, then there is no s - t path in G_f

Property 2: If flow f and labeling h are compatible, then f is a max flow

Correctness: Proof Outline

Three Steps:

- Compatibility: Show that the preflow f and the labeling h maintained by the algorithm always obeys a **compatibility** property
- If a flow f is compatible with some labeling, then f is a max-flow
- Preflow-push outputs a flow on termination

Pre-Flow Push

- Algorithm
- Correctness
- Running Time Analysis

Running Time Analysis: Outline

1. How many Push Ops? Relabel Ops?
2. How to implement Push and Relabel Ops efficiently?

Running Time Analysis: Outline

I. How many Relabel Ops?

Main Idea: Bound the maximum value of $h(v)$ for any node v , and bound #relabel ops through this

Preflow Push: #Relabels

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

Pick a node v with $\text{excess}(v) > 0$

If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

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Else

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Applies if $\text{excess}(v) > 0, h(w) < h(v)$

$q = \min(\text{excess}(v), c_f(v, w))$

Add q to $f(v, w)$

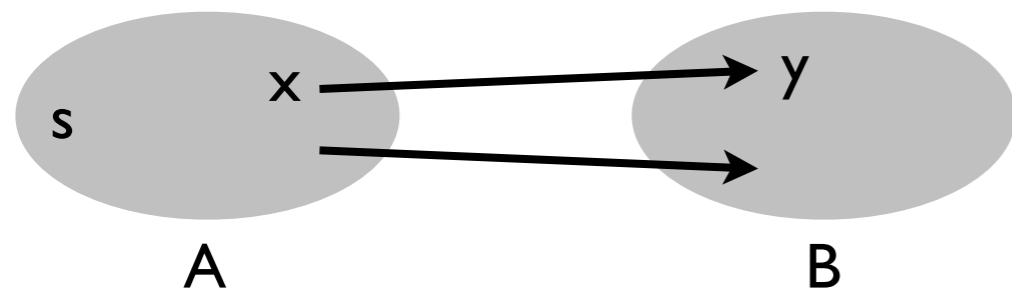
Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s.t (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Property 1: In a preflow f , if $\text{excess}(v) > 0$, then there is a path from v to s in G_f



A = all nodes v s.t. s is reachable from v in G_f

B = remaining nodes

Fact: Any $e=(x, y)$ from A to B has $f(x, y) = 0$

If not, (y, x) is in G_f , so there is a $y - s$ path

Now, total excess of nodes in B =

$$\sum_{v \in B} \sum_{e \text{ into } B} f(e) - \sum_{v \in B} \sum_{e \text{ out of } B} f(e) \geq 0$$

Three types of edges e in the sum:

1. Both endpoints of e are in B: $f(e)$ cancels out

2. $e = (u, v), u$ in A, v in B: $f(e) = 0$

3. $e = (v, u), u$ in A, v in B

Total excess of nodes in B: $-\sum_{v \in B} \sum_{u \in A} f(v, u) \geq 0$

As $\text{excess}(v)$ is never < 0 , $\text{excess}(v) = 0$ for v in B

Preflow Push: #Relabels

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

 Pick a node v with $\text{excess}(v) > 0$

 If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

 Push(v, w)

 Else

 Relabel(v)

Push(v, w):

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Add q to $f(v, w)$

Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s.t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Compatibility of f and h :

1. $h(s) = n, h(t) = 0$

2. For all edges (v, w) in $G_f,$

Property 1: In a preflow f , if $\text{excess}(v) > 0$, then there is a path from v to s in G_f

Property 2: At any point, for any $v, h(v) \leq 2n - 1$

Proof: If $\text{excess}(v) > 0$, there is a v - s path in G_f

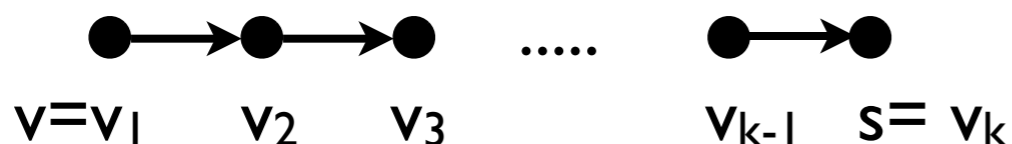
Let $v = v_1, \dots, v_k = s$ be the path

By compatibility:

$h(s) = n, h(v_{k-1}) \leq n + 1, h(v_1) \leq n + k - 1 \leq 2n - 1$

If $\text{excess}(v) = 0$, then $h(v)$ has not changed since the last time v had $\text{excess} > 0$

Thus, $h(v) \leq 2n - 1$ also



Preflow Push: #Relabels

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

 Pick a node v with $\text{excess}(v) > 0$

 If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

 Push(v, w)

 Else

 Relabel(v)

Push(v, w):

Applies if $\text{excess}(v) > 0, h(w) < h(v)$

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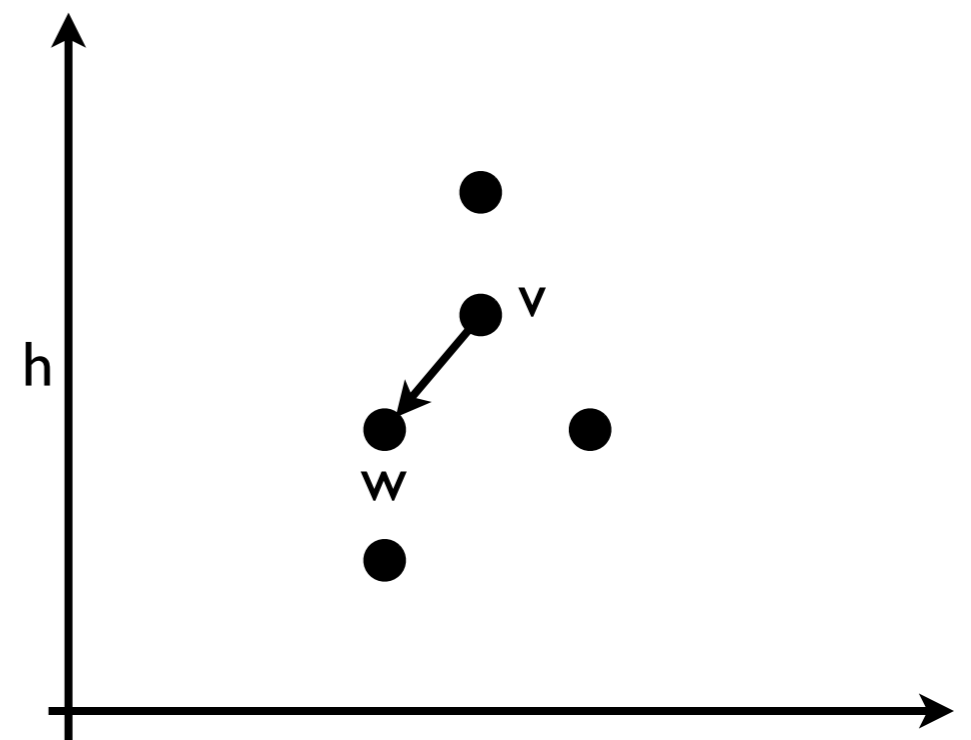
Increase $h(v)$ by 1

Property 1: In a preflow f , if $\text{excess}(v) > 0$, then there is a path from v to s in G_f

Property 2: At any point in the algorithm, for any $v, h(v) \leq 2n - 1$

Property 3: Any node can be relabeled at most $2n$ times in the algorithm

Proof: Labels never decrease, start at 0, increase by at least 1 per relabel, and can only go up to $2n - 1$



Preflow Push: #Relabels

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

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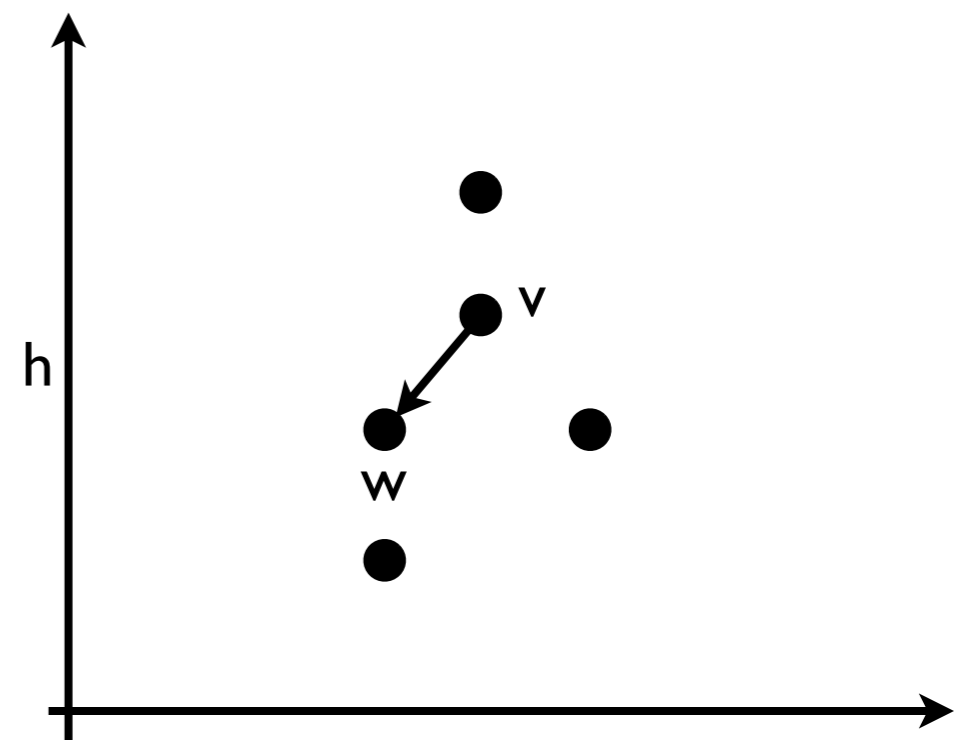
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Property 1: In a preflow f , if $\text{excess}(v) > 0$, then there is a path from v to s in G_f

Property 2: At any point in the algorithm, for any $v, h(v) \leq 2n - 1$

Property 3: Any node can be relabeled at most $2n$ times in the algorithm

Total #relabel operations = $O(n^2)$



Running Time Analysis: Outline

1. How many Push Ops? Relabel Ops?
2. How to implement Push and Relabel Ops efficiently?

Running Time Analysis: Outline

I. How many Relabel Ops? How many Push Ops?

Two types of Push Ops:

Saturating Pushes: (v, w) is saturated after $\text{push}(v, w)$

Same edge can't be pushed on until a relabel (we will see why!)

Non-saturating Pushes: $\text{excess}(v) = 0$ after $\text{push}(v, w)$

Preflow Push: #Pushes

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

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Add q to $f(v, w)$

Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s.t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Two kinds of Pushes:

Saturating: (v, w) is not in G_f after push

Nonsaturating: $\text{excess}(v)$ becomes 0 after push

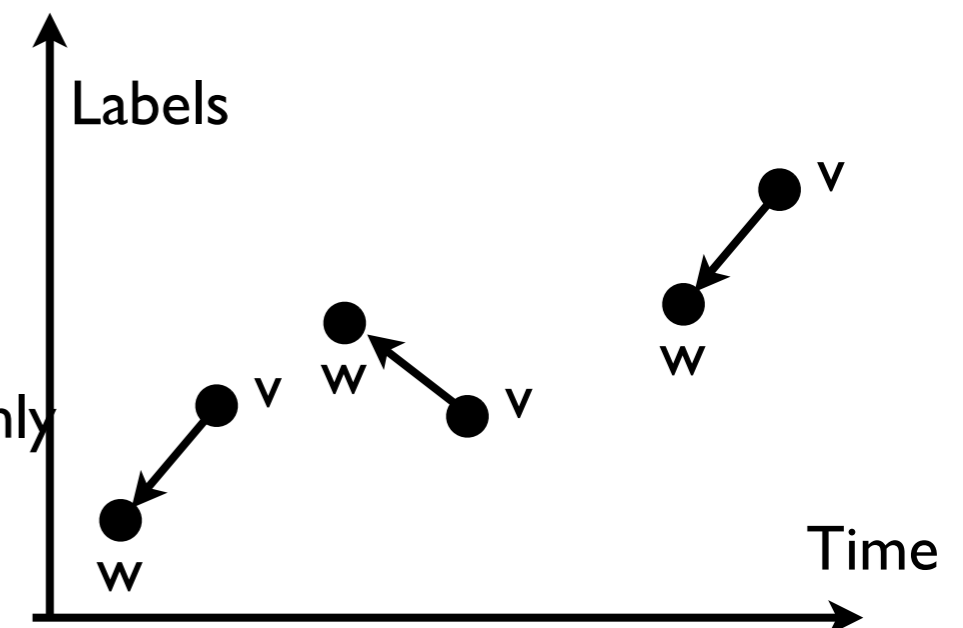
Property 1: There are at most $2mn$ saturating pushes

Proof: For a fixed edge (v, w) , after a saturating push, we can only push along (v, w) again once v is relabeled

#relabels of $v \leq 2n$

#saturating pushes along $(v, w) \leq 2n$

#saturating pushes along all m edges $\leq 2nm$



(v, w) disappears from G_f after saturating push, appears only after w to v push

Running Time Analysis: Outline

I. How many Relabel Ops? How many Push Ops?

Two types of Push Ops:

Saturating Pushes: (v, w) is saturated after $\text{push}(v, w)$

Same edge can't be pushed on until a relabel

Non-saturating Pushes: $\text{excess}(v) = 0$ after $\text{push}(v, w)$

Harder to bound. Need to use a potential function argument

Preflow Push: #Pushes

Two kinds of Pushes:

Saturating: (v,w) is not in G_f after push

Nonsaturating: $\text{excess}(v)$ becomes 0 after push

Property 0: There are $\leq 2n^2$ relabels

Property 1: There are $\leq 2mn$ saturating pushes

Property 2: There are $\leq 4mn^2$ non-saturating pushes

Proof: Define a potential function $G(f, h)$:

$$G(f, h) = \sum_{v:\text{excess}(v)>0} h(v)$$

Initially, $G(f, h) = 0$

At any time, $G(f, h) \geq 0$

At a relabel operation, $G(f, h)$ can increase by 1

At a saturating push operation, $G(f, h)$ can increase if w gets >0 excess. Total increase = $h(w) \leq 2n - 1$

At a non-saturating push operation, $G(f, h)$ will decrease by $h(v)$, but may increase by $h(w)$ if w gets >0 excess

But $h(v) > h(w)$, so $G(f, h)$ will decrease by at least 1

Push(v, w):

Applies if $\text{excess}(v) > 0, h(w) < h(v)$

$$q = \min(\text{excess}(v), c_f(v,w))$$

Add q to $f(v, w)$

Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s.t $(v, w) \in E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Total increase from relabels $\leq 2n^2$

Total increase from saturating pushes
pushes $\leq 2mn(2n - 1)$

(#non-saturating pushes) $\times 1$

\leq Total decrease from such pushes

\leq total increase from anything else

$\leq 2n^2 + 2mn(2n - 1) = 4mn^2$

#Non-saturating Pushes $\leq 4mn^2$

Preflow Push: #Pushes

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
Start with preflow f : $f(e) = c(e)$ for $e = (s, v)$, $f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

 Pick a node v with $\text{excess}(v) > 0$

 If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

 Push(v, w)

 Else

 Relabel(v)

Push(v, w):

Applies if $\text{excess}(v) > 0, h(w) < h(v)$

$q = \min(\text{excess}(v), c_f(v, w))$

Add q to $f(v, w)$

Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s.t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

Two kinds of Pushes:

Saturating: (v, w) is not in G_f after push

Nonsaturating: $\text{excess}(v)$ becomes 0 after push

Property 0: There are at most $2n^2$ relabels

Property 1: There are at most $2mn$ saturating pushes

Property 2: There are at most $4mn^2$ non-saturating pushes

Total #pushes: $O(mn^2)$

Running Time Analysis: Outline

1. How many Push Ops? Relabel Ops?
2. How to implement Push and Relabel Ops efficiently?

Preflow Push: Data Structures

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
 Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

 Pick a node v with $\text{excess}(v) > 0$

 If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

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Relabel(v):

Applies if $\text{excess}(v) > 0$ and for all

w s. t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

1. For each label, use a list to maintain nodes with $\text{excess} > 0$

 Time to select a v with $\text{excess}(v) > 0$: $O(1)$

 Time to insert or delete: $O(1)$

2. For each v , maintain all (v, w) in E_f in an adjacency list

 Keep a pointer $P(v)$ to the next edge we can push on

 If $\text{excess}(v) = 0, P(v)$ stays on the current edge

 Move $P(v)$ by 1 when current edge is saturated

 [Recall: If we push(v, w) and saturate it, then, we cannot push(v, w) again until v is relabeled]

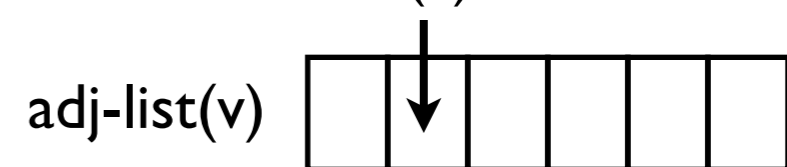
 Update $P(v)$ and the list when v is relabeled

Label Lists



....

$P(v)$



Preflow Push: Data Structures

Start with labeling: $h(s) = n, h(t) = 0, h(v) = 0$, for other v
Start with preflow f : $f(e) = c(e)$ for $e = (s, v), f(e) = 0$, otherwise

While there is a node (other than t) with positive excess

 Pick a node v with $\text{excess}(v) > 0$

 If there is an edge (v, w) in E_f s. t. $\text{push}(v, w)$ applies

 Push(v, w)

 Else

 Relabel(v)

Push(v, w):

Applies if $\text{excess}(v) > 0, h(w) < h(v)$

$q = \min(\text{excess}(v), c_f(v, w))$

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Applies if $\text{excess}(v) > 0$ and for all

w s.t. (v, w) in $E_f, h(w) \geq h(v)$

Increase $h(v)$ by 1

1. For each label, use a list to maintain nodes with $\text{excess} > 0$

 Time to select a v with $\text{excess}(v) > 0$: $O(1)$

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2. For each v , maintain all (v, w) in E_f in an adjacency list

 Keep a pointer $P(v)$ to the next edge we can push on

 If $\text{excess}(v) = 0$, $P(v)$ stays on the current edge

 Move $P(v)$ by 1 when current edge is saturated

 [Recall: If we push(v, w) and saturate it, then, we cannot push(v, w) again until v is relabeled]

 Update $P(v)$ and the list when v is relabeled

Time per relabel = $O(1)$

Time per push = $O(1)$

Time to maintain list after relabeling $v = O(\text{deg}(v))$

Total running time

= $O(m) \times \# \text{relabels/node} +$

$O(\# \text{pushes} + \# \text{relabels})$

= $O(mn) + O(mn^2) = O(mn^2)$

Running Time Analysis: Outline

1. How many Push Ops? Relabel Ops?

#pushes = $O(mn^2)$, #relabels = $O(n^2)$

2. How to implement Push and Relabel Ops efficiently?

Data structure which takes: $O(1)$ per push, $O(\deg(v))$ to relabel v once

Total running time = $O(mn^2)$