

Recognition II

Introduction to Computer Vision
 CSE 152
 Lecture 19

Announcements

- Assignment 4: Due Friday
- Read: Trucco & Verri, Chapter 10 on recognition
- Final Exam:

Mathematical formulation

[Note change of notation: image coordinates now (x,y), not (u,v)]

$I(x,y,t)$ = brightness at image point (x,y) at time t

Consider scene (or camera) to be moving, so $x(t), y(t)$

Brightness constancy assumption:

$$I\left(x + \frac{dx}{dt} \delta t, y + \frac{dy}{dt} \delta t, t + \delta t\right) = I(x, y, t) \quad \rightarrow \quad \frac{dI}{dt} = 0$$

Optical flow constraint equation :

$$\frac{dI}{dt} = \frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

Aperture Problem and Normal Flow

Measurements

$$I_x = \frac{\partial I}{\partial x}$$

$$I_y = \frac{\partial I}{\partial y}$$

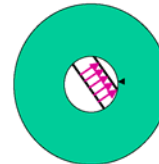
$$I_t = \frac{\partial I}{\partial t}$$

The gradient constraint:

$$I_x u + I_y v + I_t = 0$$

$$\nabla I \cdot \vec{U} = 0$$

Defines a line in the (u,v) space



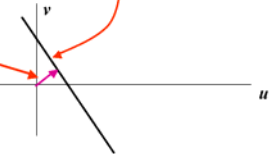
Flow vector

$$u = \frac{dx}{dt}$$

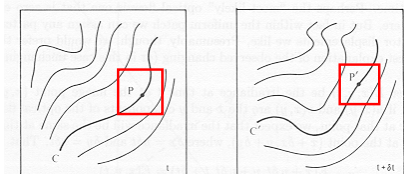
$$v = \frac{dy}{dt}$$

Normal Flow:

$$u_{\perp} = -\frac{I_y \nabla I}{|\nabla I|^2}$$



Two ways to get flow



1. Think globally, and regularize over image
2. Look over window and assume constant motion in the window

Lucas-Kanade: Integrate over a Patch

Assume a single velocity for all pixels within an image patch

$$E(u,v) = \sum_{x,y \in \Omega} (I_x(x,y)u + I_y(x,y)v + I_t)^2$$

$$\frac{dE(u,v)}{du} = \sum 2I_x(I_x u + I_y v + I_t) = 0$$

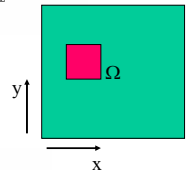
$$\frac{dE(u,v)}{dv} = \sum 2I_y(I_x u + I_y v + I_t) = 0$$

Solve with:

$$\begin{bmatrix} \sum I_x^2 & \sum I_x I_y \\ \sum I_x I_y & \sum I_y^2 \end{bmatrix} \begin{bmatrix} u \\ v \end{bmatrix} = - \begin{bmatrix} \sum I_x I_t \\ \sum I_y I_t \end{bmatrix}$$

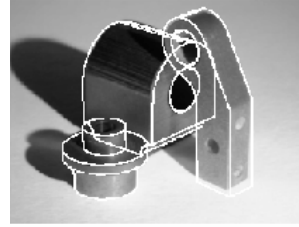
On the LHS: sum of the 2x2 outer product tensor of the gradient vector

$$\left(\sum \nabla I \nabla I^T \right) \vec{U} = - \sum \nabla I I_t$$



Recognition

Recognition

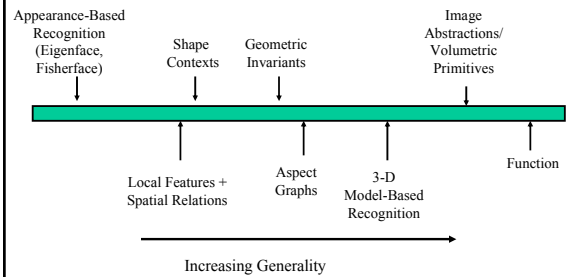


Given a database of objects and an image determine what, if any of the objects are present in the image.

Recognition Challenges

- Within-class variability
 - Different objects within the class have different shapes or different material characteristics
 - Deformable
 - Articulated
 - Compositional
- Pose variability:
 - 2-D Image transformation (translation, rotation, scale)
 - 3-D Pose Variability (perspective, orthographic projection)
- Lighting
 - Direction (multiple sources & type)
 - Color
 - Shadows
- Occlusion – partial
- Clutter in background -> false positives

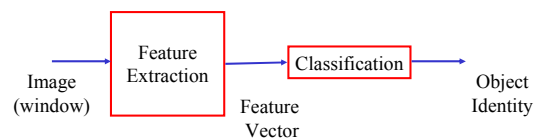
A Rough Recognition Spectrum



Appearance-Based Vision: A Pattern Classification Viewpoint

1. Feature Space + Nearest Neighbor
2. Dimensionality Reduction
3. Bayesian Classification
4. Appearance Manifolds

Sketch of a Pattern Recognition Architecture



Example: Face Detection

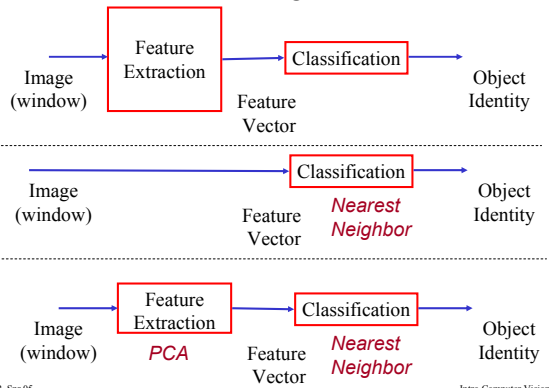
- Scan window over image.
- Classify window as either:
 - Face
 - Non-face



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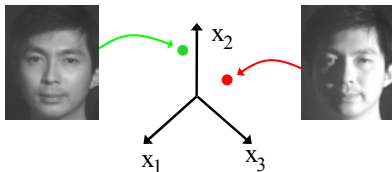
Sketch of a Pattern Recognition Architecture



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Image as a Feature Vector



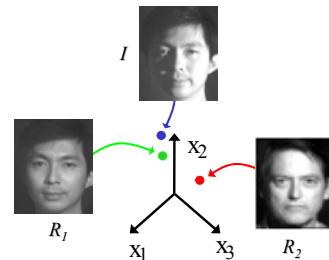
- Consider an n -pixel image (window) to be a point in an n -dimensional space, $\mathbf{x} \in \mathbf{R}^n$.
- Each pixel value is a coordinate of \mathbf{x} .

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Simplest Recognition Scheme

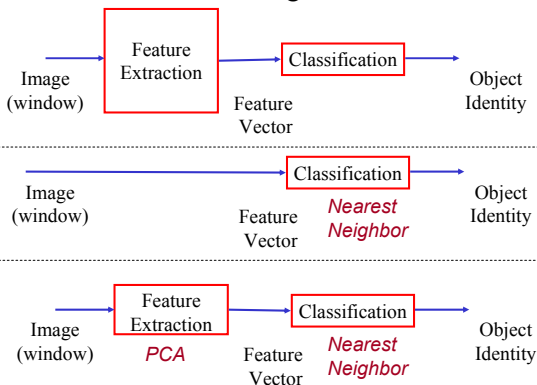
- R_j is an image.
 - $c(R_j, I)$ is Euclidean distance.
- ⇒ Nearest Neighbor Classifier



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Sketch of a Pattern Recognition Architecture



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Dimensionality Reduction: Linear Projection

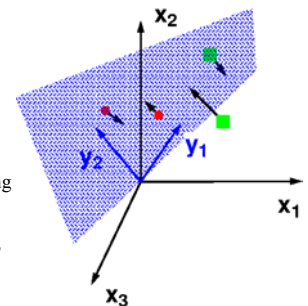
- An n -pixel image $\mathbf{x} \in \mathbf{R}^n$ can be projected to a low-dimensional feature space $\mathbf{y} \in \mathbf{R}^m$ by

$$\mathbf{y} = \mathbf{W}\mathbf{x}$$

where \mathbf{W} is an m by n matrix.

- Recognition is performed using nearest neighbor in \mathbf{R}^m .

- How do we choose a good \mathbf{W} ?



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Eigenfaces: Principal Component Analysis (PCA)

Assume we have a set of n feature vectors x_i ($i = 1, \dots, n$) in \mathbb{R}^d . Write

$$\mu = \frac{1}{n} \sum_i x_i$$

$$\Sigma = \frac{1}{n-1} \sum_i (x_i - \mu)(x_i - \mu)^T$$

The unit eigenvectors of Σ — which we write as v_1, v_2, \dots, v_d , where the order is given by the size of the eigenvalue and v_1 has the largest eigenvalue — give a set of features with the following properties:

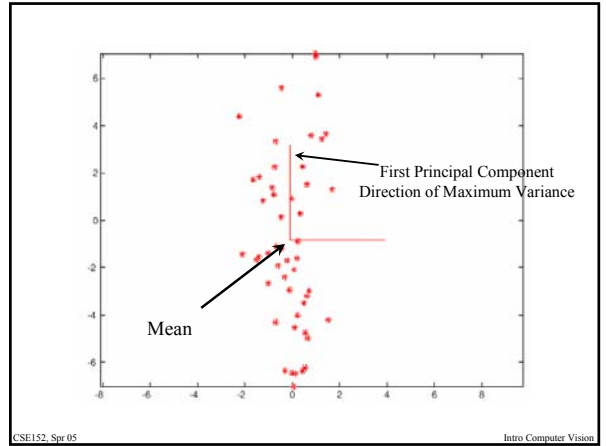
- They are independent.
- Projection onto the basis $\{v_1, \dots, v_k\}$ gives the k -dimensional set of linear features that preserves the most variance.

Algorithm 22.5: *Principal components analysis identifies a collection of linear features that are independent, and capture as much variance as possible from a dataset.*

Some details: Use Singular value decomposition, “trick” described in appendix of text to compute basis when $n \ll d$

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Eigenfaces

- Modeling
 1. Given a collection of n labeled training images,
 2. Compute mean image and covariance matrix.
 3. Compute k Eigenvectors (note that these are images) of covariance matrix corresponding to k largest Eigenvalues. (Or perform using SVD!!)
 4. Project the training images to the k -dimensional Eigenspace.
- Recognition
 1. Given a test image, project to Eigenspace.
 2. Perform classification to the projected training images.

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Eigenfaces: Training Images



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Eigenfaces



Mean Image

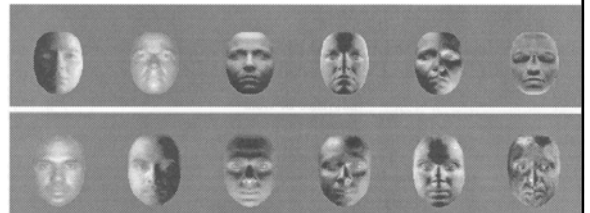


Basis Images

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Basis Images for Variable Lighting



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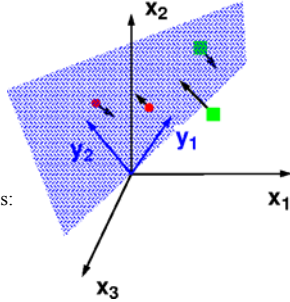
Projection, and reconstruction

- An n -pixel image $x \in \mathbb{R}^n$ can be projected to a low-dimensional feature space $y \in \mathbb{R}^m$ by

$$y = Wx$$

- From $y \in \mathbb{R}^m$, the reconstruction of the point is $W^T y$

- The error of the reconstruction is: $\|x - W^T W x\|$



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Reconstruction using Eigenfaces

- Given image on left, project to Eigenspace, then reconstruct an image (right).



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Face detection using "distance to face space"

- Scan a window w across the image, and classify the window as face/not face as follows:

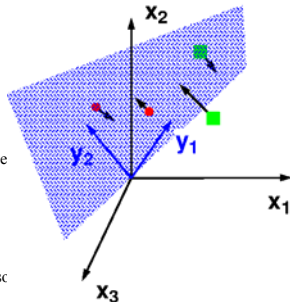
- Project window to subspace, and reconstruct as described earlier.

- Compute distance between w and reconstruction.

- Local minima of distance over all image locations less than some threshold are taken as locations of faces.

- Repeat at different scales.

- Possibly normalize windows intensity so that $|w| = 1$.



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And important footnote: Don't really implement PCA this way!

Why?

- How big is Σ ?
 - n by n where n is the number of pixels in an image!!
- You only need the first k Eigenvectors

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Singular Value Decomposition

- Any m by n matrix A may be factored such that

$$A = U \Sigma V^T$$

$$[m \times n] = [m \times m][m \times n][n \times n]$$

- U : m by m , orthogonal matrix
 - Columns of U are the eigenvectors of AA^T
- V : n by n , orthogonal matrix,
 - columns are the eigenvectors of $A^T A$
- Σ : m by n , diagonal with non-negative entries ($\sigma_1, \sigma_2, \dots, \sigma_s$) with $s = \min(m, n)$ are called the singular values
 - Singular values are the square roots of eigenvalues of both AA^T and $A^T A$ & Columns of U are corresponding Eigenvectors!!
 - Result of SVD algorithm: $\sigma_1 \geq \sigma_2 \geq \dots \geq \sigma_s$

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SVD Properties

- In Matlab $[u \ s \ v] = \text{svd}(A)$, and you can verify that: $A = u * s * v'$
- $r = \text{Rank}(A) = \#$ of non-zero singular values.
- U, V give us orthonormal bases for the subspaces of A :
 - 1st r columns of U : Column space of A
 - Last $m - r$ columns of U : Left nullspace of A
 - 1st r columns of V : Row space of A
 - Last $n - r$ columns of V : Nullspace of A
- For $d \leq r$, the first d column of U provide the best d -dimensional basis for columns of A in least squares sense.

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Performing PCA with SVD

- Singular values of A are the square roots of eigenvalues of both AA^T and $A^T A$ & Columns of U are corresponding Eigenvectors
- And $\sum_{i=1}^n a_i a_i^T = [a_1 \ a_2 \ \dots \ a_n][a_1 \ a_2 \ \dots \ a_n]^T = AA^T$
- Covariance matrix is:

$$\Sigma = \frac{1}{n} \sum_{i=1}^n (\bar{x}_i - \bar{\mu})(\bar{x}_i - \bar{\mu})^T$$
- So, ignoring $1/n$ subtract mean image μ from each input image, create data matrix, and perform (thin) SVD on the data matrix.

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Thin SVD

- Any m by n matrix A may be factored such that

$$A = U \Sigma V^T$$

$$[m \times n] = [m \times m][m \times n][n \times n]$$

- If $m > n$, then one can view Σ as:

$$\begin{bmatrix} \Sigma' \\ 0 \end{bmatrix}$$

- Where $\Sigma' = \text{diag}(\sigma_1, \sigma_2, \dots, \sigma_s)$ with $s = \min(m, n)$, and lower matrix is $(n-m)$ by m of zeros.

- Alternatively, you can write:

$$A = U \Sigma' V^T$$

This is what you should use!!

- In Matlab, thin SVD is: $[U \ S \ V] = \text{svds}(A)$

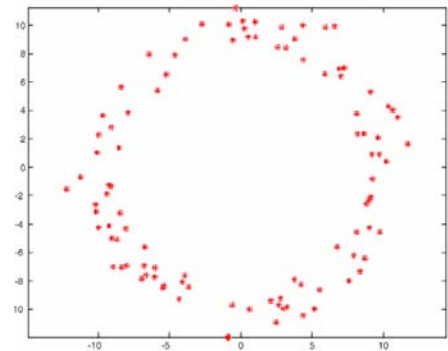
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Alternative projections

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Fisherfaces: Class specific linear projection

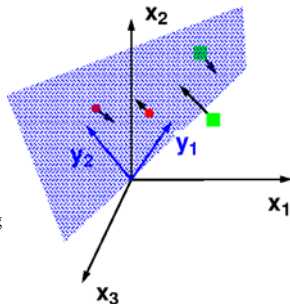
P. Belhumeur, J. Hespanha, D. Kriegman, *Eigenfaces vs. Fisherfaces: Recognition Using Class Specific Linear Projection*, PAMI, July 1997, pp. 711--720.

- An n -pixel image $x \in \mathbf{R}^n$ can be projected to a low-dimensional feature space $y \in \mathbf{R}^m$ by

$$y = Wx$$

where W is an n by m matrix.

- Recognition is performed using nearest neighbor in \mathbf{R}^m .
- How do we choose a good W ?



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PCA & Fisher's Linear Discriminant

- Between-class scatter

$$S_B = \sum_{i=1}^c |\chi_i| (\mu_i - \mu)(\mu_i - \mu)^T$$

- Within-class scatter

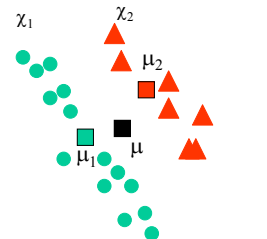
$$S_W = \sum_{i=1}^c \sum_{x_k \in \chi_i} (x_k - \mu_i)(x_k - \mu_i)^T$$

- Total scatter

$$S_T = \sum_{i=1}^c \sum_{x_k \in \chi_i} (x_k - \mu)(x_k - \mu)^T = S_B + S_W$$

- Where

- c is the number of classes
- μ_i is the mean of class χ_i
- $|\chi_i|$ is number of samples of χ_i .



• If the data points are projected by $y=Wx$ and scatter of points is S , then the scatter of the projected points is $W^T S W$

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PCA & Fisher's Linear Discriminant

- PCA (Eigenfaces)

$$W_{PCA} = \arg \max_W |W^T S_T W|$$
 Maximizes projected total scatter
- Fisher's Linear Discriminant

$$W_{fld} = \arg \max_W \frac{|W^T S_B W|}{|W^T S_W W|}$$
 Maximizes ratio of projected between-class to projected within-class scatter

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Computing the Fisher Projection Matrix

$$W_{opt} = \arg \max_W \frac{|W^T S_B W|}{|W^T S_W W|} = [w_1 \ w_2 \ \dots \ w_m] \quad (4)$$

where $\{w_i | i = 1, 2, \dots, m\}$ is the set of generalized eigenvectors of S_B and S_W corresponding to the m largest generalized eigenvalues $\{\lambda_i | i = 1, 2, \dots, m\}$, i.e.,

$$S_B w_i = \lambda_i S_W w_i, \quad i = 1, 2, \dots, m$$

- The w_i are orthonormal
- There are at most $c-1$ non-zero generalized Eigenvalues, so $m \leq c-1$
- Can be computed with `eig` function in Matlab

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Computing the Fisher Projection Matrix

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Fisherfaces

$$W = W_{fld} W_{PCA}$$

$$W_{PCA} = \arg \max_W |W^T S_T W|$$

$$W_{fld} = \arg \max_W \frac{|W^T W_{PCA}^T S_B W_{PCA} W|}{|W^T W_{PCA}^T S_W W_{PCA} W|}$$

- Since S_W is rank $N-c$, project training set to subspace spanned by first $N-c$ principal components of the training set.
- Apply FLD to $N-c$ dimensional subspace yielding $c-1$ dimensional feature space.
- Fisher's Linear Discriminant projects away the within-class variation (lighting, expressions) found in training set.
- Fisher's Linear Discriminant preserves the separability of the classes.

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PCA vs. FLD

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Harvard Face Database

- 10 individuals
- 66 images per person
- Train on 6 images at 15°
- Test on remaining images

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Recognition Results: Lighting Extrapolation

