

# CSE 20

# DISCRETE MATH

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Tomorrow: Discussion +  
Review Quiz due  
Saturday: HW7 due

Fall 2017

<http://cseweb.ucsd.edu/classes/fa17/cse20-ab/>

# Today's learning goals

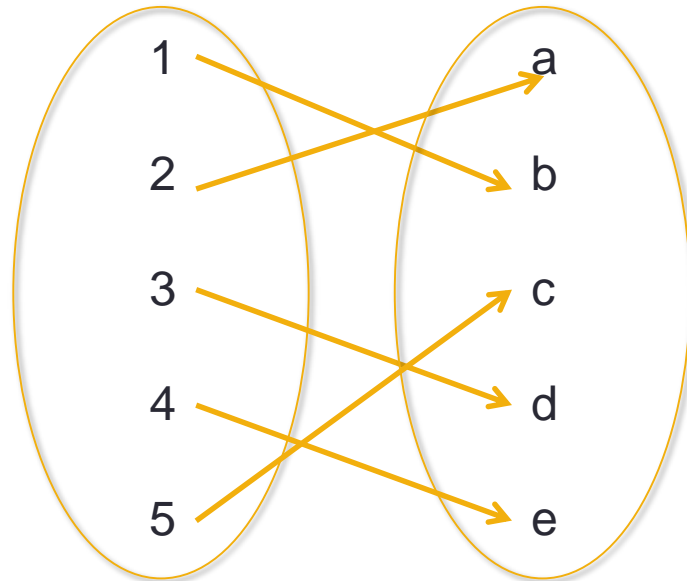
- Define and compute the cardinality of a set.
- Use functions to compare the sizes of sets.
- Classify sets by cardinality into: Finite sets, countable sets, uncountable sets.
- Explain the central idea in Cantor's diagonalization argument.

# Cardinality

*Rosen Section 2.5*

For all sets, we **define**

$|A| = |B|$  if and only if there is a bijection between them.



# Cardinality

## Countable Sets


Rosen Defn 3.p. 171

- Finite sets  $\emptyset$   $\{1\}$   $\{1,2\}$   
 $\mathcal{P}(\{1,2,3\})$   $|A| = n$  for some nonnegative int  $n$
- Countably infinite sets  $|A| = |\mathbb{Z}^+|$  (informally, can be listed out)
- Uncountable sets Infinite but not in bijection with  $\mathbb{Z}^+$

The set of all prime #'s  $\subseteq \mathbb{Z}^+$  so ctbly infinite

$$|\mathcal{P}(\{1,2,3\})| = 2^{|\{1,2,3\}|} = 2^3 = 8 \in \mathbb{N}$$

# Lemmas ... how would you prove each one?

- If  $A$  and  $B$  are countable sets, then  $A \cup B$  is countable.  
*Theorem 1, p. 174*
- If  $A$  and  $B$  are countable sets, then  $A \times B$  is countable.
- If  $A$  is finite, then  $A^*$  is countable.
- If  $A$  is a subset of  $B$ , to show that  $|A| = |B|$ , it's enough to give a 1-1 function from  $B$  to  $A$  or an onto function from  $A$  to  $B$ .  
*Exercise 22, p. 176*
- If  $A$  is a subset of a  countable set, then it's countable.  
*Exercise 16, p. 176*
- If  $A$  is a superset of an uncountable set, then it's uncountable.  
*Exercise 15, p. 176*

# Cardinality

Rosen p. 172

- Countable sets     $A$  is finite or  $|A| = |\mathbb{Z}^+|$  (informally, can be listed out)

Examples:  $\emptyset$      $\{x \in \mathbb{Z} \mid x^2 = 1\}$      $\mathcal{P}(\{1, 2, 3\})$      $\mathbb{Z}^+$   
and also ...

- the set of **odd positive** integers
- the set of **all integers**
- the set of **positive rationals**
- the set of **negative rationals**
- the set of **rationals**
- the set of **nonnegative integers**
- the set of **all bit strings**  $\{0, 1\}^*$

Example 1

Example 3

Example 4

# Cardinality

Rosen p. 172

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Proof strategies?

- List out all and only set elements  
(with or without duplication)
- Give a one-to-one function from  $A$  to  
(a subset of) a set known to be  
countable

There is an uncountable set! *Rosen example 5, page 173-174*

Cantor's diagonalization argument

**Theorem: For every set  $A$ ,  $|A| \neq |\mathcal{P}(A)|$**



# There is an uncountable set! Rosen example 5, page 173-174

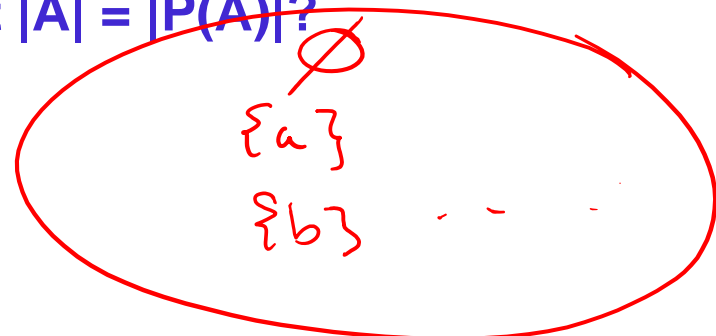
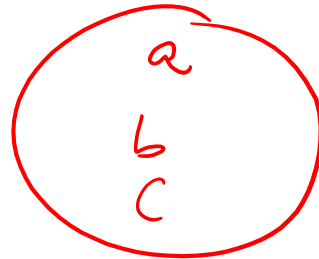
## Cantor's diagonalization argument

**Theorem:** For every set  $A$ ,  $|A| \neq |\mathcal{P}(A)|$

$\neg \exists$  bij from  $A$  to  $\mathcal{P}(A)$

An example to see what is necessary. Consider  $A = \{a,b,c\}$ .

What would we need to prove that  $|A| = |\mathcal{P}(A)|$ ?



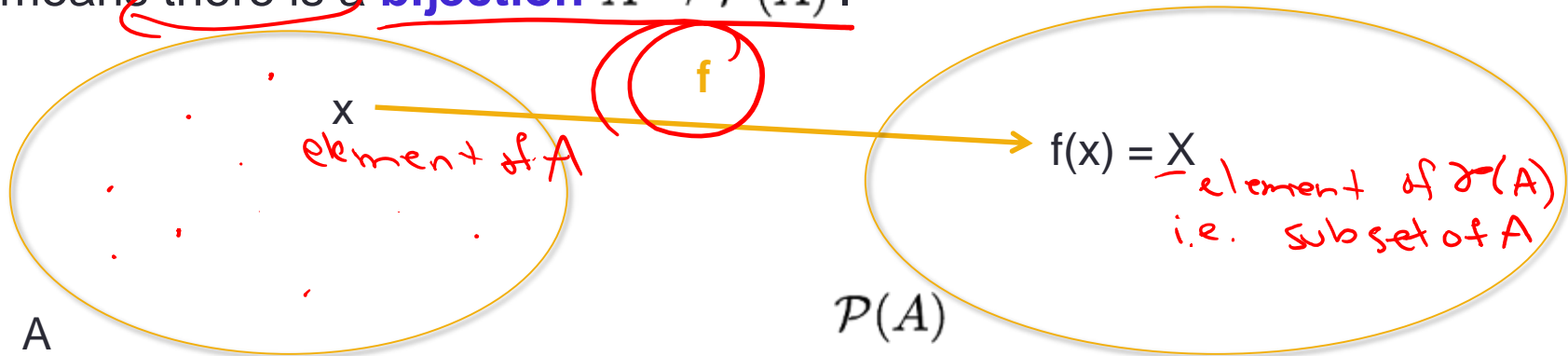
# There is an uncountable set! Rosen example 5, page 173-174

## Cantor's diagonalization argument

**Theorem:** For every set  $A$ ,  $|A| \neq |\mathcal{P}(A)|$

**Proof:** (Proof by contradiction)

Assume **towards a contradiction** that  $|A| = |\mathcal{P}(A)|$ . By definition, that means there is a bijection  $A \rightarrow \mathcal{P}(A)$ .



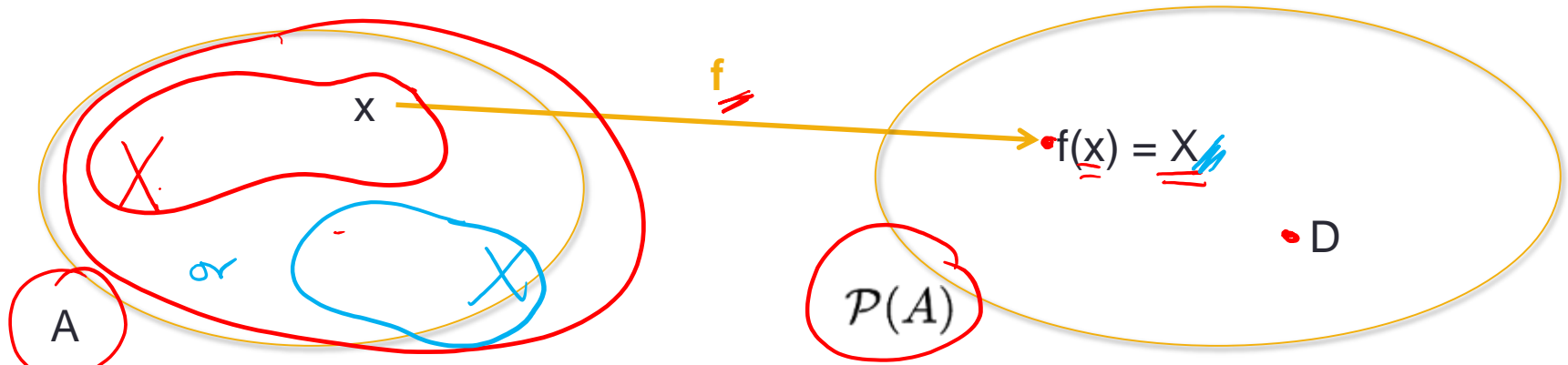
# There is an uncountable set! Rosen example 5, page 173-174

## Cantor's diagonalization argument

Consider the subset D of A defined by, for each  $a$  in  $A$ :

Def of D:  $a \in D$  iff  $a \notin f(a)$

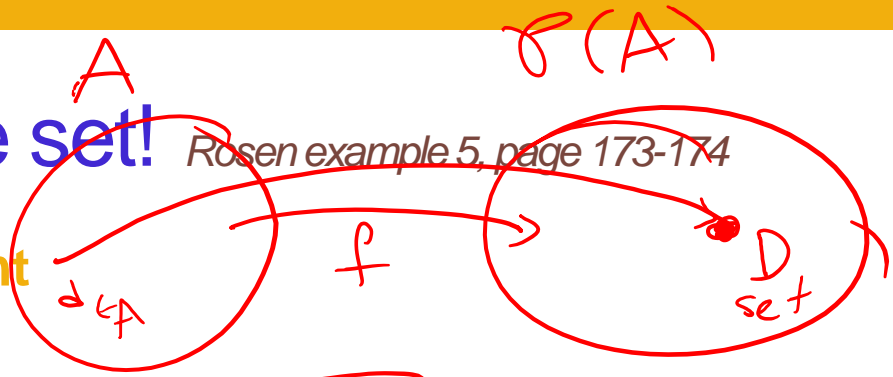
Case  $m$ :  $x \notin D$   
Case  $m$ :  $x \in D$



# There is an uncountable set!

Rosen example 5, page 173-174

## Cantor's diagonalization argument



Consider the subset  $D$  of  $A$  defined by, for each  $a$  in  $A$ :

$$a \in D \iff a \notin f(a)$$

*Handwritten notes:  $d \in \mathcal{P}$  (under  $a \in D$ ),  $d \notin f(d)$  (under  $a \notin f(a)$ )*

*Handwritten note: defining pred for D*

Define  $d$  to be the pre-image of  $D$  in  $A$  under  $f$   $f(d) = D$

**Is  $d$  in  $D$ ?**

$$d \in D \wedge d \notin D$$

Case ① If yes, then by definition of  $D$ ,  $d \notin f(d) = D$  **a contradiction!**

Case ② Else, by definition of  $D$ ,  $\neg(d \notin f(d))$  so  $d \in f(d) = D$  **a contradiction!**

$$d \notin D \wedge d \in D$$

# Cardinality

Rosen p. 172

- Uncountable sets

Infinite but not in bijection with  $\mathbb{Z}^+$

*Examples:* the power set of any countably infinite set

*and also ...*

- the set of **real** numbers
- $(0,1)$
- $(0,1]$

$[0,1]$

~~Example 5~~

Example 6 (++)

Example 6 (++)

Exercises 33, 34

# Why the real numbers?

(0,1) ...

If this little interval is already uncountable,  
then  $\mathbb{R}$  is definitely uncountable!

$$17 = 2^4 + 2^0 = (10001)_2$$

$$0.5 = 0.\overset{2^{-1}}{1}0000 \dots$$

$$0.1 = 0.\overset{1}{0}\overset{2^{-2}}{0}\overset{2^{-3}}{0}\overset{2^{-4}}{0}\overset{2^{-5}}{1}1 \dots$$

$$0.b_1 b_2 b_3 b_4 \dots$$

maps to  $\{1\}$

maps to  $\{4, 5, \dots\}$

A.  $\{4\}$

binary expansion of number

B.  $\{5\}$

C.  $\{1, 2, 3, 4, 5\}$

E. ???

D.  $\{4, 5\}$

# Why the real numbers?

$(0,1)$  . . .

If this little interval is already uncountable,  
then  $\mathbb{R}$  is definitely uncountable!

*"Looks like" a power set of a countably infinite set?*

*sign tree*

$0.b_1 b_2 b_3 b_4 \dots$

binary expansion of number

maps to *flag about  $1 \in$  set*

$\{x \mid x \text{ is a positive integer and } b_x \text{ is } 1\}$

**Conclude:**  $| (0,1) | = | \text{power set of } \mathbb{Z}^+ |$

# Diagonalization

*Example 5 Rosen p. 173*

**Theorem: The set  $(0,1)$  is uncountable**

**Proof:** (Proof by contradiction) Assume towards a contradiction that  $(0,1)$  is countable. By definition, that means there is a **bijection** which lists all real numbers in this interval.



# Diagonalization

*Example 5 Rosen p. 173*

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**Proof:** (Proof by contradiction) Assume towards a contradiction that  $(0,1)$  is countable. By definition, that means there is a **bijection** which lists all real numbers in this interval.

$$f(1) = r_1 = 0. b_{11} b_{12} b_{13} b_{14} \dots$$

$$f(2) = r_2 = 0. b_{21} b_{22} b_{23} b_{24} \dots$$

$$f(3) = r_3 = 0. b_{31} b_{32} b_{33} b_{34} \dots$$

$$f(4) = r_4 = 0. b_{41} b_{42} b_{43} b_{44} \dots$$

We're going to find a number **d** that is not in this list.

# Diagonalization

Example 5 Rosen p. 173

**Theorem: The set  $(0,1)$  is uncountable**

**Proof:** (Proof by contradiction) Assume **towards a contradiction** that  $(0,1)$  is countable. By definition, that means there is a **bijection** which lists all real numbers in this interval.

$$\frac{1}{4} f(1) = r_1 = 0. \mathbf{b_{11}} b_{12} b_{13} b_{14} \dots$$

$$\frac{1}{8} f(2) = r_2 = 0. b_{21} \mathbf{b_{22}} b_{23} b_{24} \dots$$

$$\frac{1}{2} f(3) = r_3 = 0. b_{31} b_{32} \mathbf{b_{33}} b_{34} \dots$$

$$\frac{1}{\sqrt{2}} f(4) = r_4 = 0. b_{41} b_{42} b_{43} \mathbf{b_{44}} \dots$$

We're going to find a number  $d$  that is not in this list.

$$d = 0. b_1 b_2 b_3 b_4 \dots$$

where  $b_i = 1 - b_{ii}$ . **By this definition:  $d$  can't equal any  $f(i)$ . So:  $f$  is not onto!**

0.0  
0.1

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# What about the irrational numbers?

**Claim:** The set of irrational numbers **is / isn't** countable.

**Proof:**