Scheduling Overview

- In discussing process management and synchronization, we talked about context switching among processes/threads on the ready queue.
- But we have glossed over the details of exactly which thread is chosen from the ready queue.
- Making this decision is called **scheduling**.
- In this lecture, we’ll look at:
  - The goals of scheduling
  - Starvation
  - Various well-known scheduling algorithms
  - Standard Unix scheduling algorithm
Multiprogramming

- In a multiprogramming system, we try to increase CPU utilization and job throughput by overlapping I/O and CPU activities
  - Doing this requires a combination of mechanisms and policy
- We have covered the mechanisms
  - Context switching, how and when it happens
  - Process queues and process states
- Now we’ll look at the policies
  - Which process (thread) to run, for how long, etc.
- We’ll refer to schedulable entities as jobs (standard usage) – could be processes, threads, people, etc.

Scheduling Goals

- Scheduling works at two levels in an operating system
  - To determine the multiprogramming level – the number of jobs loaded into primary memory
    - Moving jobs to/from memory is often called swapping
  - To decide what job to run next to guarantee “good service”
    - Good service could be one of many different criteria
- These decisions are known as long-term and short-term scheduling decisions, respectively
  - Long-term scheduling happens relatively infrequently
    - Significant overhead in swapping a process out to disk
  - Short-term scheduling happens relatively frequently
    - Want to minimize the overhead of scheduling
      - Fast context switches, fast queue manipulation
Scheduling

- The scheduler (aka dispatcher) is the module that manipulates the queues, moving jobs to and fro
- The scheduling algorithm determines which jobs are chosen to run next and what queues they wait on
- In general, the scheduler runs:
  - When a job switches from running to waiting
  - When an interrupt occurs
  - When a job is created or terminated
- We’ll discuss scheduling algorithms in two contexts
  - In preemptive systems the scheduler can interrupt a running job (involuntary context switch)
  - In non-preemptive systems, the scheduler waits for a running job to explicitly block (voluntary context switch)

Scheduling Goals

- Scheduling algorithms can have many different goals:
  - CPU utilization
  - Job throughput (# jobs/unit time)
  - Turnaround time ($T_{\text{finish}} - T_{\text{start}}$)
  - Waiting time (Avg($T_{\text{wait}}$): avg time spent on wait queues)
  - Response time (Avg($T_{\text{ready}}$): avg time spent on ready queue)
- Batch systems
  - Strive for job throughput, turnaround time (supercomputers)
- Interactive systems
  - Strive to minimize response time for interactive jobs (PC)
Starvation

Starvation is a scheduling “non-goal”:
- **Starvation** is a situation where a process is prevented from making progress because some other process has the resource it requires
  - Resource could be the CPU, or a lock (recall readers/writers)
- **Starvation usually a side effect of the sched. algorithm**
  - A high priority process always prevents a low priority process from running on the CPU
  - One thread always beats another when acquiring a lock
- **Starvation can be a side effect of synchronization**
  - Constant supply of readers always blocks out writers

FCFS/FIFO

- First-come first-served (FCFS), first-in first-out (FIFO)
  - Jobs are scheduled in order of arrival to ready Q
  - “Real-world” scheduling of people in lines (e.g., supermarket)
  - Typically non-preemptive (no context switching at market)
  - Jobs treated equally, no starvation
- **Problem**
  - Average waiting time can be large if small jobs wait behind long ones (high turnaround time)
    - You have a basket, but you’re stuck behind someone with a cart
Shortest Job First (SJF)

- **Shortest Job First (SJF)**
  - Choose the job with the smallest expected CPU burst
    - Person with smallest number of items to buy
  - Provably optimal minimum average waiting time
- **Problem**
  - Impossible to know size of CPU burst
    - Like choosing person in line without looking inside basket/cart
  - How can you make a reasonable guess?
  - Can potentially starve
- **Flavors**
  - Can be either preemptive or non-preemptive
  - Preemptive SJF is called shortest remaining time first (SRTF)

Priority Scheduling

- **Priority Scheduling**
  - Choose next job based on priority
    - Airline checkin for first class passengers
  - Can implement SJF, priority = 1/(expected CPU burst)
  - Also can be either preemptive or non-preemptive
  - This is what you’re implementing in Nachos in Project 1
- **Problem**
  - Starvation – low priority jobs can wait indefinitely
- **Solution**
  - "Age" processes
    - Increase priority as a function of waiting time
    - Decrease priority as a function of CPU consumption
**Round Robin (RR)**

- **Round Robin**
  - Excellent for timesharing
  - Ready queue is treated as a circular queue (FIFO)
  - Each job is given a time slice called a *quantum*
  - A job executes for the duration of the quantum, or until it blocks or is interrupted
  - No starvation
  - Can be preemptive or non-preemptive
- **Problem**
  - Context switches are frequent and need to be very fast

**Combining Algorithms**

- Scheduling algorithms can be combined
  - Have multiple queues
  - Use a different algorithm for each queue
  - Move processes among queues
- **Example: Multiple-level feedback queues (MLFQ)**
  - Multiple queues representing different job types
    - Interactive, CPU-bound, batch, system, etc.
  - Queues have priorities, jobs on same queue scheduled RR
  - Jobs can move among queues based upon execution history
    - Feedback: Switch from interactive to CPU-bound behavior
Unix Scheduler

- The canonical Unix scheduler uses a MLFQ
  - 3-4 classes spanning ~170 priority levels
    » Timesharing: first 60 priorities
    » System: next 40 priorities
    » Real-time: next 60 priorities
    » Interrupt: next 10 (Solaris)
- Priority scheduling across queues, RR within a queue
  - The process with the highest priority always runs
  - Processes with the same priority are scheduled RR
- Processes dynamically change priority
  - Increases over time if process blocks before end of quantum
  - Decreases over time if process uses entire quantum

Motivation of Unix Scheduler

- The idea behind the Unix scheduler is to reward interactive processes over CPU hogs
- Interactive processes (shell, editor, etc.) typically run using short CPU bursts
  - They do not finish quantum before waiting for more input
- Want to minimize response time
  - Time from keystroke (putting process on ready queue) to executing keystroke handler (process running)
  - Don’t want editor to wait until CPU hog finishes quantum
- This policy delays execution of CPU-bound jobs
  - But that’s ok
Scheduling Summary

- Scheduler (dispatcher) is the module that gets invoked when a context switch needs to happen
- Scheduling algorithm determines which process runs, where processes are placed on queues
- Many potential goals of scheduling algorithms
  - Utilization, throughput, wait time, response time, etc.
- Various algorithms to meet these goals
  - FCFS/FIFO, SJF, Priority, RR
- Can combine algorithms
  - Multiple-level feedback queues
  - Unix example

Deadlock

- Synchronization is a live gun – we can easily shoot ourselves in the foot
  - Incorrect use of synchronization can block all processes
  - You have likely been intuitively avoiding this situation already
- More generally, processes that allocate multiple resources generate dependencies on those resources
  - Locks, semaphores, monitors, etc., just represent the resources that they protect
- If one process tries to allocate a resource that a second process holds, and vice-versa, they can never make progress
- We call this situation **deadlock**, and we’ll look at:
  - Definition and conditions necessary for deadlock
  - Representation of deadlock conditions
  - Approaches to dealing with deadlock


## Deadlock Definition

- **Deadlock** is a problem that can arise:
  - When processes compete for access to limited resources
  - When processes are incorrectly synchronized
- **Definition:**
  - Deadlock exists among a set of processes if every process is waiting for an event that can be caused only by another process in the set.

### Conditions for Deadlock

- Specifically, deadlock can exist if and only if the following four conditions hold simultaneously:
  1. **Mutual exclusion** – At least one process must be held in a non-sharable mode.
  2. **Hold and wait** – There must be one process holding one resource and waiting for another resource.
  3. **No preemption** – Resources cannot be preempted (critical sections cannot be aborted externally).
  4. **Circular wait** – There must exist a set of processes \([P_1, P_2, P_3, \ldots, P_n]\) such that \(P_1\) is waiting for \(P_2\), \(P_2\) for \(P_3\), etc.
Resource Allocation Graph

- Deadlock can be described using a resource allocation graph (RAG)
- The RAG consists of a set of vertices $P=\{P_1, P_2, \ldots, P_n\}$ of processes and $R=\{R_1, R_2, \ldots, R_m\}$ of resources
  - A directed edge from a process to a resource, $P_i \rightarrow R_j$, implies that $P_i$ has requested $R_j$
  - A directed edge from a resource to a process, $R_i \rightarrow P_j$, implies that $R_i$ has been allocated by $P_j$
  - Each resource has a fixed number of units
- If the graph has no cycles, deadlock cannot exist
- If the graph has a cycle, deadlock may exist

RAG Example

A cycle...and deadlock!

Same cycle...but no deadlock. Why?
Dealing With Deadlock

- There are four approaches for dealing with deadlock:
  - Ignore it – how lucky do you feel?
  - Prevention – make it impossible for deadlock to happen
  - Avoidance – control allocation of resources
  - Detection and Recovery – look for a cycle in dependencies

Deadlock Prevention

- Prevention – Ensure that at least one of the necessary conditions cannot happen
  - Mutual exclusion
    » Make resources sharable (not generally practical)
  - Hold and wait
    » Process cannot hold one resource when requesting another
    » Process requests, releases all needed resources at once
  - Preemption
    » OS can preempt resource (costly)
  - Circular wait
    » Impose an ordering (numbering) on the resources and request them in order (popular implementation technique)
**Deadlock Avoidance**

- **Avoidance**
  - Provide information in advance about what resources will be needed by processes to guarantee that deadlock will not happen
  - System only grants resource requests if it knows that the process can obtain all resources it needs in future requests
  - Avoids circularities (wait dependencies)
- **Tough**
  - Hard to determine all resources needed in advance
  - Good theoretical problem, not as practical to use

**Banker's Algorithm**

- The Banker's Algorithm is the classic approach to deadlock avoidance for resources with multiple units
  1. Assign a **credit limit** to each customer (process)
     - Maximum credit claim must be stated in advance
  2. Reject any request that leads to a **dangerous state**
     - A dangerous state is one where a sudden request by any customer for the full credit limit could lead to deadlock
     - A recursive reduction procedure recognizes dangerous states
  3. In practice, the system must keep resource usage well below capacity to maintain a **resource surplus**
     - Rarely used in practice due to low resource utilization
Detection and Recovery

- Detection and recovery
  - If we don’t have deadlock prevention or avoidance, then deadlock may occur
  - In this case, we need to detect deadlock and recover from it
- To do this, we need two algorithms
  - One to determine whether a deadlock has occurred
  - Another to recover from the deadlock
- Possible, but expensive (time consuming)
  - Implemented in VMS
  - Run detection algorithm when resource request times out

Deadlock Detection

- Detection
  - Traverse the resource graph looking for cycles
  - If a cycle is found, preempt resource (force a process to release)
- Expensive
  - Many processes and resources to traverse
- Only invoke detection algorithm depending on
  - How often or likely deadlock is
  - How many processes are likely to be affected when it occurs
Deadlock Recovery

Once a deadlock is detected, we have two options…

1. Abort processes
   - Abort all deadlocked processes
     » Processes need start over again
   - Abort one process at a time until cycle is eliminated
     » System needs to rerun detection after each abort

2. Preempt resources (force their release)
   - Need to select process and resource to preempt
   - Need to rollback process to previous state
   - Need to prevent starvation

Deadlock Summary

- Deadlock occurs when processes are waiting on each other and cannot make progress
  - Cycles in Resource Allocation Graph (RAG)
- Deadlock requires four conditions
  - Mutual exclusion, hold and wait, no resource preemption, circular wait
- Four approaches to dealing with deadlock:
  - Ignore it – Living life on the edge
  - Prevention – Make one of the four conditions impossible
  - Avoidance – Banker’s Algorithm (control allocation)
  - Detection and Recovery – Look for a cycle, preempt or abort
Next time...

- Read Chapter 9