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A TWO-LAYER SPARSE CODING MODEL LEARNS  
SIMPLE AND COMPLEX CELL RECEPTIVE FIELDS AND  
TOPOGRAPHY FROM NATURAL IMAGES.

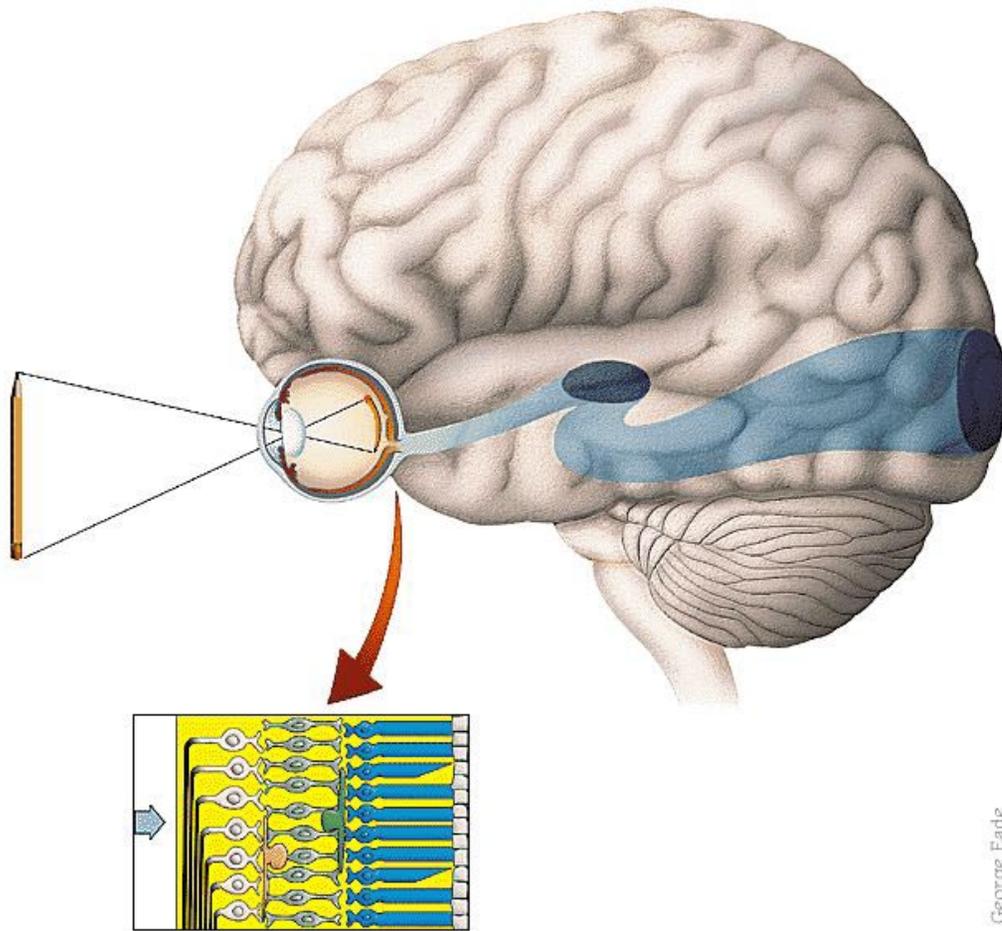
presented by

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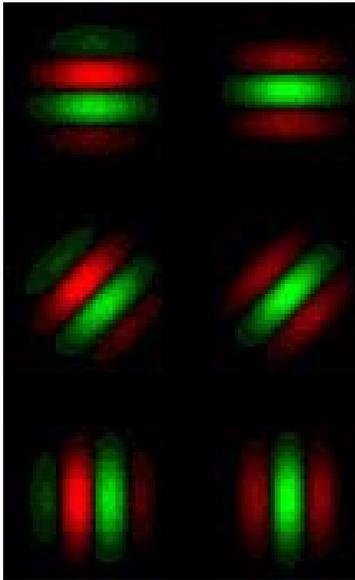
**November 7, 2001**

# An overview of the visual pathway



George Eade

## Basic V1 physiology



**Simple cells** approximately linear filters  
localized, oriented, band-pass  
phase sensitive

**Complex cells** non-linear  
phase insensitive

**Question: Why do we have these neurons?**

## The principle of redundancy reduction

**The Principle of redundancy reduction:** The world is highly structured. The purpose of early sensory processing is to transform the redundant sensory input to an efficient code. [Barlow 1961]

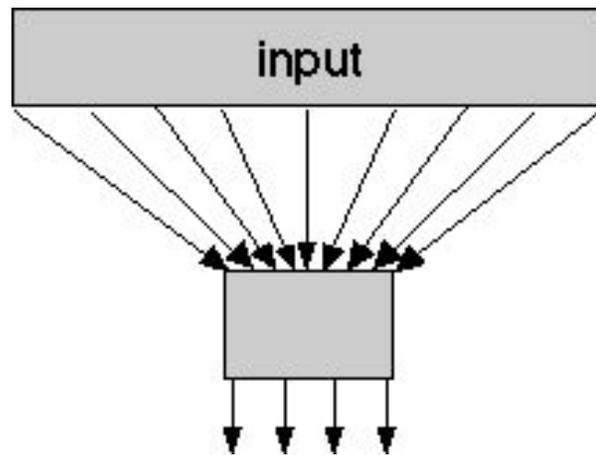
Two approaches have been developed to apply this idea to study the visual cortex:

1. Sparse coding (eg. Olshausen and Field)
2. Independent Component Analysis (eg. Bell and Sejnowski)

## Compact coding vs. Sparse coding

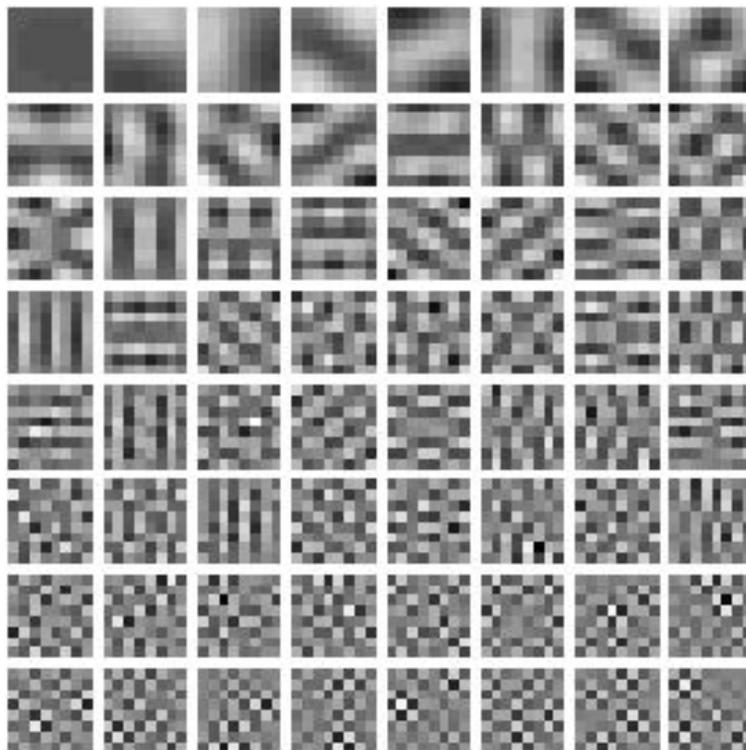
What does a *efficient code* means?

Strategy 1: *Compact coding* represents data with minimum number of units.



This requirement often produces solutions that's similar to *Principal Component Analysis*, but the principal components do not resemble any receptive field structures found in the visual cortex.

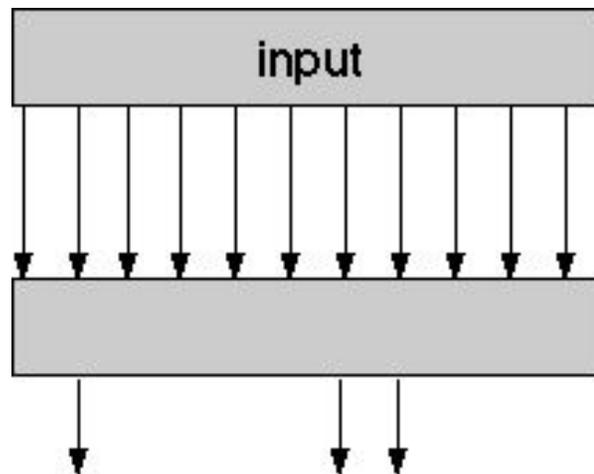
## Principal components of natural images



Not localized, and no orientational selectivity.

## Compact coding vs. Sparse coding

Strategy 2: *Sparse coding* represents data with minimum number of *active* units, but the dimensionality of the representation is the same as (or even larger than) the dimensionality of the input data.



## Learning sparse codes: image model

We use the linear generative model. That is,

$$I(x, y) = \sum_i a_i \phi_i(x, y)$$

where  $I(x, y)$  is a patch of natural image, and  $\{a_i\}$  are coefficients to the *basis functions*  $\{\phi_i(x, y)\}$ .

A neural network interpretation: writing images as column vectors,

$$\begin{bmatrix} I \end{bmatrix} = \begin{bmatrix} \dots & \phi_1 & \dots \end{bmatrix} \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix}$$

or  $I = \Phi A$ . Thus,  $A = W I$  where  $W = \Phi^{-1}$ .  $A$  is the output layer of a linear network, and  $W$  is the weight matrix (ie. *filters*.)

## Learning sparse codes: algorithm

[Olshausen and Field, 1996] For the image model

$$I(x, y) = \sum_i a_i \phi_i(x, y)$$

We require that the distributions of the coefficients,  $a_i$ , are “sparse”. This can be achieved by minimizing the following cost function:

$$\begin{aligned} E &= -[\textit{fidelity}] - \lambda[\textit{sparseness}] \\ \textit{fidelity} &= - \sum_{x,y} [I(x, y) - \sum_i a_i \phi_i(x, y)]^2 \\ \textit{sparseness} &= - \sum_i S(a_i) \\ S(x) &= \log(1 + x^2). \end{aligned}$$

## Maximum-likelihood and sparse codes

The sparse-coding algorithm can be interpreted as finding  $\phi$  that maximizes the average log-likelihood of the images under a sparse, *independent* prior.

fidelity    negative log-likelihood of the image given  $\phi$  and  $a$ ,  
assuming gaussian noise.

$$P(I|a, \phi) = \frac{1}{Z_{\rho_N}} e^{-\frac{|I - a\rho|^2}{2\rho_N^2}}$$

sparseness    sparse, independent prior for  $a$ .

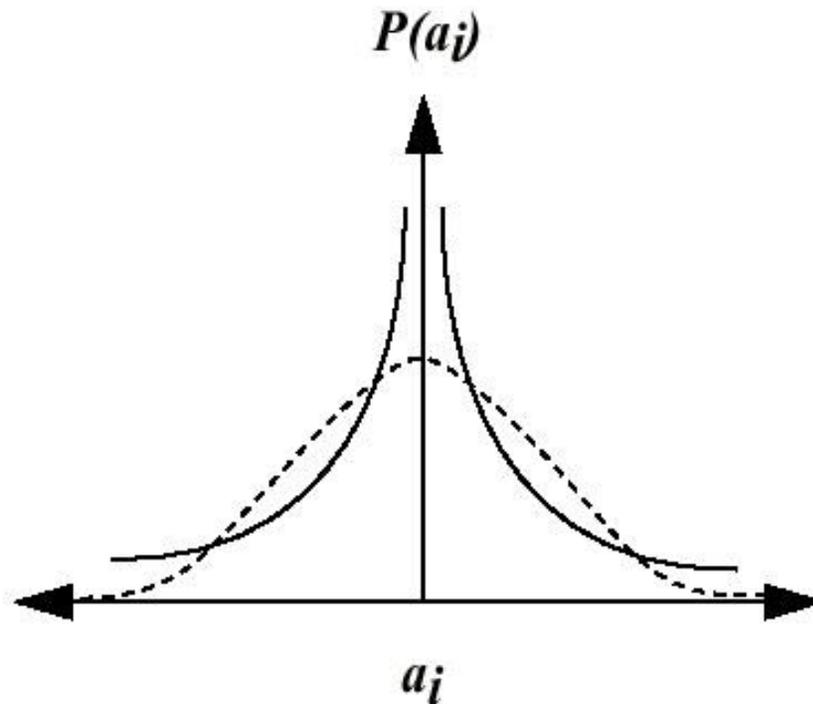
$$P(a) = \prod_i e^{-\beta S(a_i)}$$

So  $E \propto -\log(P(I|a, \phi)P(a))$ . It can be shown that minimizing  $E$  is equal to maximizing  $P(I|\phi)$ , given some approximation assumptions.

## Supergaussian distributions

$$S(a_i) = \log(1 + a_i^2) \quad P(a_i) = \frac{1}{1+a_i^2} \quad \text{Cauchy distribution}$$

$$S(a_i) = |a_i| \quad P(a_i) = e^{-|x|} \quad \text{Laplace distribution}$$



## Independent Component Analysis

In the context of natural image analysis:

$$I(x, y) = \sum_i a_i \phi_i(x, y)$$

where the number of  $a_i$  equals to the dimensionality of  $I$ . We require that  $\{a_i\}$ , as random variables, are independent to each other. That is,  $P(a_i|a_j) = P(a_i)$ .

In a more general context, let  $I$  be a random vector. The goal of the Independent Component Analysis is to find a matrix  $W$ , such that the components of  $A = WI$  are non-gaussian, and independent to each other.

## The Infomax ICA

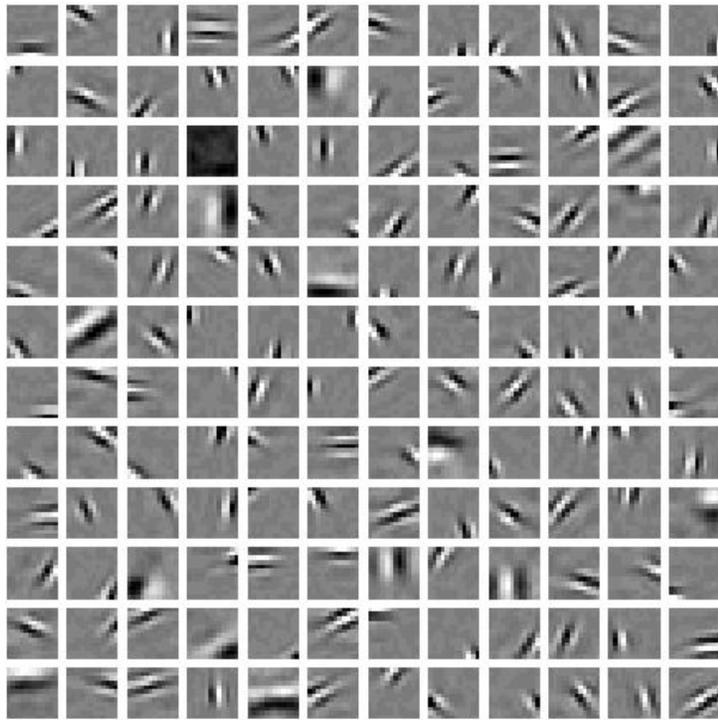
[Bell and Sejnowski 1995] derived a learning rule for ICA by maximizing the entropy of a neural network with logistic (or Laplace) neurons. Similar or equivalent algorithms can be derived from many other frameworks.

Let  $H(X)$  be the entropy of  $X$ . The joint entropy of  $a_1$  and  $a_2$  can be written as:

$$H(a_1, a_2) = H(a_1) + H(a_2) - I(a_1, a_2)$$

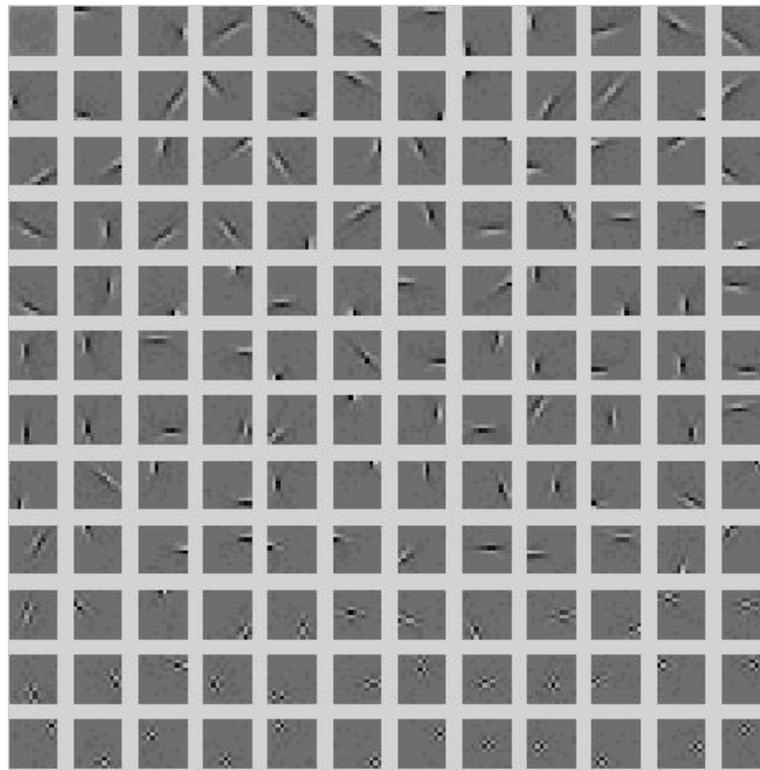
where  $I(a_1, a_2)$  is the mutual information between  $a_1$  and  $a_2$ .  $\{a_1, a_2\}$  are independent to each other when  $I(a_1, a_2) = 0$ . We approximate the solution by maximizing  $H(a_1, a_2)$ .

# Independent components of natural images



Olshausen and Field 1996

16x16 basis patches



Bell and Sejnowski 1996

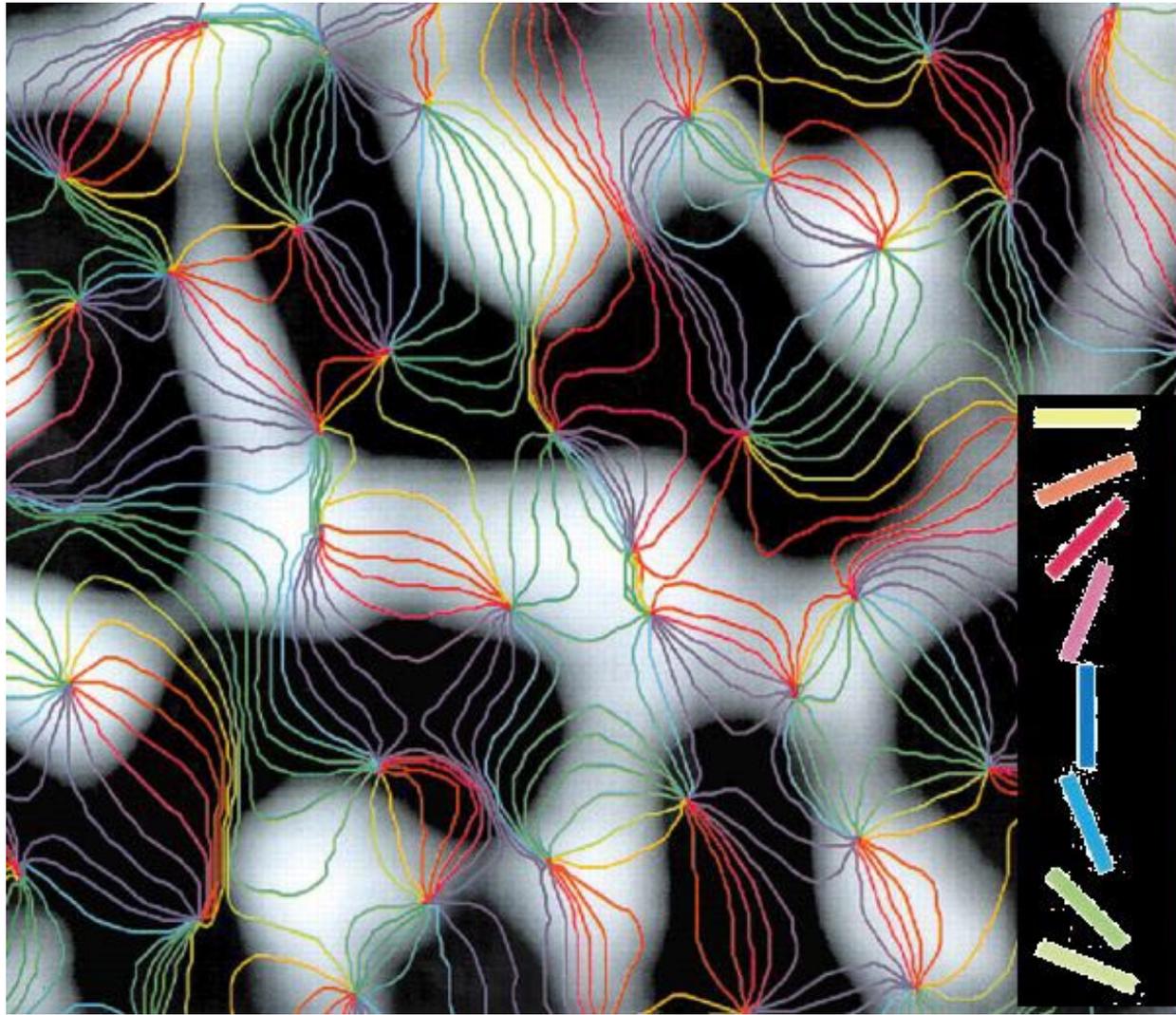
12x12 filters

## More ICA applications

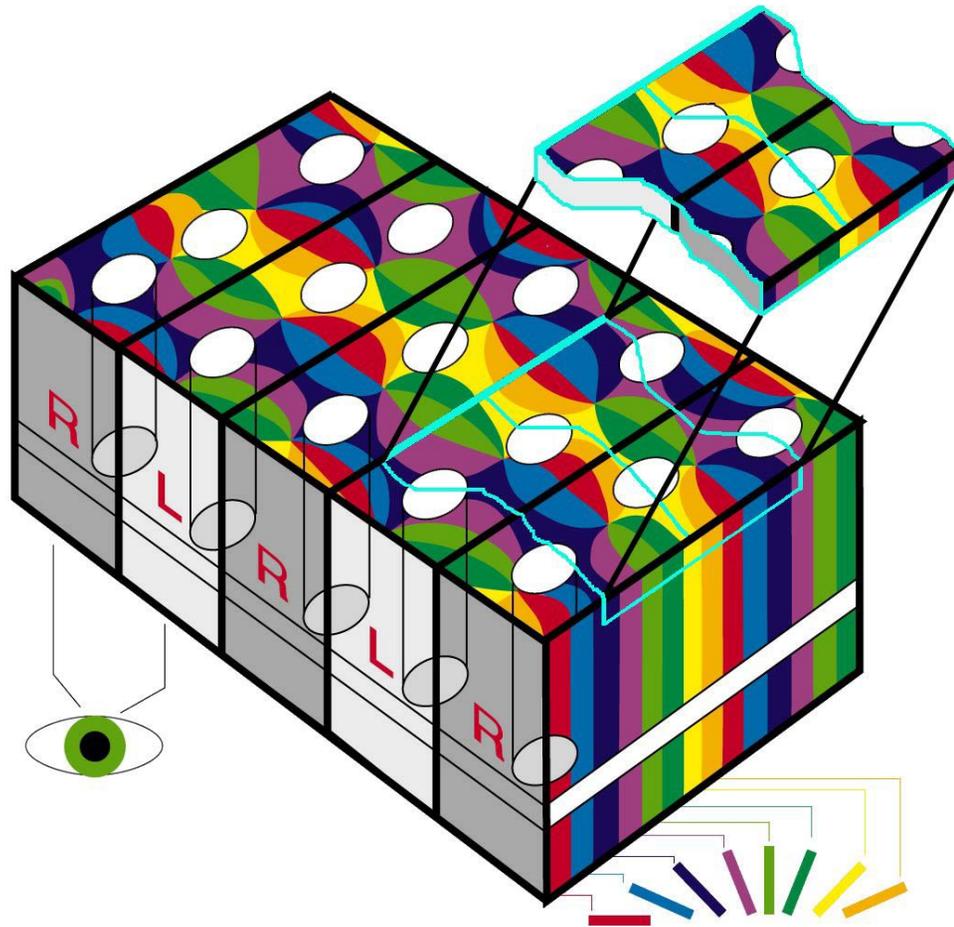
1. Direction selectivity [van Hateren et al., 1998]
2. Flow-field templates [Park and Jabri, 2000]
3. Color [Hoyer, 2000; Tailor, 2000; Lee, 2001]
4. Binocular vision [Hoyer, 2000]
5. Audition [Bell and Sejnowski 1996; Lewicki??]

## Complex cells and topography

[Hyvärinen and Hoyer, 2001] uses a hierarchical network and the sparse coding principle to explain the emergence of complex-cell-like receptive fields and topographic structures of simple cells.

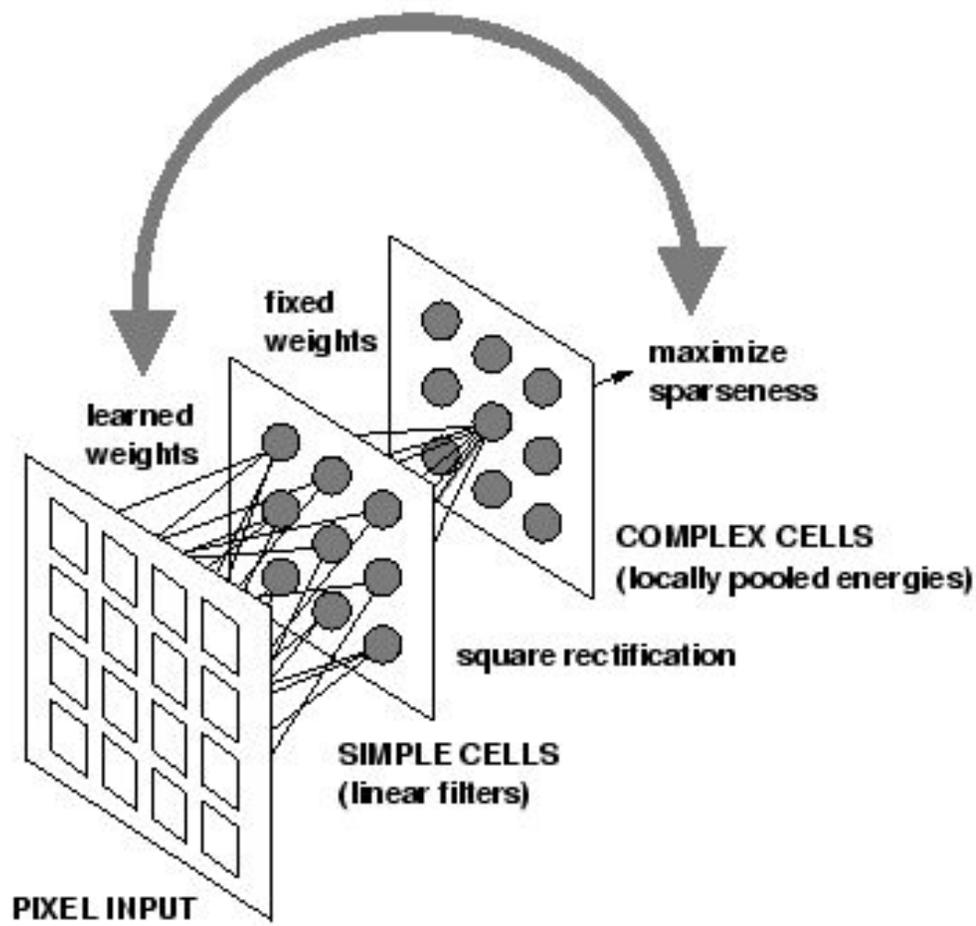


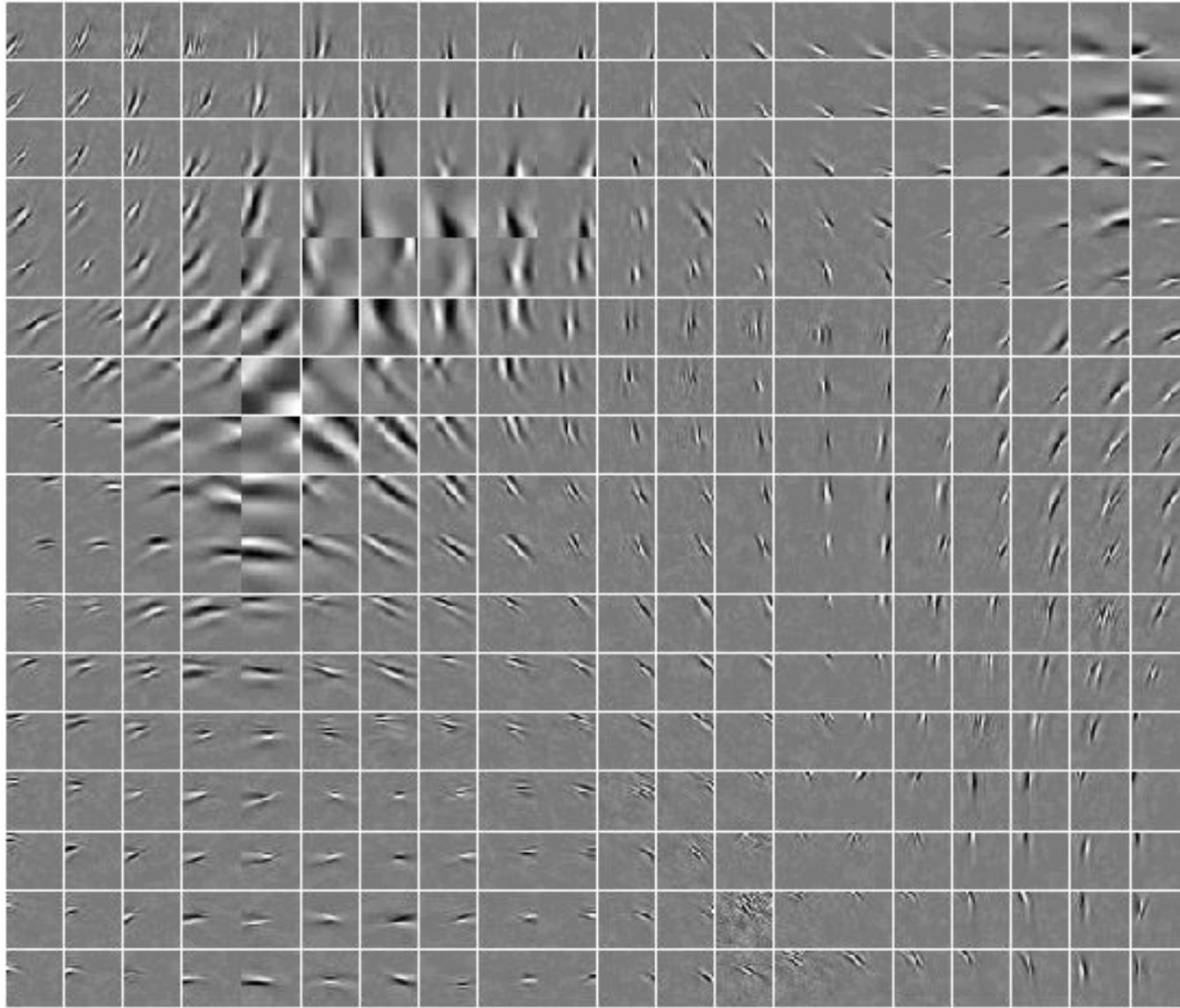
from [Hübener et al. 1997]



The “ice-cube” model of V1 layer 4c

# Network architecture





## Results: summary

simple cell physiology

orientation/freq selective

phase/position sensitive

simple cell topography

orientation continuity, but not phase

orientation singularities, or “pinwheels”

“blob” - grouping of low-freq

complex cells physiology

orientation/freq selective

phase/position insensitive